Freda Fabrizio Form 4 November 06, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Freda Fabrizio

2. Issuer Name and Ticker or Trading Symbol

**ESTEE LAUDER COMPANIES** 

INC [EL]

3. Date of Earliest Transaction

(Month/Day/Year) 11/05/2018

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

below)

(Check all applicable)

President and CEO

10% Owner

Other (specify

THE ESTEE LAUDER

**COMPANIES INC., 767 FIFTH AVENUE** 

(Street)

(First)

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_\_ Director

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

NEW YORK, NY 10153

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Secu	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi or(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/05/2018		S(1)	3,508 (2)	D	\$ 139.51 (2) (3)	88,743	D	
Class A Common Stock	11/05/2018		S <u>(1)</u>	3,799 (2)	D	\$ 140.32 (2) (4)	84,944	D	
Class A Common Stock	11/05/2018		S <u>(1)</u>	4,731 (2)	D	\$ 140.95 (2) (5)	80,213	D	

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Class A S(1) (7,039) \$ Stock S(1) (2) D 141.54 73,174 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

9. Nu

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. 6. Date Exercisable and 7. Title and 8. Price of Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber **Expiration Date** Amount of Derivative (Month/Day/Year) Underlying Security Security or Exercise Code of Securities (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Derivative (Instr. 5) Derivative Securities (Instr. 3 and 4) Security Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Amount Expiration Date Title Number Exercisable Date of Shares Code V (A) (D)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Freda Fabrizio

THE ESTEE LAUDER COMPANIES INC.
767 FIFTH AVENUE

X President and CEO

NEW YORK, NY 10153

### **Signatures**

Fabrizio Freda, by Spencer G. Smul, attorney-in-fact 11/06/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales of the shares of Class A Common Stock were made pursuant to a Rule 10b5-1(c) trading plan entered into on February 16, 2018.

**(2)** 

Reporting Owners 2

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The number of securities reported represents an aggregate number of shares sold in multiple open market transactions over a range of sales prices. The price reported represents the weighted average price. The Reporting Person undertakes to provide to the staff of the SEC, the Issuer, or a stockholder of the Issuer, upon request, the number of shares sold by the Reporting Person at each separate price within the range.

- (3) Sales prices range from \$138.94 to \$139.91 per share, inclusive.
- (4) Sales prices range from \$139.94 to \$140.55 per share, inclusive.
- (5) Sales prices range from \$140.56 to \$141.21 per share, inclusive.
- (6) Sales prices range from \$141.22 to \$142.07 per share, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.