

BROENNIMAN EDWARD G  
Form 4  
April 06, 2010

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BROENNIMAN EDWARD G

2. Issuer Name **and** Ticker or Trading  
Symbol  
AETHLON MEDICAL INC  
[AEMD.OB]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
8910 UNIVERSITY CENTER  
LANE, #660

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/15/2010

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

SAN DIEGO, CA 92122

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	03/15/2010	03/18/2010	S		6,700 (1) D \$ 0.38	475,474 (2)	D
Common Stock	03/16/2010	03/19/2010	S		7,000 (1) D \$ 0.39	468,474 (2)	D
Common Stock	03/17/2010	03/22/2010	S		7,100 (1) D \$ 0.407	461,374 (2)	D
Common Stock	03/18/2010	03/23/2010	S		7,200 (1) D \$ 0.38	454,174 (2)	D
Common Stock	03/19/2010	03/24/2010	S		7,400 (1) D \$ 0.39	446,774 (2)	D

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Common Stock	03/22/2010	03/25/2010	S	<u>7,700</u> (1)	D	\$ 0.385	439,074 <u>(2)</u>	D
Common Stock	03/23/2010	03/26/2010	S	<u>7,700</u> (1)	D	\$ 0.39	431,374 <u>(2)</u>	D
Common Stock	03/24/2010	03/29/2010	S	<u>8,000</u> (1)	D	\$ 0.39	423,374 <u>(2)</u>	D
Common Stock	03/25/2010	03/30/2010	S	<u>9,000</u> (1)	D	\$ 0.45	414,374 <u>(2)</u>	D
Common Stock	03/26/2010	03/31/2010	S	<u>10,000</u> (1)	D	\$ 0.41	404,374 <u>(2)</u>	D
Common Stock	03/29/2010	04/01/2010	S	<u>11,000</u> (1)	D	\$ 0.39	393,374 <u>(2)</u>	D
Common Stock	03/30/2010	04/02/2010	S	<u>11,000</u> (1)	D	\$ 0.38	382,374 <u>(2)</u> (3)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

BROENNIMAN EDWARD G  
8910 UNIVERSITY CENTER LANE, #660 X  
SAN DIEGO, CA 92122

## Signatures

/s/ Edward G.  
Broenniman

04/02/2010

\_\_Signature of Reporting  
Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares of common stock were sold by Mr. Broenniman pursuant to a 10b5-1 selling plan providing for the sale of up to 200,000 shares of the issuer's common stock between March 15, 2010 and March 14, 2011. The plan provides for sales of the shares at a price of

(1) no less than \$0.30 per share, at a rate of no more than 5% of the average trading volume in the issuer's common stock for the 30-day period preceding the sale, as reported on [dailyfinance.com](http://dailyfinance.com); provided that such sales may not exceed 1% of the outstanding share balance during any 90-day period.

- In addition to the currently outstanding shares of common stock owned by Mr. Broenniman and reported here, Mr. Broenniman also
- (2) beneficially owns the shares of common stock underlying the following stock options: options to purchase 2,500 shares of common stock at \$3.75 per share; options to purchase 3,000 shares of common stock at \$1.78 per share; options to purchase 514,550 shares of common stock at \$0.38 per share; and options to purchase 500,000 shares of common stock at \$0.41 per share.
- (3) Includes 33,885 shares of common stock owned by Linda Broenniman, the spouse of Edward Broenniman, and 346,500 shares jointly owned by Edward and Linda Broenniman.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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