

HUDSON TECHNOLOGIES INC /NY

Form 4/A

March 23, 2015

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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Number: 3235-0287
Expires: January 31,
2005
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(Print or Type Responses)

1. Name and Address of Reporting Person *
COLEMAN BRIAN F

2. Issuer Name **and** Ticker or Trading
Symbol
HUDSON TECHNOLOGIES INC
/NY [HDSN]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
41 MOUNTAINVIEW AVE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
03/12/2015

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President, Chief Operating Off

PEARL RIVER, NY 10965

4. If Amendment, Date Original
Filed(Month/Day/Year)
03/16/2015

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/12/2015		S	35,100 D	\$ 4.3 (1) 1,010,150 (2)	D	
Common Stock	03/13/2015		S	14,900 D	\$ 4.19 (3) 995,250 (2)	D	
Common Stock	03/16/2015		M	12,500 A	\$ 0.83 995,250 (4)	D	
Common Stock	03/16/2015		M	12,500 A	\$ 2.15 995,250 (5)	D	
Common Stock	03/16/2015		M	12,500 A	\$ 1.76 995,250 (6)	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 0.83	03/16/2015		M		12,500		07/08/2005	07/08/2015	Common Stock	12,500
Stock Option (Right to Buy)	\$ 2.15	03/16/2015		M		12,500		09/30/2005	09/30/2015	Common Stock	12,500
Stock Option (Right to Buy)	\$ 1.76	03/16/2015		M		12,500		12/29/2005	12/29/2015	Common Stock	12,500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
COLEMAN BRIAN F 41 MOUNTAINVIEW AVE PEARL RIVER, NY 10965	X		President, Chief Operating Off	

Signatures

Brian F.
Coleman 03/23/2015

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$4.29 to \$4.32 per share inclusive. The reporting person undertakes to provide Hudson Technologies, Inc., any security holder of Hudson

- (1) Technologies, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnotes (1) and (3) to this Form 4(A).
- (2) Includes 603,100 shares which may be purchased pursuant to stock options.
- (3) The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$4.15 to \$4.29 per share, inclusive.
- (4) Includes 590,600 shares which may be purchased pursuant to stock options.
- (5) Includes 578,100 shares which may be purchased pursuant to stock options.
- (6) Includes 565,600 shares which may be purchased pursuant to stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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