Edgar Filing: YUM BRANDS INC - Form 4/A

YUM BRAI Form 4/A	NDS INC									
July 09, 200	7									
FORM	ЛЛ								PPROVAL	
	UNITED		URITIES A ashington			NGE	COMMISSION	OMB Number:	3235-0287	
Check th if no lon	der.				101			Expires:	January 31, 2005	
subject t	to STATEMENT OF CHANGES IN BENEFICIAL OV						WNERSHIP OF	Estimated	average	
Form 4 c	ion 16. SECURITIES							burden hou response		
Form 5							nge Act of 1934,			
obligatio may con			•	•	· ·	·	of 1935 or Sectio	on		
<i>See</i> Instr 1(b).	ruction	30(h) of the	Investmen	t Compar	ıy Ас	t of 1	940			
(Print or Type	Responses)									
	Address of Reporting	Person <u>*</u> 2. Iss	ıer Name an	d Ticker or	Tradi	ng	5. Relationship o	f Reporting Per	rson(s) to	
knopf Ted Symbo							Issuer			
YUM			UM BRANDS INC [YUM]				(Check all applicable)			
(Last)	(First) (I		Date of Earliest Transaction Ionth/Day/Year)			Director	109	% Owner		
			/19/2007				X Officer (give title Other (specify			
							below) SVP Fir	below) nance and Contr	oller	
	(Street)	4. If Aı	nendment, D	ate Origina	ıl		6. Individual or J	oint/Group Fili	ng(Check	
			d(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
LOUISVIL	LE, KY 40213	01/19	/2007				Form filed by Person			
(City)	(State)	(Zip) Ta	ble I - Non-	Derivative	Secur	ities A	cquired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security	2. Transaction Date (Month/Day/Year)		3.	4. Securit	ies		5. Amount of	6. Ownership Form: Direct	7. Nature of Indirect	
(Instr. 3)	(Wondi Day Tear)	any	Code	Disposed				(D) or Indirect		
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4	4 and 5	5)	Following	(I) (Instr. 4)	Ownership (Instr. 4)	
					(A)		Reported Transaction(s)			
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Reminder: Rep	port on a separate line	e for each class of se	curities bene	ficially own	ned di	rectly o	or indirectly.	-Non of	NDC 1474	

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amour
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securit
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) Disposed of (Instr. 3, 4, a) 5)	(D)				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo Num Shar
Stock Appreciation Right	\$ 29.61	01/19/2007	01/19/2007	А	16,615		<u>(1)</u>	01/19/2017	Common Stock	16
Phantom Stock	<u>(2)</u>	01/19/2007	01/19/2007	А	5,688.98		(3)	(4)	Common Stock	5,6

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
knopf Ted 1441 GARDINER LANE LOUISVILLE, KY 40213			SVP Finance and Controller				
Olamature a							

Signatures

Ted Knopf	07/09/2007
<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting occurs 25% per year beginning 01/19/2008.
- (2) Conversion occurs on a one-for-one basis.
- (3) Shares of phantom stock are payable on 04/01/2009 or separation and are subject to earlier widthdrawal in accordance with the terms of YUM! Brands, Inc. deferred compensation plan.
- (4) The YUM! Brands, Inc. Executive Income Deferral Plan does not have specified expiration dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.