

Edgar Filing: INTERNATIONAL ASSETS HOLDING CORP - Form 4

INTERNATIONAL ASSETS HOLDING CORP

Form 4

April 10, 2001

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or

Section 30(f) of the Investment Company Act of 1940

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5
obligations may continue. See Instruction 1(b).

=====

1. Name and Address of Reporting Person

BOREN	TODD	A.
-----	-----	-----
(Last)	(First)	(Middle)
250 PARK AVENUE SOUTH/ SUITE 200		

(Street)		
WINTER PARK	FL	32789
-----	-----	-----
(City)	(State)	(Zip)

=====

2. Issuer Name and Ticker or Trading Symbol

INTERNATIONAL ASSETS HOLDING CORPORATION -- IAAC

=====

3. IRS or Social Security Number of Reporting Person (Voluntary)

=====

4. Statement for Month/Year

MARCH, 2001

=====

5. If Amendment, Date of Original (Month/Year)

=====

6. Relationship of Reporting Person to Issuer (Check all applicable)

<input type="checkbox"/> Director	<input type="checkbox"/> 10% Owner
<input checked="" type="checkbox"/> Officer (give title below)	<input type="checkbox"/> Other (specify below)

SENIOR VICE PRESIDENT AND MANAGING DIRECTOR PRIVATE CLIENT GROUP

Edgar Filing: INTERNATIONAL ASSETS HOLDING CORP - Form 4

7. Individual or Joint/Group Filing (Check Applicable Line)

- [X] Form filed by One Reporting Person
 [] Form filed by More Than One Reporting Person

Table I--Non-Derivative Securities Acquired, Disposed of,
 or Beneficially Owned

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 a
		Code	V Amount (A) or (D) Price	

INTERNATIONAL ASSETS
 HOLDING CORPORATION
 COMMON

03/09/01 P 500 A 3.00 4,159

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

FORM 4 (continued)

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: INTERNATIONAL ASSETS HOLDING CORP - Form 4

[illegible]

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

						9. Number of Derivative Securities Beneficially Owned at End of Month (Instr. 5)
6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)		
Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
03/09/02	03/09/06*	Common	30000	3.125		30,000
12/11/00	12/11/06**	Common	7,194	2.512		37,194
10/01/01	10/01/08***	Common	5995	1.486		43,189
12/09/02	12/09/09****	Common	5450	7.167		48,639

Edgar Filing: INTERNATIONAL ASSETS HOLDING CORP - Form 4

=====

Explanation of Responses:*33% VESTS 03/09/02; 33% VESTS 03/09/03; REMAINDER
VESTS 03/09/04

**25% VESTS 12/11/00; 25% VESTS 12/11/01; 25% VESTS 12/11/02; REMAINDER VESTS
12/11/03

** 20% VESTS 10/01/01; 20% VESTS 10/01/02; 20% VESTS 10/01/03; 20% VESTS
10/01/04; REMAINDER VESTS 10/01/05

*** 20% VESTS 12/09/01; 20% VESTS 12/09/02; 20% VESTS 10/01/03; 20% VESTS
10/01/04; REMAINDER VESTS 12/09/05

/s/ TODD A. BOREN

04/05/01

**Signature of Reporting Person

Date