## Edgar Filing: CIENA CORP - Form 4

Form 4											
FORN	ПЛ	STATES	SECU	RITIES A	AND EX	СНА	NGE CO	OMMISSION	OMB AF	PROVAL	
Check th if no lon subject to Section Form 4 c Form 5	iis box ger o <b>STATEN</b> 16. or	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							Number: Expires: Estimated a burden hour response		
obligatio may con <i>See</i> Instr 1(b).	tinue. Section 17( uction	a) of the I	Public U	Itility Ho		npan	y Act of 3	1935 or Section			
SMITH GARY B Symbol				Identification of Trading				5. Relationship of Reporting Person(s) to Issuer			
(Mont				03/2012 -				(Check all applicable) X Director 10% Owner X Officer (give title Other (specify below) below) PRESIDENT, CEO			
				(Month/Day/Year) A				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
(City)	(State)	(Zip)	Tah	ole I - Non-	Derivative	Secur		Person ired, Disposed of,			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		ed Date, if	3.	4. Securiti ordr Dispose (Instr. 3, 4	es Ac ed of (	quired (A) D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/03/2012			S	49,600 (1)	D	\$ 15.2168 (2)	305,914 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
SMITH GARY B C/O CIENA CORPORATION 1201 WINTERSON ROAD LINTHICUM,, MD 21090	Х		PRESIDENT, CEO					
Signatures								
By: Erik Lichter For: Gary B Smith		12/05/2012						
**Signature of Reporting Person		Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sales were effected pursuant to 10b5-1 trading plan dated March 21, 2012.
- (2) Reflects the weighted average sales price with transactions in a range of sales prices from \$15.12 to \$15.31. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.
- (3) Shares reported include unvested Restricted Stock Units (RSUs).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.