DAVID GEORGE AL

Form 4 June 22, 2007

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading DAVID GEORGE AL Issuer Symbol UNITED TECHNOLOGIES CORP (Check all applicable) /DE/ [UTX] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) UNITED TECHNOLOGIES 03/30/2007 Chairman and CEO CORPORATION, ONE FINANCIAL PLAZA

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

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Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### HARTFORD, CT 06101

(City)	(State)	(Zip) Tak	ole I - Non-	-Derivative	Secur	ities Acquire	ed, Disposed of, o	or Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities on Disposed of (Instr. 3, 4)	f (D)	uired (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/30/2007		G	380	D	\$ 0	1,575,009	D	
Common Stock	04/12/2007		G	535	D	\$ 0	1,574,474	D	
Common Stock	04/13/2007		G	230	D	\$ 0	1,574,244	D	
Common Stock	04/20/2007		G	900	D	\$ 0	1,573,344	D	
	06/07/2007		G	150	D	\$ 0	1,573,194	D	

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Common Stock								
Common Stock	06/20/2007	M	200,000	A	\$ 18.2812	1,773,194	D	
Common Stock	06/20/2007	F	50,902	D	\$ 0	1,722,292	D	
Common Stock	06/20/2007	F	61,995	D	\$ 0	1,660,297	D	
Common Stock	06/21/2007	S	5,000	D	\$ 71.527	1,655,297	D	
Common Stock	06/21/2007	S	7,500	D	\$ 71.6	1,647,797	D	
Common Stock	06/21/2007	S	5,000	D	\$ 71.6948	1,642,797	D	
Common Stock	06/21/2007	S	10,000	D	\$ 71.7	1,632,797	D	
Common Stock	06/21/2007	S	10,000	D	\$ 71.75	1,622,797	D	
Common Stock	06/21/2007	S	5,000	D	\$ 71.8	1,617,797	D	
Common Stock	06/21/2007	S	5,000	D	\$ 71.87	1,612,797	D	
Common Stock	06/21/2007	S	5,000	D	\$ 71.9	1,607,797	D	
Common Stock	06/21/2007	S	4,603	D	\$ 71.9337	1,603,194	D	
Common Stock	06/21/2007	S	5,000	D	\$ 72	1,598,194	D	
Common Stock	06/21/2007	S	5,000	D	\$ 72.0188	1,593,194	D	
Common Stock	06/21/2007	S	5,000	D	\$ 72.032	1,588,194	D	
Common Stock	06/21/2007	S	15,000	D	\$ 72.05	1,573,194 (1)	D	
Common Stock						10,398.546	I	By Savings Plan Trustee
Common Stock						150,000 (2)	I	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDeriva Securi Acqui Dispos		6. Date Exercis Expiration Date (Month/Day/Yo	e	7. Title and A Underlying S (Instr. 3 and 4	Seci
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	A N Sl
Non-Qualified Stock Option (right to buy)	\$ 18.2812	06/20/2007		M		200,000	01/02/2001	01/01/2008	Common Stock	2

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
1 · · · · · · · · · · · · · · · · · · ·	

Director 10% Owner Officer Other

DAVID GEORGE AL UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101

X

Chairman and CEO

### **Signatures**

By: /s/ Charles F. Hildebrand as Attorney in Fact

06/22/2007

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person also directly owns 97,064 shares of United Technologies Career Restricted Common Stock.
- (2) These shares were previously reported as directly beneficially owned but were contributed to a trust on March 27, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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