

TYSON FOODS INC

Form 3

November 20, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Stouffer Stephen R

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

11/14/2013

3. Issuer Name and Ticker or Trading Symbol

TYSON FOODS INC [TSN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

2200 DON TYSON PARKWAY

(Street)

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer ____ Other
 (give title below) (specify below)

President of Fresh Meats

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

SPRINGDALE, AR 72762

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Class A Common Stock

1,832

I

Employee Stock Purchase Plan

Class A Common Stock

8,104

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Options (Right to Buy)	09/26/2006 ⁽¹⁾	09/29/2014	Class A Common Stock	800	\$ 15.96	D	Â
Non-Qualified Stock Options (Right to Buy)	11/16/2007 ⁽¹⁾	11/16/2015	Class A Common Stock	800	\$ 16.35	D	Â
Non-Qualified Stock Options (Right to Buy)	11/17/2008 ⁽¹⁾	11/17/2016	Class A Common Stock	800	\$ 15.37	D	Â
Non-Qualified Stock Options (Right to Buy)	11/16/2009 ⁽¹⁾	11/16/2017	Class A Common Stock	800	\$ 15.06	D	Â
Non-Qualified Stock Options (Right to Buy)	11/14/2010 ⁽¹⁾	11/14/2018	Class A Common Stock	800	\$ 4.9	D	Â
Non-Qualified Stock Options (Right to Buy)	11/30/2010 ⁽¹⁾	11/30/2019	Class A Common Stock	3,840	\$ 12.02	D	Â
Non-Qualified Stock Options (Right to Buy)	11/29/2011 ⁽²⁾	11/29/2020	Class A Common Stock	3,840	\$ 16.19	D	Â
Non-Qualified Stock Options (Right to Buy)	11/28/2012 ⁽²⁾	11/28/2021	Class A Common Stock	3,840	\$ 19.63	D	Â
Non-Qualified Stock Options (Right to Buy)	11/26/2013 ⁽²⁾	11/26/2022	Class A Common Stock	21,200	\$ 19.36	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Stouffer Stephen R 2200 DON TYSON PARKWAY SPRINGDALE, AR 72762	Â	Â	Â President of Fresh Meats	Â

Signatures

/s/ R. Read Hudson as Power of Attorney for Stephen R. Stouffer

11/20/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These options are vested.

(2) The stock options vest at 33 1/3% on the first anniversary date of the grant and 33 1/3% for each of the following two years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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