**HEARL PETER** Form 4 August 03, 2007

## FORM 4

Form 4 or

obligations

may continue.

See Instruction

Form 5

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **HEARL PETER** 

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Director

(Last)

(Middle)

YUM BRANDS INC [YUM]

1441 GARDINER LANE

3. Date of Earliest Transaction (Month/Day/Year)

below)

10% Owner Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

08/01/2007

\_X\_\_ Officer (give title . below) Chief Op. and Dev. Officer

(Check all applicable)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### LOUISVILLE, KY 40213

| (City)                               | (State)                                 | (Zip) Tabl  | e I - Non-D                             | erivative  | Secur            | ities Acq   | uired, Disposed o  | f, or Beneficial  | ly Owned |
|--------------------------------------|---|---|---|--|------------------|-------------|--|---|----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired on (A) or Disposed of (D) (Instr. 3, 4 and 5) |                  |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
|                                      |   |   | Code V                                  | Amount   | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |   |          |
| Common<br>Stock                      | 08/01/2007                              | 08/01/2007  | S                                       | 1,214<br>(1)   | D                | \$<br>31.56 | 48,058   | D   |          |
| Common<br>Stock                      | 08/01/2007                              | 08/01/2007  | S                                       | 300 (1)  | D                | \$<br>31.55 | 47,758   | D   |          |
| Common<br>Stock                      | 08/01/2007                              | 08/01/2007  | S                                       | 400 (1)  | D                | \$<br>31.54 | 47,358   | D   |          |
| Common<br>Stock                      | 08/01/2007                              | 08/01/2007  | S                                       | 2,787<br>(1)   | D                | \$<br>31.53 | 44,571   | D   |          |
| Common<br>Stock                      | 08/01/2007                              | 08/01/2007  | S                                       | 2,541<br>(1)   | D                | \$<br>31.52 | 42,030   | D   |          |

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| Common<br>Stock | 08/01/2007 | 08/01/2007 | S | 4,072<br>(1) | D | \$<br>31.51 | 37,958 | D |
|-----------------|------------|------------|---|--------------|---|-------------|--------|---|
| Common<br>Stock | 08/01/2007 | 08/01/2007 | S | 2,400<br>(1) | D | \$ 31.5     | 35,558 | D |
| Common<br>Stock | 08/01/2007 | 08/01/2007 | S | 500 (1)      | D | \$<br>31.49 | 35,058 | D |
| Common<br>Stock | 08/01/2007 | 08/01/2007 | S | 20 (1)       | D | \$<br>31.48 | 35,038 | D |
| Common<br>Stock | 08/01/2007 | 08/01/2007 | S | 1,300<br>(1) | D | \$<br>31.47 | 33,738 | D |
| Common<br>Stock | 08/01/2007 | 08/01/2007 | S | 1,700<br>(1) | D | \$<br>31.44 | 32,038 | D |
| Common<br>Stock | 08/01/2007 | 08/01/2007 | S | 3,094<br>(1) | D | \$<br>31.43 | 28,944 | D |
| Common<br>Stock | 08/01/2007 | 08/01/2007 | S | 100 (1)      | D | \$<br>31.42 | 28,844 | D |
| Common<br>Stock | 08/01/2007 | 08/01/2007 | S | 1,800<br>(1) | D | \$<br>31.41 | 27,044 | D |
| Common<br>Stock | 08/01/2007 | 08/01/2007 | S | 900 (1)      | D | \$ 31.4     | 26,144 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration D<br>(Month/Day/ | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |       | e and<br>nt of<br>lying<br>ties<br>3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |
|---|---|---|---------------------------------------|---|-----------------------------|--|-------|---|---|
|   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable         | Expiration<br>Date   | Title | Amount<br>or<br>Number<br>of<br>Shares      |   |

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

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# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

**HEARL PETER** 

1441 GARDINER LANE Chief Op. and Dev. Officer

LOUISVILLE, KY 40213

## **Signatures**

Peter R. Hearl 08/02/2007

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares exercised and sold pursuant to SEC 10b5-1 plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3