Washington, D.C. 20549

CIENA CORP Form 4 December 11, 2013

Common

Stock

12/09/2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

3235-0287

OMB

Number:

G1 1 1	Cl. 1.11.1								
Check the character of	ngar							Expires:	January 31, 2005
subject t Section Form 4	16.	MENT O	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES				Estimated average burden hours per		
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									0.5
(Print or Type	Responses)								
1. Name and Address of Reporting Person * SMITH GARY B			2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] 3. Date of Earliest Transaction			5. Relationship of Reporting Person(s) to Issuer			
						(Check all applicable)			
C/O CIENA WINTERS	A CORPORATIO	Middle) ON, 1201		Day/Year)	ransaction	X Dir X Off below)	icer (give t	10% title Other below) IDENT, CEO	Owner er (specify
	(Street)		4. If Amo	endment, D	ate Original	6. Individ	lual or Joi	nt/Group Filin	g(Check
LINTHICU	JM,, MD 21090		Filed(Mo	nth/Day/Yea	r)		filed by O	ne Reporting Pe ore than One Re	
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative Securities Ac	quired, Dis	posed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	Date, if	3. Transactio	4. Securities Acquired (Approx Disposed of (D) (Instr. 3, 4 and 5)	A) 5. Amo Securit Benefic	ies	6. Ownership Form:	7. Nature of Indirect Beneficial

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

(Instr. 8)

S

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Owned

Following

Reported

Transaction(s)

(Instr. 3 and 4)

 $261,855 \frac{(3)}{}$

Direct (D)

or Indirect

(Instr. 4)

D

Ownership

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Code V Amount (D)

(1)

2,900

(A)

D

Price

23.1092

(2)

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	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	9. Nu
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transact Code (Instr. 8)	orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	:		Amou Under Securi (Instr.	lying	Derivative Security (Instr. 5)	Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
SMITH GARY B C/O CIENA CORPORATION 1201 WINTERSON ROAD LINTHICUM,, MD 21090	X		PRESIDENT, CEO					

Signatures

By: Erik Lichter For: Gary B Smith 12/11/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sales were effected pursuant to 10b5-1 trading plan dated March 11, 2013.
- (2) Reflects the weighted average sales price with transactions in a range of sales prices from \$23.00 to \$23.34. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.
- (3) Shares reported include unvested Restricted Stock Units (RSUs).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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