GERMAN MICHAEL I

Form 5

January 26, 2005

#### **OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Washington, D.C. 20549

Expires: 2005 Estimated average burden hours per response... 1.0

January 31,

Number:

See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4

may continue.

Transactions Reported

•							
1. Name and Address of Reporting Person ** GERMAN MICHAEL I			2. Issuer Name <b>and</b> Ticker or Trading Symbol ENERGY EAST CORP [EAS]	5. Relationship of Reporting Person(s) to Issuer			
(Last)  CONNECTION CORPORATION HOUSE SQU	TON, 10 S'	TATE	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 01/24/2005	(Check all applicable)  Director 10% OwnerX_ Officer (give title Other (specibelow)  President - Subsidiary			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting (check applicable line)			

### HARTFORD. CTÂ 06144-1500

(State)

(Zip)

(City)

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(City)	(State)	(Zip) Tab	le I - Non-Der	rivative Se	curiti	es Acqı	uired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3,	d (A) of (D) 4 and (A) or	<b>)</b> )	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	Â	Â	Â	Â	Â	Â	46,040.4897 (1)	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	1,389.816 (2)	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 2270** (9-02)

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		vative rities nired or osed o) r. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Date	Title	Number Shares
Employee Stock Option (right to buy) / SAR (3)	\$ 28.7188	Â	Â	Â	Â	Â	06/07/1999	05/21/2007	Common Stock	10,91
Employee Stock Option (right to buy) / SAR (3)	\$ 26.7188	Â	Â	Â	Â	Â	02/19/1999(4)	02/19/2009	Common Stock	100,00
Employee Stock Option (right to buy) / SAR (3)	\$ 23.0625	Â	Â	Â	Â	Â	02/11/2000 <u>(5)</u>	02/11/2010	Common Stock	100,00
Employee Stock Option (right to buy) / SAR (3)	\$ 18.55	Â	Â	Â	Â	Â	02/01/2001 <u>(6)</u>	02/01/2011	Common Stock	80,00
Employee Stock Option (right to buy) / SAR (3)	\$ 19.62	Â	Â	Â	Â	Â	02/08/2002 <u>(7)</u>	02/08/2012	Common Stock	70,00
Employee Stock Option	\$ 19.1	Â	Â	Â	Â	Â	02/12/2003(8)	02/12/2013	Common Stock	20,00

(right to buy) / SAR (3)
Employee Stock
Option

Option (right to \$23.89 Å Å Å Å Å  $\hat{A}$  02/12/2004 $\frac{(9)}{2}$  02/12/2014 Common Stock

20.00

buy) / SAR (3)

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

GERMAN MICHAEL I

CONNECTICUT NATURAL GAS CORPORATION 10 STATE HOUSE SOUARE, 6TH FLOOR

Relationships

A Â President - Subsidiary Â

HARTFORD, CTÂ 06144-1500 **Signatures** 

Michael I. 01/24/2005

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes equity securities acquired with reinvested dividends which are exempt from reporting under Rule 16a-11.
- (2) Includes equity securities acquired with participant contributions, Company matching fund contributions, and reinvested dividends pursuant to the common stock fund of the Company's 401(k) Plan which are exempt from reporting.
  - The Stock Appreciation Right (SAR) was issued in tandem with an Employee Stock Option (right to buy). The exercise of a SAR will result in the corresponding cancellation of the Employee Stock Option (right to buy) to the extent of the number of shares of the
- (3) Company's Common Stock as to which SARs are exercised. The exercise of the Employee Stock Option (right to buy) will result in the corresponding cancellation of a SAR to the extent of the number of shares of the Company's Common Stock as to which the Employee Stock Option (right to buy) is exercised.
- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 19, 1999; (b) in aggregate as to no more than 66 2/3% on January 1, 2000; and (c) on January 1, 2001 as to 100% of all options which have not been previously exercised.
- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more (5) than 33 1/3% on February 11, 2000; (b) in aggregate as to no more than 66 2/3% on January 1, 2001; and (c) on January 1, 2002 as to 100% of all options which have not been previously exercised.
- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 1, 2001; (b) in aggregate as to no more than 66 2/3% on January 1, 2002; and (c) on January 1, 2003 as to 100% of all options which have not been previously exercised.
- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 8, 2002; (b) in aggregate as to no more than 66 2/3% on January 1, 2003; and (c) on January 1, 2004 as to 100% of all options which have not been previously exercised.

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- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 12, 2003; (b) in aggregate as to no more than 66 2/3% on January 1, 2004; and (c) on January 1, 2005 as to 100% of all options which have not been previously exercised.
- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 12, 2004; (b) in aggregate as to no more than 66 2/3% on January 1, 2005; and (c) on January 1, 2006 as to 100% of all options which have not been previously exercised.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.