Edgar Filing: E TRADE FINANCIAL Corp - Form 4

E TRADE F	FINANCIAL Cor	р									
Form 4											
December 0											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB APPROVAL		
	UNITED	SIAIL							OMB Number:	3235-0287	
Check th		Washington, D.C. 20549								January 31,	
if no longer subject to STATEMENT OF CH				NGES IN	BENEF	ICIA	AL OWN	VERSHIP OF	Expires: 20 Estimated average		
Section 16.				SECURITIES					burden hours per		
Form 4 or Form 5 Eiled pursuant to Section 16(0.5	
obligatio							U	e Act of 1934, 1935 or Section			
may con	tinue. Section 17			nvestmen	•	-	•		1		
<i>See</i> Instr 1(b).	ruction	50(11)	or the h	ii v estilien	i compu			0			
(Print or Type	Responses)										
1. Name and A	Address of Reporting	Person *	2 Icen	er Name an	d Ticker o	r Trad	ina	5. Relationship of 1	Reporting Pers	on(s) to	
LAWSON RODGER A Symbol								Issuer		(-)	
				RADE FINANCIAL Corp				(Check all applicable)			
			nth/Day/Year)			Officer (give title Other (specify below) below)					
	NUE OF THE S, 14TH FLOOF	,	12/04/2	2013							
AMERICA			4 TC A	1 (D		1		< 1 1 ¹ 1 1 1 1			
							6. Individual or Joint/Group Filing(Check Applicable Line)				
X Form filed by C							_X_ Form filed by O				
NEW YOR	K, NY 10020-13	02						Form filed by M Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	ole I - Non-J	Derivative	Secu	rities Acqu	iired, Disposed of,	or Beneficial	ly Owned	
1.Title of	2. Transaction Date			3.			cquired (A)		6.	7. Nature of	
Security (Instr. 3)	(Month/Day/Year)	Execution any	Date, if	Transactio Code	omr Dispos			Securities Beneficially	Ownership Form:	Indirect Beneficial	
(11501.5)			ay/Year)	Code (Instr. 3, 4 and 5) (Instr. 8)			Owned	Direct (D)	Ownership		
								Following Reported	or Indirect	(Instr. 4)	
						(A)		Transaction(s)	(I) (Instr. 4)		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	12/04/2013			Р	2,754	A	\$ 18.1535	27,169	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title Derivat Security (Instr. 3	ive Conversion or Exercise	· · · · ·	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3,			Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address							
	Director	10% Owner	Officer	Other			
LAWSON RODGER A 1271 AVENUE OF THE AMERICAS 14TH FLOOR NEW YORK, NY 10020-1302	Х						
Signatures							
By: Karl A. Roessner For: Rodger A. Lawson		12/06/201	3				
**Signature of Reporting Person		Date					
Explanation of Responses:							

lion or nesponses. * If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.