

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II

Form NSAR-A

October 26, 2007

PAGE 1  
000 A000000 08/31/2007  
000 C000000 0001227857  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 NICHOLAS-APPLGATE CONVERTIBLE & INCOME FD II  
001 B000000 811-21338  
001 C000000 2127393371  
002 A000000 1345 AVENUE OF AMERICAS  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10105  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
008 B000001 A  
008 C000001 801-31227  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10105  
008 A000002 NICHOLAS-APPLGATE CAPITAL MANAGEMENT LLC  
008 B000002 S  
008 C000002 801-21442  
008 D010002 SAN DIEGO  
008 D020002 CA  
008 D030002 92101  
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
010 B000001 801-31227  
010 C010001 NEW YORK  
010 C020001 NY  
010 C030001 10105  
011 A000001 UBS SECURITIES LLC  
011 B000001 8-22651  
PAGE 2  
011 C010001 STAMFORD  
011 C020001 CT  
011 C030001 06901  
011 A000002 CITIGROUP GLOBAL MARKETS, INC.  
011 B000002 8-00541  
011 C010002 NEW YORK

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

011 C020002 NY  
011 C030002 10022  
011 A000003 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.  
011 B000003 8-00962  
011 C010003 NEW YORK  
011 C020003 NY  
011 C030003 10080  
011 A000004 WACHOVIA CAPITAL MARKETS, LLC  
011 B000004 8-02612  
011 C010004 CHARLOTTE  
011 C020004 NC  
011 C030004 28202  
011 A000005 LEGG MASON WOOD WALKER, INC.  
011 B000005 8-15939  
011 C010005 BALTIMORE  
011 C020005 MD  
011 C030005 21202  
011 C040005 1476  
011 A000006 RBC DAIN RAUSCHER, INC.  
011 B000006 8-45411  
011 C010006 MINNEAPOLIS  
011 C020006 MN  
011 C030006 55402  
011 A000007 WELLS FARGO SECURITIES, LLC  
011 B000007 8-35225  
011 C010007 SAN FRANCISCO  
011 C020007 CA  
011 C030007 94104  
011 A000008 ADVEST, INC.  
011 B000008 8-04159  
011 C010008 HARTFORD  
011 C020008 CT  
011 C030008 06103  
012 A000001 PFPC INC.  
012 B000001 84-58023  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3027  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10017  
014 A000001 DRESDNER BANK AG  
014 B000001 8-12859  
PAGE 3  
014 A000002 DRESDNER KLEINWORT WASSERSTEIN SECURITIES LLC  
014 B000002 8-49647  
014 A000003 DRESDNER KLEINWORT BENSON  
014 B000003 8-00000  
014 A000004 GRANTCHESTER SECURITIES  
014 B000004 8-00000  
014 A000005 NICHOLAS-APPLGATE CAPITAL MANAGEMENT  
014 B000005 8-00000  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 E010001 X  
018 000000 Y  
019 A000000 Y

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

019 B000000 70  
019 C000000 ALLIANZGLO  
020 A000001 BEAR STEARNS COS., INC.  
020 B000001 13-3286161  
020 C000001 22  
020 A000002 GOLDMAN SACHS GROUP, INC.  
020 B000002 13-4019460  
020 C000002 18  
020 A000003 INSTINET GROUP, INC.  
020 B000003 13-4134098  
020 C000003 12  
020 A000004 MORGAN STANLEY  
020 B000004 36-3145972  
020 C000004 12  
020 A000005 WACHOVIA CORP.  
020 B000005 56-0276690  
020 C000005 9  
020 A000006 CITIGROUP, INC.  
020 B000006 52-1568099  
020 C000006 9  
020 A000007 CREDIT SUISSE GROUP  
020 B000007 98-0215385  
020 C000007 9  
020 A000008 STIFEL NICOLAUS & CO., INC.  
020 B000008 43-0538770  
020 C000008 8  
020 A000009 FRIEDMAN, BILLINGS, RAMSEY & CO., INC.  
020 B000009 52-1630477  
020 C000009 6  
020 A000010 CIBC OPPENHEIMER GROUP  
020 B000010 13-2798343  
020 C000010 5  
021 000000 125  
022 A000001 LEHMAN BROTHERS HOLDINGS, INC.  
022 B000001 13-3216325  
PAGE 4  
022 C000001 84137  
022 D000001 41117  
022 A000002 MORGAN STANLEY  
022 B000002 36-3145972  
022 C000002 53569  
022 D000002 18226  
022 A000003 CITIGROUP, INC.  
022 B000003 52-1568099  
022 C000003 45638  
022 D000003 11854  
022 A000004 CREDIT SUISSE GROUP  
022 B000004 98-0215385  
022 C000004 24929  
022 D000004 8382  
022 A000005 JP MORGAN CHASE & CO.  
022 B000005 13-2624428  
022 C000005 26650  
022 D000005 1264  
022 A000006 GOLDMAN SACHS GROUP, INC.  
022 B000006 13-4019460  
022 C000006 20555  
022 D000006 4121  
022 A000007 BEAR STEARNS COS., INC.  
022 B000007 13-3286161  
022 C000007 8373  
022 D000007 10612

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

022 A000008 MERRILL LYNCH & CO., INC.  
022 B000008 13-2740599  
022 C000008 14418  
022 D000008 0  
022 A000009 R.W. PRESSPRICH & CO., INC.  
022 B000009 00-0000000  
022 C000009 7567  
022 D000009 2375  
022 A000010 UBS AG  
022 B000010 00-0000000  
022 C000010 8880  
022 D000010 437  
023 C000000 311898  
023 D000000 102055  
024 000000 Y  
025 A000001 MORGAN STANLEY  
025 B000001 36-3145972  
025 C000001 E  
025 D000001 61638  
025 A000002 LEHMAN BROTHERS HOLDINGS, INC.  
025 B000002 13-3216325  
025 C000002 E  
025 D000002 51954  
025 A000003 GOLDMAN SACHS GROUP, INC.  
025 B000003 13-4019460

PAGE 5

025 C000003 E  
025 D000003 48488  
025 A000004 CITIGROUP, INC.  
025 B000004 52-1568099  
025 C000004 E  
025 D000004 13715  
025 A000005 DELETE  
025 D000005 0  
025 A000006 DELETE  
025 D000006 0  
025 A000007 DELETE  
025 D000007 0  
025 D000008 0  
026 A000000 N  
026 B000000 N  
026 C000000 N  
026 D000000 Y  
026 E000000 N  
026 F000000 N  
026 G010000 N  
026 G020000 N  
026 H000000 N  
027 000000 N  
028 A010000 0  
028 A020000 0  
028 A030000 0  
028 A040000 0  
028 B010000 0  
028 B020000 0  
028 B030000 0  
028 B040000 0  
028 C010000 0  
028 C020000 0  
028 C030000 0  
028 C040000 0  
028 D010000 0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

028	D020000		0
028	D030000		0
028	D040000		0
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
	PAGE	6	
028	H000000		0
030	A000000		0
030	B000000	0.00	
030	C000000	0.00	
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000	0	
042	B000000	0	
042	C000000	0	
042	D000000	0	
042	E000000	0	
042	F000000	0	
042	G000000	0	
042	H000000	0	
043	000000		0
044	000000		0
045	000000	Y	
046	000000	N	
047	000000	Y	
048	000000	0.700	
048	A010000		0
048	A020000	0.000	
048	B010000		0
048	B020000	0.000	
048	C010000		0
048	C020000	0.000	
048	D010000		0
048	D020000	0.000	
048	E010000		0
048	E020000	0.000	
048	F010000		0
048	F020000	0.000	
048	G010000		0
048	G020000	0.000	
048	H010000		0
048	H020000	0.000	
048	I010000		0
048	I020000	0.000	
048	J010000		0
048	J020000	0.000	
048	K010000		0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

048 K020000 0.000  
049 000000 N  
050 000000 N  
051 000000 N  
052 000000 N  
PAGE 7  
053 A000000 N  
054 A000000 Y  
054 B000000 Y  
054 C000000 N  
054 D000000 N  
054 E000000 N  
054 F000000 N  
054 G000000 Y  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
054 K000000 N  
054 L000000 N  
054 M000000 Y  
054 N000000 N  
054 O000000 N  
055 A000000 Y  
055 B000000 N  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 Y  
060 B000000 Y  
061 000000 0  
062 A000000 Y  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 6.5  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 5.2  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 60.7  
062 Q000000 19.7  
062 R000000 68.3  
063 A000000 0  
063 B000000 7.8  
064 A000000 N  
064 B000000 N  
066 A000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
PAGE 8  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

070	B020000	N	
070	C010000	Y	
070	C020000	N	
070	D010000	Y	
070	D020000	N	
070	E010000	Y	
070	E020000	N	
070	F010000	Y	
070	F020000	N	
070	G010000	Y	
070	G020000	N	
070	H010000	Y	
070	H020000	N	
070	I010000	N	
070	I020000	N	
070	J010000	Y	
070	J020000	N	
070	K010000	Y	
070	K020000	N	
070	L010000	Y	
070	L020000	N	
070	M010000	N	
070	M020000	N	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	N	
070	P010000	N	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
070	R010000	Y	
070	R020000	N	
071	A000000		357897
071	B000000		202769
071	C000000		1355010
071	D000000		15
072	A000000	6	
072	B000000		29912
072	C000000		29553
072	D000000		0
072	E000000		1261
072	F000000		4893
072	G000000		0
072	H000000		0
072	I000000		17
072	J000000		85
	PAGE	9	
072	K000000		0
072	L000000		84
072	M000000		36
072	N000000		0
072	O000000		0
072	P000000		0
072	Q000000		0
072	R000000		35
072	S000000		15
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		800
072	X000000		5965

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

072 Y000000	0
072 Z000000	54761
072AA000000	13961
072BB000000	0
072CC010000	0
072CC020000	49718
072DD010000	54425
072DD020000	0
072EE000000	0
073 A010000	0.9194
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	129
074 B000000	0
074 C000000	55034
074 D000000	726497
074 E000000	579859
074 F000000	0
074 G000000	0
074 H000000	0
074 I000000	0
074 J000000	0
074 K000000	0
074 L000000	22463
074 M000000	5182
074 N000000	1389164
074 O000000	27085
074 P000000	796
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	7380
074 S000000	505000
074 T000000	848903
074 U010000	59308
PAGE 10	
074 U020000	0
074 V010000	14.31
074 V020000	0.00
074 W000000	0.0000
074 X000000	100
074 Y000000	0
075 A000000	0
075 B000000	885527
076 000000	13.80
077 A000000	Y
077 B000000	N
077 C000000	Y
077 D000000	N
077 E000000	N
077 F000000	N
077 G000000	N
077 H000000	N
077 I000000	N
077 J000000	N
077 K000000	N
077 L000000	N
077 M000000	N
077 N000000	N
077 O000000	N



Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

077 P000000 N  
077 Q010000 N  
077 Q020000 N  
077 Q030000 N  
078 000000 N  
080 C000000 0  
081 B000000 0  
082 B000000 0  
083 B000000 0  
084 B000000 0  
086 A010000 0  
086 A020000 0  
086 B010000 0  
086 B020000 0  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 CORPORATE BONDS & NOTES  
087 A020000 65370G109  
087 A030000 NCZ  
087 B010000 CONVERTIBLE BONDS & NOTES  
087 B020000 65370G208  
PAGE 11  
087 B030000 NCZ  
087 C010000 CONVERTIBLE PREFERRED STOCK  
087 C020000 65370G307  
087 C030000 NCZ  
088 A000000 Y  
088 B000000 N  
088 C000000 N  
088 D000000 Y  
SIGNATURE LAWRENCE ALTADONNA  
TITLE TREASURER & CEO