### Edgar Filing: TOMPKINS TRUSTCO INC - Form 3/A

#### TOMPKINS TRUSTCO INC

Form 3/A

February 06, 2007

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement TOMPKINS TRUSTCO INC [tmp] KLEIN GERALD J JR (Month/Day/Year) 01/01/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O TOMPKINS TRUSTCO, 01/05/2007 (Check all applicable) INC., P.O. BOX 460 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Executive Vice Pres. Person ITHACA, NYÂ 14851 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 3,586 Common Stock - 401K/ESOP 1,230 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date	3. Title and Amount of Securities Underlying	4. Conversion	5. Ownership	6. Nature of Indirect Beneficial	
	(Month/Day/Year)	Derivative Security (Instr. 4)	or Exercise Price of	Form of Derivative	Ownership (Instr. 5)	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Incentive Stock Option (right to buy)	(1)	06/04/2009	Common Stock	2,684	\$ 15.15	D	Â
Incentive Stock Option (right to buy)	(1)	07/24/2011	Common Stock	4,659	\$ 28.36	D	Â
Incentive Stock Option (right to buy)	(1)	09/30/2012	Common Stock	6,655	\$ 32.23	D	Â
Incentive Stock Option (right to buy)	(1)	05/03/2014	Common Stock	4,783	\$ 39.34	D	Â
Incentive Stock Option (right to buy)	(2)	01/23/2016	Common Stock	6,600	\$ 42.39	D	Â
Non-Qualified Stock Option (right to buy)	(1)	05/03/2014	Common Stock	1,751	\$ 39.34	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	irector 10% Owner Officer		Other	
KLEIN GERALD J JR C/O TOMPKINS TRUSTCO, INC. P.O. BOX 460 ITHACA Â NYÂ 14851	Â	Â	Executive Vice Pres.	Â	

## **Signatures**

Gerald J Klein Jr 02/06/2007

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option becomes exercisable in four installments commencing two years after the grant date.
- (2) The stock option becomes exercisable in six installments commencing two years after the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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