

Edgar Filing: OLICKER RICHARD - Form 4

OLICKER RICHARD  
Form 4  
November 12, 2002

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FORM 4  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

[ ] Check this box if no longer  
subject to Section 16. Form 4  
or Form 5 obligations may  
continue. See Instruction 1(b)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a)  
Holding Company Act of 1935 or Section 30(h) of the Investment Company A

(Print or Type Responses)

|   |         |          |   |   |                 |
|---|---------|----------|---|---|-----------------|
| 1. Name and Address of Reporting Person*        |         |          | 2. Issuer Name and Ticker or Trading Symbol   |   | 6. R            |
| Olicker Richard                                 |         |          | Steven Madden, Ltd; SHOO  |   | P               |
| (Last)  | (First) | (Middle) | 3. IRS Identifi-<br>cation Number<br>of Reporting<br>Person, if an<br>entity<br>(voluntary) | 4. Statement for Month/Day/Year                       | [ ]<br>[X]      |
| c/o Steven Madden, Ltd.<br>52-16 Barnett Avenue |         |          |   | 11/05/2002<br>11/07/2002                              | Pres            |
| -----<br>(Street)                               |         |          |   | 5. If Amendment, Date of<br>Original (Month/Day/Year) | 7. I<br>(c      |
| Long Island City, NY 11104                      |         |          |   |   | [X]<br>[ ]<br>R |
| (City)  | (State) | (Zip)    |   |   |                 |

Table I - Non-Derivative Securities Acquired, Disposed of

| 1. Title<br>of<br>Security<br>(Instr.3)                              | 2. Trans-<br>action<br>Date<br><br>(Month/<br>Day/Year) | 2A.Deemed<br>Execution<br>Date,if<br>any<br>(Month/Day/<br>Year) | 3.Trans-<br>action<br>Code<br>(Instr.8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3,4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned Follow<br>Reported<br>Transaction(<br>(Instr. 3 and |
|--|---|--|---|--|---|
|  |   |  |   | Code V Amount (A) or (D) Price   |   |
| Common Stock,<br>par value<br>\$0.0001 per share<br>("Common Stock") | 11/05/02  | 11/07/02   | P                                       | 500 (1) (A) \$8.00   | 176,200 (5)   |
| Common Stock   | 11/05/02  | 11/07/02   | P                                       | 2,000 (2) (A) \$8.00   | 176,200 (5)   |
| Common Stock   | 11/07/02  | 11/08/02   | P                                       | 20,400 (3) (A) \$8.00  | 176,200 (5)   |

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|              |          |          |   |            |     |         |             |
|--------------|----------|----------|---|------------|-----|---------|-------------|
| Common Stock | 11/07/02 | 11/08/02 | P | 25,000 (4) | (A) | \$8.00  | 176,200 (5) |
| Common Stock | 11/05/02 | 11/07/02 | S | 500        | (D) | \$17.10 | 176,200 (5) |
| Common Stock | 11/05/02 | 11/07/02 | S | 2,000      | (D) | \$17.00 | 176,200 (5) |
| Common Stock | 11/07/02 | 11/08/02 | S | 20,400     | (D) | \$16.16 | 176,200 (5) |
| Common Stock | 11/07/02 | 11/08/02 | S | 25,000     | (D) | \$16.16 | 176,200 (5) |

FORM 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(E.G., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Mo/Dy/Yr) | 4. Transaction Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |
|--|--|--------------------------------------|--|--------------------------------|---|---|
|  |  |                                      |  | Code                           | V |   |
|  |  |                                      |  |                                |   | (A) (D)   |

FORM 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(E.G., puts, calls, warrants, options, convertible securities)

| 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transactions(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Name of Beneficial Owner (Instr. 4) |
|--|---|--|---|--|---|
|  |   |  |   |  |   |

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Explanation of Responses:

(1) On November 5, 2002, the Reporting Person exercised options to purchase 500 shares of Common Stock at an exercise price of \$8.00 per share, which options had been previously granted to the Reporting Person.

(2) On November 5, 2002, the Reporting Person exercised options to purchase 2,000 shares of Common Stock at an exercise price of \$8.00 per share, which options had been previously granted to the Reporting Person.

(3) On November 7, 2002, the Reporting Person exercised options to purchase 20,400 shares of Common Stock at an exercise price of \$8.00 per share, which options had been previously granted to the Reporting Person.

(4) On November 7, 2002, the Reporting Person exercised options to purchase 25,000 shares of Common Stock at an exercise price of \$8.00 per share, which options had been previously granted to the Reporting Person.

(5) As of November 11, 2002 (after giving effect to the transactions reported on this Form 4), the Reporting Person held 1,200 shares of Common Stock directly and held options to purchase 175,000 shares of Common Stock.

/s/ Richard S. Olicker

November 11, 2002

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\*\*Signature of Reporting Person

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Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.