### Edgar Filing: CHARTER COMMUNICATIONS, INC. /MO/ - Form 4

CHARTER COMMUNICATIONS, INC. /MO/ Form 4 May 02, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Hargis Jonathan Issuer Symbol CHARTER COMMUNICATIONS, (Check all applicable) INC. /MO/ [CHTR] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X\_Officer (give title (Month/Day/Year) below) below) C/O CHARTER 04/30/2014 EVP/Chief Marketing Officer COMMUNICATIONS, INC., 400 ATLANTIC STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting STAMFORD, CT 06901 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 3. 2. Transaction Date 2A. Deemed 4. Securities Acquired (A) or 5. Amount of 7. Nature of 6. TransactionDisposed of (D) Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Class A 26,666 Common 04/30/2014 Μ A \$61.87 29,963 D (1) Stock Class A \$ 26,666 Common 04/30/2014 S D 136.2882 3,297 D (2) (4) Stock Class A 3,334 Common 04/30/2014 Μ \$61.87 6,631 D А (3) Stock

### Edgar Filing: CHARTER COMMUNICATIONS, INC. /MO/ - Form 4

Class A			2 224		\$		
Common Stock	04/30/2014	S	3,334 (2)	D	136.8103 (5)	3,297	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactiv Code (Instr. 8)	Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title a Underlyi (Instr. 3 a
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Performance-Vesting Stock Options	\$ 61.87	04/30/2014		М		26,666	04/09/2012	04/09/2022	Class Comm Stock
Time-Vesting Stock Options	\$ 61.87	04/30/2014		М		3,334	04/09/2014	04/09/2022	Class Comm Stock

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Hargis Jonathan C/O CHARTER COMMUNICATIONS, INC. 400 ATLANTIC STREET STAMFORD, CT 06901			EVP/Chief Marketing Officer				
Signatures							
/s/Jennifer Dau-Sullivan as attorney-in-fact for Jon Hargis	nathan	05/01/201	4				
**Signature of Reporting Person		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of portion of vested performance-vesting stock options granted on 4/9/2012 under the Charter Communications, Inc. Amended and Restated 2009 Stock Incentive Plan.
- (2) Includes sale of stock options reported as exercised.
- (3) Exercise of portion of vested time-vesting stock options granted on 4/9/2012 under the Charter Communications, Inc. Amended and Restated 2009 Stock Incentive Plan.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$136.00 to \$137.5, inclusive. The reporting person undertakes to provide Charter Communications, Inc., any security holder of Charter

(4) Stroto to \$157.5, inclusive. The reporting person undertakes to provide charter communications, Inc., any security holder of Charter Communications, Inc., or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$135.20 to \$137.14, inclusive. The reporting person undertakes to provide Charter Communications, Inc., any security holder of Charter

(5) Communications, Inc., or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.