#### SHOVLIN ROBERT J.

Form 4

February 28, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

Section 16.

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* SHOVLIN ROBERT J.

2. Issuer Name and Ticker or Trading

Symbol

**NEOGENOMICS INC [NEO]** 

3. Date of Earliest Transaction

(Month/Day/Year)

12701 COMMONWEALTH DRIVE 02/26/2019

(First)

(Middle)

Director 10% Owner \_X\_\_ Officer (give title Other (specify

5. Relationship of Reporting Person(s) to

(Check all applicable)

below) President, Clinical Services

SUITE 9

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

#### FORT MYERS, FL 33913

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative S	Securit	ies Acqui	red, Disposed of	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	02/26/2019		M	119,348	A	\$ 7.15	242,739	D	
Common Stock	02/26/2019		M	66,666	A	\$ 7.52	309,405	D	
Common Stock	02/26/2019		S	141,879	D	\$ 18.88	167,526	D	
Common Stock	02/27/2019		S	44,135	D	\$ 19.23	123,391	D	
Common Stock	02/28/2019		M	13,986	A	\$ 7.15	137,377	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

I S	. Title of Derivative Security Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
					Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour Number Shares
(	Stock Option Right to Buy)	\$ 7.15	02/26/2019		M		119,348	04/20/2017(1)	04/20/2021	Common Stock	119,3
(	Stock Option Right to Buy)	\$ 7.15	02/28/2019		M		13,986	04/20/2017(1)	04/20/2021	Common Stock	13,9
(	Stock Option Right to Buy)	\$ 7.52	02/26/2019		M		66,666	04/28/2018(2)	04/28/2022	Common Stock	66,6
(	Stock Option Right to Buy)	\$ 8.03						02/26/2019(3)	02/26/2023	Common Stock	288,5

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

SHOVLIN ROBERT J. 12701 COMMONWEALTH DRIVE SUITE 9 FORT MYERS, FL 33913

President, Clinical Services

Deletionshin

# **Signatures**

/s/ Robert J. 02/28/2019 Shovlin

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\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On April 20, 2016, Mr. Shovlin was granted 200,000 stock options. These options vest ratably over the first three anniversary dates of the grant date.
- (2) On April 28, 2017, Mr. Shovlin was granted 200,000 stock options. These options vest ratably over the first three anniversary dates of the grant date.
- (3) On February 26, 2018, Mr. Shovlin was granted 288,500 stock options. These options vest ratably over the first three anniversary dates of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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