

Edgar Filing: BARINGS PARTICIPATION INVESTORS - Form NSAR-A

BARINGS PARTICIPATION INVESTORS

Form NSAR-A

August 29, 2017

PAGE 1
000 A000000 06/30/2017
000 C000000 0000831655
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 BARINGS PARTICIPATION INVESTORS
001 B000000 811-5531
001 C000000 4132261000
002 A000000 1500 MAIN STREET, P.O. BOX 15189
002 B000000 SPRINGFIELD
002 C000000 MA
002 D010000 01115
002 D020000 5189
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
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008 A000001 BARINGS LLC
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008 C000001 801-241
008 D010001 SPRINGFIELD
008 D020001 MA
008 D030001 01115
008 D040001 5189
012 A000001 DST SYSTEMS, INC.
012 B000001 84-1817
012 C010001 KANSAS CITY
012 C020001 MO
012 C030001 64105
013 A000001 KPMG LLP
013 B010001 BOSTON
013 B020001 MA
013 B030001 02110
013 B040001 2371
014 A000001 BARINGS SECURITIES LLC
014 B000001 8-47589
014 A000002 MML INVESTOR SERVICES, LLC
014 B000002 8-27250
014 A000003 OPPENHEIMER DISTRIBUTOR, INC.
014 B000003 8-22992
014 A000004 MML DISTRIBUTORS, LLC
014 B000004 8-48203
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 BOSTON
PAGE 2
015 C020001 MA
015 C030001 02110
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 2

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019 C000000 CIPIINVEST
021 000000 0
022 A000001 J.P. MORGAN SECURITIES LLC
022 C000001 18690
022 D000001 461
022 A000002 MIZUHO SECURITIES USA/FIXED INCOME
022 B000002 36-2880742
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022 A000003 GOLDMAN SACHS INTERNATIONAL
022 B000003 13-5108880
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022 A000004 MITSUBISHI UFJ SECURITIES (USA), INC
022 C000004 11090
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022 A000005 MERRILL LYNCH PIERCE FENNER & SMITH/FI
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022 D000005 363
022 A000006 CITIGROUP GLOBAL MARKETS INC
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022 A000007 WELLS FARGO SECURITIES LLC
022 C000007 5505
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022 A000008 PERSHING LLC
022 C000008 5067
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022 A000009 BARCLAYS CAPITAL INC.
022 B000009 06-1031656
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022 A000010 RBC CAPITAL MARKETS
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024 000000 Y
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025 A000002 LAZARD GROUP
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PAGE 3
025 D000002 528
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026 G010000 N
026 G020000 N
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027 000000 N
028 A010000 0
028 A020000 0
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| | | | |
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| | | | |
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| 070 | N020000 | N | |
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| 072DD | 010000 | | 2809 |

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| 077 Q020000 | N |
| PAGE 8 | |
| 077 Q030000 | N |
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| 086 A010000 | 36 |
| 086 A020000 | 500 |

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| | | |
|-----------|------------------------|-------------------------------|
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| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
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| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
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| 087 | A030000 | MPV |
| 088 | A030000 | Y |
| 088 | B030000 | N |
| 088 | C030000 | N |
| 088 | D030000 | Y |
| SIGNATURE | JAMES M. ROY | |
| TITLE | Vice President and CFO | |