

COLUMBUS MCKINNON CORP
Form 4
July 24, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Mc Carthy Liam

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON
PARKWAY

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
07/22/2015

Director 10% Owner
 Officer (give title below) Other (specify below)

AMHERST, NY 14228

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | | | | | 23,181 | D | |
| Common Stock | 07/22/2015 | | M | | 327 ⁽¹⁾ | A | \$ 0 23,508 |
| Common Stock | 07/23/2015 | | M | | 327 ⁽²⁾ | A | \$ 0 23,835 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474
(9-02)

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Restricted Stock Units | (3) | 07/22/2015 | | M | 327.482 (4) | (4) (4) | Common Stock 654.964 |
| Restricted Stock Units | (3) | 07/23/2015 | | M | 327.482 | (5) (5) | Common Stock 327.482 |
| Restricted Stock Units | (3) | | | | | (6) (6) | Common Stock 1,509.242 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| McCarthy Liam 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228 | X | | | |

Signatures

Mary C. O'Connor, Power of Attorney for Liam McCarthy 07/23/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 327.482 restricted stock units became fully vested on July 22, 2015, of which 327 was issued as common stock and .482 was issued in cash of \$11.37.
- (2) 327.482 restricted stock units became fully vested on July 23, 2015, of which 327 was issued as common stock and .482 was issued in cash of \$11.19.
- (3) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.
- (4)

Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 22, 2014, 327.482 restricted stock units became fully vested and non-forfeitable on July 22, 2015. The remaining restricted stock units become fully vested and non-forfeitable on July 22, 2016, if reporting person remains a director of issuer.

- (5) Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 23, 2013, 325 restricted stock units became fully vested and non-forfeitable on July 23, 2014 and 327.482 restricted stock units became fully vested and non-forfeitable on July 23, 2015.
- (6) Subject to forfeiture, units become fully vested and non-forfeitable 50% on July 28, 2015, 25% on July 28, 2016 and 25% on July 28, 2017, if reporting person remains a director of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.