Polcer Gregory Form 4 November 02, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

0.5

Check this box if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or

**SECURITIES** 

Estimated average burden hours per

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Polcer Gregory

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

**ESTEE LAUDER COMPANIES** INC [EL]

(Check all applicable)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

10/31/2018

Director 10% Owner X\_ Officer (give title Other (specify below)

EVP - Global Supply Chain

THE ESTEE LAUDER **COMPANIES INC., 767 FIFTH** 

(Street)

(First)

**AVENUE** 

(Last)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10153

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Transaction(A) or Disposed of (D) Form: Direct Indirect Security (Month/Day/Year) Execution Date, if Securities (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or (Month/Day/Year) (Instr. 8) Owned Indirect (I) Following (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Class A 7,553  $\$0^{(2)}$ Common 10/31/2018 M 83,335 D Stock Class A 4,021 D Common 10/31/2018  $F^{(3)}$ 79,314 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

Beneficial

Ownership

(Instr. 4)

#### Edgar Filing: Polcer Gregory - Form 4

## displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number iom Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Number of Shares
Restricted Stock Units (Share Payout) (4)	\$ 0 (2)	10/31/2018		M		2,790	10/31/2018(5)	10/31/2018	Class A Common Stock	2,790
Restricted Stock Units (Share Payout) (4)	\$ 0 <u>(2)</u>	10/31/2018		M		2,548	10/31/2018 <u>(6)</u>	10/31/2019	Class A Common Stock	2,548
Restricted Stock Units (Share Payout) (4)	\$ 0 (2)	10/31/2018		M		2,215	10/31/2018(7)	11/02/2020	Class A Common Stock	2,215

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
topotting of the relations	Director	10% Owner	Officer	Other			
Polcer Gregory							
THE ESTEE LAUDER COMPANIES INC.			EVD Clabal Samuela Chain				
767 FIFTH AVENUE			EVP - Global Supply Chain				
NEW YORK, NY 10153							

## **Signatures**

Gregory F. Polcer, by Spencer G. Smul, attorney-in-fact

\*\*Signature of Reporting Person Date

Reporting Owners 2

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Payout of shares upon vesting of portions of Restricted Stock Units ("RSUs") granted September 4, 2015, September 6, 2016 and September 5, 2017.
- (2) Not applicable.
- (3) Represents the withholding of shares for tax purposes.
- RSUs vest and are paid out in shares of Class A Common Stock on a one-to-one basis on the applicable vesting date. RSUs generally vest
- (4) in three approximately equal installments. Upon payout, additional shares will be withheld to cover statutory tax obligations. RSUs are accompanied by dividend equivalent rights that will be payable in cash at the time of payout of the related shares.
- (5) RSUs from grant dated September 4, 2015.
- (6) RSUs from grant dated September 6, 2016. Assuming continued employment, RSUs will vest and be paid out as follows: 2,549 on October 31, 2019.
- (7) RSUs from grant dated September 5, 2017. Assuming continued employment, RSUs will vest and be paid out as follows: 2,216 on October 31, 2019; and 2,216 on November 2, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.