Edgar Filing: CIENA CORP - Form 4

CIENA CO	RP										
Form 4											
October 07,	2013										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL		
Washington, D.C. 20549								OMMISSION	OMB Number:	3235-0287 January 31, 2005	
Check th if no lon	ger										
subject t		MENT OF	F CHAN	IGES IN BENEFICIAL OWNERSHIP				ERSHIP OF	Estimated average		
	Section 16.				SECURITIES				burden hours		
Form 4 Form 5			lastice 1	f(a) = f(a)	. Carry	: t ing 1	Caraban an	A at af 1024	response	0.5	
obligatio	-						-	Act of 1934, 1935 or Section			
may con	lunue.			•	•	-	ct of 1940		L		
<i>See</i> Instr 1(b).	ruction	50(11)	or the h	rvesunen	t Compa		01 01 17 10				
(Print or Type	Responses)										
1. Name and	Address of Reporting	Person *	2 Icene	r Nama an	d Ticker c	r Trad	ling	5. Relationship of 1	Reporting Pers	on(s) to	
1. Name and Address of Reporting Person *2. IssueSMITH GARY BSymbol				I I I I I I I I I I I I I I I I I I I			Issuer				
			•	A CORP [CIEN]							
(Last)	(First) (Middle)		-	-			(Check	all applicable)	
			e of Earliest Transaction h/Day/Year)			_X_ Director10% Owner					
			/04/2013 -				XOfficer (give titleOther (specify				
WINTERSON ROAD				below)				below) SIDENT, CEO			
(Street) 4. If Ame			nendment, Date Original			6. Individual or Joint/Group Filing(Check					
			-				Applicable Line)				
								X Form filed by O			
LINTHICU	JM,, MD 21090						- I	Form filed by Me Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	le I - Non-	Derivativ	e Secu	rities Acqui	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of	2. Transaction Date	2A. Deem	ed	3. 4. Securities Acquired (A)				5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Execution	Date, if	Transactio	omr Dispo	sed of	(D)	Securities	Ownership	Indirect	
(Instr. 3)		any (Month/Day/Year)		Code (Instr. 3, 4 and 5) (Instr. 8)				Beneficially Owned	Form: Direct (D)	Beneficial Ownership	
			ay/ I cal)	(111511. 0)				Following	or Indirect	(Instr. 4)	
						(A)		Reported	(I)		
						or		Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
				Code V	Amount	(D)	Price	(msu. 5 and 4)			
Common	10/04/2012			C	2,900	D	\$	27(255(3))	D		
Stock	10/04/2013			S	(1)	D	26.7957 (2)	276,355 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
SMITH GARY B C/O CIENA CORPORATION 1201 WINTERSON ROAD LINTHICUM,, MD 21090	Х		PRESIDENT, CEO					
Signatures								
By: Erik Lichter For: Gary B Smith		10/07/2013						
**Signature of Reporting Person		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sales were effected pursuant to 10b5-1 trading plan dated March 11, 2013.
- (2) Reflects the weighted average sales price with transactions in a range of sales prices from \$25.72 to \$27.50. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.
- (3) Shares reported include unvested Restricted Stock Units (RSUs).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.