

TYSON FOODS INC  
Form 4  
August 15, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
VAN BEBBER DAVID L

(Last) (First) (Middle)

2200 W DON TYSON PARKWAY

(Street)

SPRINGDALE, AR 72762

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
TYSON FOODS INC [TSN]

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/04/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)

EVP & General Counsel

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	05/04/2016		J <sup>(1)</sup>		2,813	D	\$ 0	53.1044	I	Employee Stock Purchase Plan
Class A Common Stock	05/04/2016		J <sup>(1)</sup>		2,813	A	\$ 0	173,184.2042 <sup>(2)</sup>	D	
Class A Common Stock	06/20/2016		J <sup>(3)</sup>		61.547	A	\$ 0	173,245.7512 <sup>(4)</sup>	D	
	08/11/2016		J <sup>(5)</sup>		385.9698	A	\$ 0	439.0742	I	

Edgar Filing: TYSON FOODS INC - Form 4

							Employee Stock Purchase Plan
Class A Common Stock							
Class A Common Stock	08/12/2016	M	7,500	A	\$ 4.9	180,745.7512 <u>(4)</u>	D
Class A Common Stock	08/12/2016	M	7,500	A	\$ 12.02	188,245.7512 <u>(4)</u>	D
Class A Common Stock	08/12/2016	M	7,500	A	\$ 16.19	195,745.7512 <u>(4)</u>	D
Class A Common Stock	08/12/2016	M	7,500	A	\$ 19.63	203,245.7512 <u>(4)</u>	D
Class A Common Stock	08/12/2016	M	20,000	A	\$ 19.36	223,245.7512 <u>(4)</u>	D
Class A Common Stock	08/12/2016	M	20,000	A	\$ 31.82	243,245.7512 <u>(4)</u>	D
Class A Common Stock	08/12/2016	S	70,000	D	\$ 75	173,245.7512 <u>(4)</u>	D
Class A Common Stock						1,150	I By Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code V	(A) (D)		Title

Edgar Filing: TYSON FOODS INC - Form 4

					Date Exercisable	Expiration Date		Am or Nun of S
Non-Qualified Stock Options (Right to Buy)	\$ 4.9	08/12/2016	M	7,500	11/14/2010	11/14/2018	Class A Common Stock	7,
Non-Qualified Stock Options (Right to Buy)	\$ 12.02	08/12/2016	M	7,500	11/30/2010	11/30/2019	Class A Common Stock	7,
Non-Qualified Stock Options (Right to Buy)	\$ 16.19	08/12/2016	M	7,500	11/29/2011	11/29/2020	Class A Common Stock	7,
Non-Qualified Stock Options (Right to Buy)	\$ 19.63	08/12/2016	M	7,500	11/28/2012	11/28/2021	Class A Common Stock	7,
Non-Qualified Stock Options (Right to Buy)	\$ 19.36	08/12/2016	M	20,000	11/26/2013	11/26/2022	Class A Common Stock	20
Non-Qualified Stock Options (Right to Buy)	\$ 31.82	08/12/2016	M	20,000	11/22/2014	11/22/2023	Class A Common Stock	20

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
VAN BEBBER DAVID L 2200 W DON TYSON PARKWAY SPRINGDALE, AR 72762			EVP & General Counsel	

## Signatures

/s/ David L. Van  
Bebber

08/15/2016

\*\*Signature of Reporting  
Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person transferred shares from his Employee Stock Purchase Plan account into his personal stock account thereby changing the ownership of the Class A Common Stock from indirect to direct.
  - (2) Includes 9,325.7619 shares of Class A Common Stock which vest on the fourth business day following the filing of the Issuer's Annual Report on Form 10-K for its 2016 fiscal year if the performance metrics described in the applicable Stock Incentive Agreement are achieved; Includes 6,964.8073 shares of Class A Common Stock which vest on the fourth business day following the filing of the Issuer's Annual Report on Form 10-K for its 2017 fiscal year if the performance metrics described in the applicable Stock Incentive Agreement are achieved; and, includes 8,475.039 shares of Class A Common Stock which vest on December 1, 2018 if the performance metrics

## Edgar Filing: TYSON FOODS INC - Form 4

described in the applicable Stock Incentive Agreement are achieved.

- (3) Represents shares of the Issuer's Class A Common Stock received by the Reporting Person pursuant to the Issuer's dividend reinvestment plan since the last Statement of Changes in Beneficial Ownership was filed by the Reporting Person. Such acquisitions are exempt from Section 16 concurrent reporting requirements pursuant to Rule 16a-11.

- (4) Includes 9,348.9379 shares of Class A Common Stock which vest on the fourth business day following the filing of the Issuer's Annual Report on Form 10-K for its 2016 fiscal year if the performance metrics described in the applicable Stock Incentive Agreement are achieved; Includes 6,982.1163 shares of Class A Common Stock which vest on the fourth business day following the filing of the Issuer's Annual Report on Form 10-K for its 2017 fiscal year if the performance metrics described in the applicable Stock Incentive Agreement are achieved; and, includes 8,496.1010 shares of Class A Common Stock which vest on December 1, 2018 if the performance metrics described in the applicable Stock Incentive Agreement are achieved.

- (5) Represents shares of the Issuer's Class A Common Stock purchased for the Reporting Person's account under the Issuer's Employee Stock Purchase Plan since the last Statement of Changes in Beneficial Ownership was filed by the Reporting Person. Such acquisitions are exempt from Section 16 concurrent reporting requirements pursuant to Rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.