

STRATTON HAROLD M II

Form 4/A

February 11, 2019

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
STRATTON HAROLD M II

2. Issuer Name **and** Ticker or Trading
Symbol
STRATTEC SECURITY CORP
[STRT]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

P.O. BOX 8057

(Street)

NAPLES, FL 34101

(City)

(State)

(Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
02/04/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)
02/08/2019

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock, par value \$.01 per share	02/04/2019		S		3,500	D \$ 32.0591 ⁽¹⁾	53,854 ⁽²⁾	D	
Common Stock, par value \$.01 per share	02/08/2019		M		10,800	A \$ 10.92	64,654 ⁽³⁾	D	
Common Stock, par value \$.01 per share							2,501	I	Footnote 4 ⁽⁴⁾

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Common Stock Option (right to buy)	\$ 10.92	02/08/2019		M	10,800	02/26/2010 ⁽⁵⁾ 02/26/2019	Common Stock 10,800

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
STRATTON HAROLD M II P.O. BOX 8057 NAPLES, FL 34101	X

Signatures

/s/ Eric P. Hagemeier, via Power of Attorney 02/11/2019

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The price reported above is the average transaction price. The range of prices for such transaction is \$34.00 to \$31.205. Upon request by the Commission staff, the reporting person will provide full information regarding the number of shares sold at each separate price.
- (2) 29,300 of these shares are held jointly by Mr. Stratton and his spouse.
- (3) 40,100 of these shares are held jointly by Mr. Stratton and his spouse.
- (4) These shares are held in various trusts as to which Mr. Stratton is co-trustee and/or beneficiary.

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- (5) The common stock option vested pro rata over a four-year period on each of February 26, 2010, February 26, 2011, February 26, 2012, and February 26, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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