

TRI-CONTINENTAL CORP  
Form N-PX  
August 26, 2014

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**

Washington, DC 20549

**FORM N-PX**

**ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT  
COMPANY**

Investment Company Act File Number:	<b>811-00266</b>
Name of Registrant:	<b>Tri-Continental Corporation</b>
Address of Principal Executive Offices:	<b>225 Franklin Street</b> <b>Boston, Massachusetts 02110</b>
Name and address of agent of service:	<b>Christopher O. Petersen, Esq.</b> <b>c/o Columbia Management Investment Advisers, LLC</b> <b>225 Franklin Street</b> <b>Boston, Massachusetts 02110</b>
Registrant's telephone number including area code:	<b>612-671-4321</b>
Date of fiscal year end:	<b>12/31</b>
Date of reporting period:	<b>07/01/2013 06/30/2014</b>

---

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

\*\*\*\*\* FORM N-Px REPORT \*\*\*\*\*

ICA File Number: 811-00266

Reporting Period: 07/01/2013 - 06/30/2014

Tri-Continental Corporation

Item 1. Proxy Voting Record

===== Tri-Continental Corporation =====

ABBVIE INC.

Ticker: ABBV Security ID: 00287Y109

Meeting Date: MAY 09, 2014 Meeting Type: Annual

Record Date: MAR 12, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Robert J. Alpern	For	For	Management
1.2	Elect Director Edward M. Liddy	For	For	Management
1.3	Elect Director Frederick H. Waddell	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

---

ACE LIMITED

Ticker: ACE Security ID: H0023R105

Meeting Date: JAN 10, 2014 Meeting Type: Special

Record Date: DEC 05, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Dividend Distribution from Legal Reserves	For	For	Management
2	Elect of Homburger AG as Independent Proxy	For	For	Management
3	Transact Other Business (Voting)	For	Against	Management

---

ACE LIMITED

Ticker: ACE Security ID: H0023R105

Meeting Date: MAY 15, 2014 Meeting Type: Annual

Record Date: MAR 31, 2014

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Accept Financial Statements and Statutory Reports	For	For	Management
2	Allocate Disposable Profit	For	For	Management
3	Approve Discharge of Board and Senior Management	For	For	Management
4.1	Elect Director John Edwardson	For	For	Management
4.2	Elect Director Kimberly Ross	For	For	Management
4.3	Elect Director Robert Scully	For	For	Management
4.4	Elect Director David Sidwell	For	For	Management
4.5	Elect Director Evan G. Greenberg	For	For	Management
4.6	Elect Director Robert M. Hernandez	For	For	Management
4.7	Elect Director Michael G. Atieh	For	For	Management
4.8	Elect Director Mary A. Cirillo	For	For	Management
4.9	Elect Director Michael P. Connors	For	For	Management
4.10	Elect Director Peter Menikoff	For	For	Management
4.11	Elect Director Leo F. Mullin	For	For	Management
4.12	Elect Director Eugene B. Shanks, Jr.	For	For	Management
4.13	Elect Director Theodore E. Shasta	For	For	Management
4.14	Elect Director Olivier Steimer	For	For	Management
5	Elect Evan G. Greenberg as Board Chairman	For	For	Management
6.1	Appoint Michael P. Connors as Member of the Compensation Committee	For	For	Management
6.2	Appoint Mary A. Cirillo as Member of the Compensation Committee	For	For	Management
6.3	Appoint John Edwardson as Member of	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

	the Compensation Committee			
6.4	Appoint Robert M. Hernandez as Member of the Compensation Committee	For	For	Management
7	Designate Homburger AG as Independent Proxy	For	For	Management
8.1	Ratify PricewaterhouseCoopers AG (Zurich) as Auditors	For	For	Management
8.2	Ratify PricewaterhouseCoopers LLP (United States) as Independent Registered Accounting Firm as Auditors	For	For	Management
8.3	Ratify BDO AG (Zurich) as Special Auditors	For	For	Management
9	Approve Creation of CHF 3.7 Billion Pool of Capital without Preemptive Rights	For	For	Management
10	Approve Dividend Distribution From Legal Reserves Through Reduction of Par Value	For	For	Management
11	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
12	Transact Other Business (Voting)	For	Against	Management

---

AFLAC INCORPORATED

Ticker: AFL Security ID: 001055102

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Meeting Date: MAY 05, 2014 Meeting Type: Annual

Record Date: FEB 26, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Daniel P. Amos	For	For	Management
1b	Elect Director John Shelby Amos, II	For	For	Management
1c	Elect Director Paul S. Amos, II	For	For	Management
1d	Elect Director W. Paul Bowers	For	For	Management
1e	Elect Director Kriss Cloninger, III	For	For	Management
1f	Elect Director Elizabeth J. Hudson	For	For	Management
1g	Elect Director Douglas W. Johnson	For	For	Management
1h	Elect Director Robert B. Johnson	For	For	Management
1i	Elect Director Charles B. Knapp	For	For	Management
1j	Elect Director Barbara K. Rimer	For	For	Management
1k	Elect Director Melvin T. Stith	For	For	Management
1l	Elect Director David Gary Thompson	For	For	Management
1m	Elect Director Takuro Yoshida	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management

---

ALTRIA GROUP, INC.

Ticker: MO Security ID: 02209S103

Meeting Date: MAY 14, 2014 Meeting Type: Annual

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Record Date: MAR 24, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Gerald L. Baliles	For	For	Management
1.2	Elect Director Martin J. Barrington	For	For	Management
1.3	Elect Director John T. Casteen, III	For	Against	Management
1.4	Elect Director Dinyar S. Devitre	For	For	Management
1.5	Elect Director Thomas F. Farrell, II	For	For	Management
1.6	Elect Director Thomas W. Jones	For	For	Management
1.7	Elect Director Debra J. Kelly-Ennis	For	For	Management
1.8	Elect Director W. Leo Kiely, III	For	For	Management
1.9	Elect Director Kathryn B. McQuade	For	For	Management
1.10	Elect Director George Munoz	For	For	Management
1.11	Elect Director Nabil Y. Sakkab	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Inform Poor and Less Educated on Health Consequences of Tobacco	Against	Abstain	Shareholder
5	Report on Lobbying Payments and Policy	Against	Against	Shareholder

---

AMEREN CORPORATION

Ticker: AEE Security ID: 023608102

Meeting Date: APR 24, 2014 Meeting Type: Annual

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Record Date: FEB 26, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Warner L. Baxter	For	For	Management
1.2	Elect Director Catherine S. Brune	For	For	Management
1.3	Elect Director Ellen M. Fitzsimmons	For	For	Management
1.4	Elect Director Walter J. Galvin	For	For	Management
1.5	Elect Director Richard J. Harshman	For	For	Management
1.6	Elect Director Gayle P. W. Jackson	For	For	Management
1.7	Elect Director James C. Johnson	For	For	Management
1.8	Elect Director Steven H. Lipstein	For	For	Management
1.9	Elect Director Patrick T. Stokes	For	For	Management
1.10	Elect Director Thomas R. Voss	For	For	Management
1.11	Elect Director Stephen R. Wilson	For	For	Management
1.12	Elect Director Jack D. Woodard	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Approve Omnibus Stock Plan	For	For	Management
4	Ratify Auditors	For	For	Management
5	Require Independent Board Chairman	Against	For	Shareholder
6	Report on Lobbying Payments and Policy	Against	Against	Shareholder
7	Report on Adoption of Policies to Meet National GHG Reduction Goal	Against	Abstain	Shareholder

-----  
AMERISOURCEBERGEN CORPORATION



Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: ABC Security ID: 03073E105

Meeting Date: MAR 06, 2014 Meeting Type: Annual

Record Date: JAN 06, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Steven H. Collis	For	For	Management
1.2	Elect Director Douglas R. Conant	For	For	Management
1.3	Elect Director Richard W. Gochnauer	For	For	Management
1.4	Elect Director Richard C. Gozon	For	For	Management
1.5	Elect Director Lon R. Greenberg	For	For	Management
1.6	Elect Director Edward E. Hagenlocker	For	For	Management
1.7	Elect Director Jane E. Henney	For	For	Management
1.8	Elect Director Kathleen W. Hyle	For	For	Management
1.9	Elect Director Michael J. Long	For	For	Management
1.10	Elect Director Henry W. McGee	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Approve Omnibus Stock Plan	For	For	Management
5	Provide Right to Call Special Meeting	For	For	Management

-----  
AMGEN INC.

Ticker: AMGN Security ID: 031162100

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Meeting Date: MAY 15, 2014 Meeting Type: Annual

Record Date: MAR 17, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director David Baltimore	For	For	Management
1.2	Elect Director Frank J. Biondi, Jr.	For	For	Management
1.3	Elect Director Robert A. Bradway	For	For	Management
1.4	Elect Director Francois de Carbonnel	For	For	Management
1.5	Elect Director Vance D. Coffman	For	For	Management
1.6	Elect Director Robert A. Eckert	For	For	Management
1.7	Elect Director Greg C. Garland	For	For	Management
1.8	Elect Director Rebecca M. Henderson	For	For	Management
1.9	Elect Director Frank C. Herringer	For	For	Management
1.10	Elect Director Tyler Jacks	For	For	Management
1.11	Elect Director Judith C. Pelham	For	For	Management
1.12	Elect Director Ronald D. Sugar	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Provide Vote Counting to Exclude Abstentions	Against	For	Shareholder

---

ANADARKO PETROLEUM CORPORATION

Ticker: APC Security ID: 032511107

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Meeting Date: MAY 13, 2014 Meeting Type: Annual

Record Date: MAR 18, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Anthony R. Chase	For	For	Management
1b	Elect Director Kevin P. Chilton	For	For	Management
1c	Elect Director H. Paulett Eberhart	For	For	Management
1d	Elect Director Peter J. Fluor	For	For	Management
1e	Elect Director Richard L. George	For	For	Management
1f	Elect Director Charles W. Goodyear	For	For	Management
1g	Elect Director John R. Gordon	For	For	Management
1h	Elect Director Eric D. Mullins	For	For	Management
1i	Elect Director R. A. Walker	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Report on Political Contributions	Against	Against	Shareholder
5	Report on Financial Risks of Climate Change	Against	Abstain	Shareholder

---

ANALOG DEVICES, INC.

Ticker: ADI Security ID: 032654105

Meeting Date: MAR 12, 2014 Meeting Type: Annual

Record Date: JAN 10, 2014

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Ray Stata	For	For	Management
1b	Elect Director Vincent T. Roche	For	For	Management
1c	Elect Director Richard M. Beyer	For	For	Management
1d	Elect Director James A. Champy	For	For	Management
1e	Elect Director John C. Hodgson	For	For	Management
1f	Elect Director Yves-Andre Istel	For	For	Management
1g	Elect Director Neil Novich	For	For	Management
1h	Elect Director F. Grant Saviers	For	For	Management
1i	Elect Director Kenton J. Sicchitano	For	For	Management
1j	Elect Director Lisa T. Su	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Ratify Auditors	For	For	Management

-----

AON PLC

Ticker: AON Security ID: G0408V102

Meeting Date: JUN 24, 2014 Meeting Type: Annual

Record Date: APR 25, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Lester B. Knight	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.2	Elect Director Gregory C. Case	For	For	Management
1.3	Elect Director Fulvio Conti	For	For	Management
1.4	Elect Director Cheryl A. Francis	For	For	Management
1.5	Elect Director Edgar D. Jannotta	For	For	Management
1.6	Elect Director James W. Leng	For	For	Management
1.7	Elect Director J. Michael Losh	For	Against	Management
1.8	Elect Director Robert S. Morrison	For	For	Management
1.9	Elect Director Richard B. Myers	For	For	Management
1.10	Elect Director Richard C. Notebaert	For	For	Management
1.11	Elect Director Gloria Santona	For	For	Management
1.12	Elect Director Carolyn Y. Woo	For	For	Management
2	Accept Financial Statements and Statutory Reports	For	For	Management
3	Ratify Ernst and Young LLP as Aon's Auditors	For	For	Management
4	Ratify Ernst and Young LLP as Aon's U. K. Statutory Auditor	For	For	Management
5	Authorize Board to Fix Remuneration of Internal Statutory Auditor	For	For	Management
6	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
7	Approve Remuneration Policy	For	For	Management
8	Advisory Vote to Ratify Directors' Remuneration Report	For	For	Management
9	Amend Omnibus Stock Plan	For	Against	Management
10	Authorize Share Repurchase Program	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

---

APPLE INC.

Ticker: AAPL Security ID: 037833100

Meeting Date: FEB 28, 2014 Meeting Type: Annual

Record Date: DEC 30, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director William Campbell	For	For	Management
1.2	Elect Director Timothy Cook	For	For	Management
1.3	Elect Director Millard Drexler	For	For	Management
1.4	Elect Director Al Gore	For	For	Management
1.5	Elect Director Robert Iger	For	For	Management
1.6	Elect Director Andrea Jung	For	For	Management
1.7	Elect Director Arthur Levinson	For	For	Management
1.8	Elect Director Ronald Sugar	For	For	Management
2	Adopt Majority Voting for Uncontested Election of Directors	For	For	Management
3	Amend Articles to Eliminate Board Blank Check Authority to Issue Preferred Stock	For	For	Management
4	Establish a Par Value for Common Stock	For	For	Management
5	Ratify Auditors	For	For	Management
6	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
7	Approve Omnibus Stock Plan	For	For	Management
8	Establish Board Committee on Human	Against	Abstain	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

### Rights

9	Report on Trade Associations and Organizations that Promote Sustainability Practices	Against	Abstain	Shareholder
10	Advisory Vote to Increase Capital Repurchase Program	Against	Abstain	Shareholder
11	Proxy Access	Against	Against	Shareholder

-----

ARCHER-DANIELS-MIDLAND COMPANY

Ticker: ADM Security ID: 039483102

Meeting Date: MAY 01, 2014 Meeting Type: Annual

Record Date: MAR 10, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Alan L. Boeckmann	For	For	Management
1.2	Elect Director Mollie Hale Carter	For	For	Management
1.3	Elect Director Terrell K. Crews	For	For	Management
1.4	Elect Director Pierre Dufour	For	For	Management
1.5	Elect Director Donald E. Felsing	For	For	Management
1.6	Elect Director Antonio Maciel Neto	For	For	Management
1.7	Elect Director Patrick J. Moore	For	For	Management
1.8	Elect Director Thomas F. O'Neill	For	For	Management
1.9	Elect Director Francisco Sanchez	For	For	Management
1.10	Elect Director Daniel Shih	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.11	Elect Director Kelvin R. Westbrook	For	For	Management
1.12	Elect Director Patricia A. Woertz	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder

---

ARES CAPITAL CORPORATION

Ticker: ARCC Security ID: 04010L103

Meeting Date: JUN 02, 2014 Meeting Type: Annual

Record Date: APR 10, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Michael J. Arougheti	For	For	Management
1.2	Elect Director Ann Torre Bates	For	For	Management
1.3	Elect Director Steven B. McKeever	For	For	Management
2	Ratify Auditors	For	For	Management
3	Approve Issuance of Shares Below Net Asset Value (NAV)	For	For	Management

---

AT&T INC.



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: T Security ID: 00206R102

Meeting Date: APR 25, 2014 Meeting Type: Annual

Record Date: FEB 26, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Randall L. Stephenson	For	For	Management
1.2	Elect Director Reuben V. Anderson	For	For	Management
1.3	Elect Director Jaime Chico Pardo	For	For	Management
1.4	Elect Director Scott T. Ford	For	For	Management
1.5	Elect Director James P. Kelly	For	For	Management
1.6	Elect Director Jon C. Madonna	For	For	Management
1.7	Elect Director Michael B. McCallister	For	For	Management
1.8	Elect Director John B. McCoy	For	For	Management
1.9	Elect Director Beth E. Mooney	For	For	Management
1.10	Elect Director Joyce M. Roche	For	For	Management
1.11	Elect Director Matthew K. Rose	For	For	Management
1.12	Elect Director Cynthia B. Taylor	For	For	Management
1.13	Elect Director Laura D'Andrea Tyson	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Approve Severance Agreements/Change-in-Control Agreements	For	For	Management
5	Report on Indirect Political Contributions	Against	Against	Shareholder
6	Report on Lobbying Payments and Policy	Against	Against	Shareholder
7	Lower Ownership Threshold for Action	Against	For	Shareholder

# Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

by Written Consent

-----  
AUTOMATIC DATA PROCESSING, INC.

Ticker: ADP Security ID: 053015103

Meeting Date: NOV 12, 2013 Meeting Type: Annual

Record Date: SEP 13, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Ellen R. Alemany	For	For	Management
1.2	Elect Director Gregory D. Brenneman	For	For	Management
1.3	Elect Director Leslie A. Brun	For	For	Management
1.4	Elect Director Richard T. Clark	For	For	Management
1.5	Elect Director Eric C. Fast	For	For	Management
1.6	Elect Director Linda R. Gooden	For	For	Management
1.7	Elect Director R. Glenn Hubbard	For	For	Management
1.8	Elect Director John P. Jones	For	For	Management
1.9	Elect Director Carlos A. Rodriguez	For	For	Management
1.10	Elect Director Gregory L. Summe	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

---

BANK OF MONTREAL

Ticker: BMO Security ID: 063671101

Meeting Date: APR 01, 2014 Meeting Type: Annual

Record Date: JAN 31, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Robert M. Astley	For	For	Management
1.2	Elect Director Janice M. Babiak	For	For	Management
1.3	Elect Director Sophie Brochu	For	For	Management
1.4	Elect Director George A. Cope	For	For	Management
1.5	Elect Director William A. Downe	For	For	Management
1.6	Elect Director Christine A. Edwards	For	For	Management
1.7	Elect Director Ronald H. Farmer	For	For	Management
1.8	Elect Director Eric R. La Fleche	For	For	Management
1.9	Elect Director Bruce H. Mitchell	For	For	Management
1.10	Elect Director Philip S. Orsino	For	For	Management
1.11	Elect Director Martha C. Piper	For	For	Management
1.12	Elect Director J. Robert S. Prichard	For	For	Management
1.13	Elect Director Don M. Wilson III	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote on Executive Compensation Approach	For	For	Management
4	SP 1: Phase Out Stock Options as a Form of Compensation	Against	Against	Shareholder
5	SP 2: Provide Response on Say-on-Pay	Against	Against	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

### Vote Results

6	SP 3: Increase Disclosure of Pension Plans	Against	Against	Shareholder
7	SP 4: Auditor Rotation	Against	Against	Shareholder
8	SP 5: Disclose Conformation to the OECD's Guidelines	Against	Against	Shareholder

BECTON, DICKINSON AND COMPANY

Ticker:           BDX                   Security ID: 075887109

Meeting Date: JAN 28, 2014   Meeting Type: Annual

Record Date: DEC 10, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Basil L. Anderson	For	For	Management
1.2	Elect Director Henry P. Becton, Jr.	For	For	Management
1.3	Elect Director Catherine M. Burzik	For	For	Management
1.4	Elect Director Edward F. DeGraan	For	For	Management
1.5	Elect Director Vincent A. Forlenza	For	For	Management
1.6	Elect Director Claire M. Fraser	For	For	Management
1.7	Elect Director Christopher Jones	For	For	Management
1.8	Elect Director Marshall O. Larsen	For	For	Management
1.9	Elect Director Gary A. Mecklenburg	For	For	Management
1.10	Elect Director James F. Orr	For	For	Management
1.11	Elect Director Willard J. Overlock, Jr.	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.12	Elect Director Rebecca W. Rimel	For	For	Management
1.13	Elect Director Bertram L. Scott	For	For	Management
1.14	Elect Director Alfred Sommer	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Amend Executive Incentive Bonus Plan	For	For	Management
6	Require Independent Board Chairman	Against	For	Shareholder

---

BERKSHIRE HATHAWAY INC.

Ticker: BRK.B                      Security ID: 084670702

Meeting Date: MAY 03, 2014      Meeting Type: Annual

Record Date: MAR 05, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Warren E. Buffett	For	For	Management
1.2	Elect Director Charles T. Munger	For	For	Management
1.3	Elect Director Howard G. Buffett	For	For	Management
1.4	Elect Director Stephen B. Burke	For	For	Management
1.5	Elect Director Susan L. Decker	For	For	Management
1.6	Elect Director William H. Gates, III	For	For	Management
1.7	Elect Director David S. Gottesman	For	For	Management
1.8	Elect Director Charlotte Guyman	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.9	Elect Director Donald R. Keough	For	For	Management
1.10	Elect Director Thomas S. Murphy	For	Withhold	Management
1.11	Elect Director Ronald L. Olson	For	Withhold	Management
1.12	Elect Director Walter Scott, Jr.	For	For	Management
1.13	Elect Director Meryl B. Witmer	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	Three Years	One Year	Management
4	Adopt Quantitative Goals for GHG and Other Air Emissions	Against	Abstain	Shareholder
5	Approve Annual Dividends	Against	Against	Shareholder

-----

BEST BUY CO., INC.

Ticker:           BBY                   Security ID: 086516101

Meeting Date: JUN 10, 2014   Meeting Type: Annual

Record Date:   APR 14, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Lisa M. Caputo	For	For	Management
1b	Elect Director Russell P. Fradin	For	For	Management
1c	Elect Director Kathy J. Higgins Victor	For	For	Management
1d	Elect Director Hubert Joly	For	For	Management
1e	Elect Director David W. Kenny	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1f	Elect Director Thomas L. 'Tommy' Millner	For	For	Management
1g	Elect Director Gerard R. Vittecoq	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Approve Omnibus Stock Plan	For	For	Management

-----

BLACKROCK, INC.

Ticker:           BLK                   Security ID: 09247X101

Meeting Date: MAY 29, 2014   Meeting Type: Annual

Record Date:   APR 03, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Abdlatif Yousef Al-Hamad	For	For	Management
1b	Elect Director Mathis Cabiallavetta	For	For	Management
1c	Elect Director Pamela Daley	For	For	Management
1d	Elect Director Jessica P. Einhorn	For	For	Management
1e	Elect Director Fabrizio Freda	For	For	Management
1f	Elect Director Murry S. Gerber	For	For	Management
1g	Elect Director James Grosfeld	For	For	Management
1h	Elect Director David H. Komansky	For	For	Management
1i	Elect Director Deryck Maughan	For	For	Management
1j	Elect Director Cheryl D. Mills	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1k	Elect Director Marco Antonio Slim Domit	For	For	Management
1l	Elect Director John S. Varley	For	For	Management
1m	Elect Director Susan L. Wagner	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Amend Executive Incentive Bonus Plan	For	Against	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
5	Ratify Auditors	For	For	Management

-----

BP PLC

Ticker: BP. Security ID: 055622104

Meeting Date: APR 10, 2014 Meeting Type: Annual

Record Date: MAR 28, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Accept Financial Statements and Statutory Reports	For	For	Management
2	Approve Remuneration Report	For	Against	Management
3	Approve Remuneration Policy	For	For	Management
4	Re-elect Bob Dudley as Director	For	For	Management
5	Re-elect Iain Conn as Director	For	For	Management
6	Re-elect Dr Brian Gilvary as Director	For	For	Management
7	Re-elect Paul Anderson as Director	For	For	Management
8	Re-elect Frank Bowman as Director	For	For	Management



Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

9	Re-elect Antony Burgmans as Director	For	For	Management
10	Re-elect Cynthia Carroll as Director	For	For	Management
11	Re-elect George David as Director	For	For	Management
12	Re-elect Ian Davis as Director	For	For	Management
13	Re-elect Dame Ann Dowling as Director	For	For	Management
14	Re-elect Brendan Nelson as Director	For	For	Management
15	Re-elect Phuthuma Nhleko as Director	For	For	Management
16	Re-elect Andrew Shilston as Director	For	For	Management
17	Re-elect Carl-Henric Svanberg as Director	For	For	Management
18	Reappoint Ernst & Young LLP as Auditors and Authorise Their Remuneration	For	For	Management
19	Approve Executive Directors' Incentive Plan	For	For	Management
20	Approve Remuneration of Non-Executive Directors	For	For	Management
21	Authorise Issue of Equity with Pre-emptive Rights	For	For	Management
22	Authorise Issue of Equity without Pre-emptive Rights	For	For	Management
23	Authorise Market Purchase of Ordinary Shares	For	For	Management
24	Authorise the Company to Call EGM with Two Weeks' Notice	For	Against	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

BROADCOM CORPORATION

Ticker: BRCM Security ID: 111320107

Meeting Date: MAY 13, 2014 Meeting Type: Annual

Record Date: MAR 17, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Robert J. Finocchio, Jr.	For	For	Management
1.2	Elect Director Nancy H. Handel	For	For	Management
1.3	Elect Director Eddy W. Hartenstein	For	Withhold	Management
1.4	Elect Director Maria M. Klawe	For	For	Management
1.5	Elect Director John E. Major	For	Withhold	Management
1.6	Elect Director Scott A. McGregor	For	For	Management
1.7	Elect Director William T. Morrow	For	Withhold	Management
1.8	Elect Director Henry Samueli	For	For	Management
1.9	Elect Director Robert E. Switz	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Ratify Auditors	For	For	Management

---

C. R. BARD, INC.

Ticker: BCR Security ID: 067383109

Meeting Date: APR 16, 2014 Meeting Type: Annual

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Record Date: FEB 24, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director David M. Barrett	For	For	Management
1.2	Elect Director John C. Kelly	For	For	Management
1.3	Elect Director David F. Melcher	For	For	Management
1.4	Elect Director Gail K. Naughton	For	For	Management
1.5	Elect Director John H. Weiland	For	For	Management
1.6	Elect Director Anthony Welters	For	For	Management
1.7	Elect Director Tony L. White	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Amend Executive Incentive Bonus Plan	For	For	Management
5	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
6	Report on Sustainability	Against	Abstain	Shareholder
7	Require Independent Board Chairman	Against	For	Shareholder

-----  
CA, INC.

Ticker: CA Security ID: 12673P105

Meeting Date: JUL 31, 2013 Meeting Type: Annual

Record Date: JUN 06, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
---	----------	---------	-----------	---------

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1A	Elect Director Jens Alder	For	For	Management
1B	Elect Director Raymond J. Bromark	For	For	Management
1C	Elect Director Gary J. Fernandes	For	For	Management
1D	Elect Director Michael P. Gregoire	For	For	Management
1E	Elect Director Rohit Kapoor	For	For	Management
1F	Elect Director Kay Koplovitz	For	For	Management
1G	Elect Director Christopher B. Lofgren	For	For	Management
1H	Elect Director Richard Sulpizio	For	For	Management
1I	Elect Director Laura S. Unger	For	For	Management
1J	Elect Director Arthur F. Weinbach	For	For	Management
1K	Elect Director Renato (Ron) Zambonini	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Shareholder Rights Plan (Poison Pill)	For	Against	Management

-----

CAMPBELL SOUP COMPANY

Ticker: CPB Security ID: 134429109

Meeting Date: NOV 20, 2013 Meeting Type: Annual

Record Date: SEP 23, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Edmund M. Carpenter	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.2	Elect Director Paul R. Charron	For	For	Management
1.3	Elect Director Bennett Dorrance	For	For	Management
1.4	Elect Director Lawrence C. Karlson	For	For	Management
1.5	Elect Director Randall W. Larrimore	For	For	Management
1.6	Elect Director Mary Alice Dorrance Malone	For	For	Management
1.7	Elect Director Sara Mathew	For	For	Management
1.8	Elect Director Denise M. Morrison	For	For	Management
1.9	Elect Director Charles R. Perrin	For	For	Management
1.10	Elect Director A. Barry Rand	For	For	Management
1.11	Elect Director Nick Shreiber	For	For	Management
1.12	Elect Director Tracey T. Travis	For	For	Management
1.13	Elect Director Archbold D. van Beuren	For	Withhold	Management
1.14	Elect Director Les C. Vinney	For	For	Management
1.15	Elect Director Charlotte C. Weber	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

---

CATERPILLAR INC.

Ticker: CAT Security ID: 149123101

Meeting Date: JUN 11, 2014 Meeting Type: Annual

Record Date: APR 14, 2014

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director David L. Calhoun	For	For	Management
1.2	Elect Director Daniel M. Dickinson	For	For	Management
1.3	Elect Director Juan Gallardo	For	For	Management
1.4	Elect Director Jesse J. Greene, Jr.	For	For	Management
1.5	Elect Director Jon M. Huntsman, Jr.	For	For	Management
1.6	Elect Director Peter A. Magowan	For	For	Management
1.7	Elect Director Dennis A. Muilenburg	For	For	Management
1.8	Elect Director Douglas R. Oberhelman	For	For	Management
1.9	Elect Director William A. Osborn	For	For	Management
1.10	Elect Director Edward B. Rust, Jr.	For	For	Management
1.11	Elect Director Susan C. Schwab	For	For	Management
1.12	Elect Director Miles D. White	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Approve Omnibus Stock Plan	For	For	Management
5	Approve Executive Incentive Bonus Plan	For	For	Management
6	Review and Amend Human Rights Policies	Against	Abstain	Shareholder
7	Report on Controls to Ensure no Sales to Sudan	Against	Abstain	Shareholder
8	Provide for Cumulative Voting	Against	Against	Shareholder

-----

CELGENE CORPORATION

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: CELG Security ID: 151020104

Meeting Date: JUN 18, 2014 Meeting Type: Annual

Record Date: APR 21, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Robert J. Hugin	For	For	Management
1.2	Elect Director Richard W. Barker	For	For	Management
1.3	Elect Director Michael D. Casey	For	For	Management
1.4	Elect Director Carrie S. Cox	For	For	Management
1.5	Elect Director Rodman L. Drake	For	For	Management
1.6	Elect Director Michael A. Friedman	For	For	Management
1.7	Elect Director Gilla Kaplan	For	For	Management
1.8	Elect Director James J. Loughlin	For	For	Management
1.9	Elect Director Ernest Mario	For	Withhold	Management
2	Ratify Auditors	For	For	Management
3	Increase Authorized Common Stock and Approve Stock Split	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
6	Report on Lobbying Payments and Policy	Against	For	Shareholder

-----  
CENTURYLINK, INC.

Ticker: CTL Security ID: 156700106

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Meeting Date: MAY 28, 2014 Meeting Type: Annual

Record Date: APR 10, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Virginia Boulet	For	For	Management
1.2	Elect Director Peter C. Brown	For	For	Management
1.3	Elect Director Richard A. Gephardt	For	For	Management
1.4	Elect Director W. Bruce Hanks	For	For	Management
1.5	Elect Director Gregory J. McCray	For	For	Management
1.6	Elect Director C. G. Melville, Jr.	For	For	Management
1.7	Elect Director Fred R. Nichols	For	For	Management
1.8	Elect Director William A. Owens	For	For	Management
1.9	Elect Director Harvey P. Perry	For	Withhold	Management
1.10	Elect Director Glen F. Post, III	For	For	Management
1.11	Elect Director Michael J. Roberts	For	For	Management
1.12	Elect Director Laurie A. Siegel	For	For	Management
1.13	Elect Director Joseph R. Zimmel	For	For	Management
2	Ratify Auditors	For	For	Management
3	Provide Proxy Access Right	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Stock Retention	Against	Against	Shareholder

-----  
CF INDUSTRIES HOLDINGS, INC.



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: CF Security ID: 125269100

Meeting Date: MAY 14, 2014 Meeting Type: Annual

Record Date: MAR 24, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Robert C. Arzbaecher	For	For	Management
1b	Elect Director William Davisson	For	For	Management
1c	Elect Director Stephen J. Hagge	For	For	Management
1d	Elect Director Robert G. Kuhbach	For	For	Management
1e	Elect Director Edward A. Schmitt	For	For	Management
2	Eliminate Supermajority Vote Requirement	For	For	Management
3	Eliminate Supermajority Vote Requirement	For	For	Management
4	Eliminate Supermajority Vote Requirement	For	For	Management
5	Provide Right to Call Special Meeting	For	For	Management
6	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
7	Approve Omnibus Stock Plan	For	For	Management
8	Ratify Auditors	For	For	Management

---

CHEVRON CORPORATION

Ticker: CVX Security ID: 166764100

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Meeting Date: MAY 28, 2014 Meeting Type: Annual

Record Date: APR 02, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Linnet F. Deily	For	For	Management
1b	Elect Director Robert E. Denham	For	For	Management
1c	Elect Director Alice P. Gast	For	For	Management
1d	Elect Director Enrique Hernandez, Jr.	For	For	Management
1e	Elect Director Jon M. Huntsman, Jr.	For	For	Management
1f	Elect Director George L. Kirkland	For	For	Management
1g	Elect Director Charles W. Moorman, IV	For	For	Management
1h	Elect Director Kevin W. Sharer	For	For	Management
1i	Elect Director John G. Stumpf	For	For	Management
1j	Elect Director Ronald D. Sugar	For	For	Management
1k	Elect Director Carl Ware	For	For	Management
1l	Elect Director John S. Watson	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Report on Charitable Contributions	Against	Abstain	Shareholder
5	Report on Lobbying Payments and Policy	Against	Against	Shareholder
6	Report on Management of Hydraulic Fracturing Risks and Opportunities	Against	Abstain	Shareholder
7	Require Independent Board Chairman	Against	For	Shareholder
8	Amend Bylaws -- Call Special Meetings	Against	Against	Shareholder
9	Require Director Nominee with Environmental Experience	Against	Abstain	Shareholder
10	Adopt Guidelines for Country Selection	Against	Abstain	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

-----

CISCO SYSTEMS, INC.

Ticker: CSCO Security ID: 17275R102

Meeting Date: NOV 19, 2013 Meeting Type: Annual

Record Date: SEP 20, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Carol A. Bartz	For	For	Management
1b	Elect Director Marc Benioff	For	For	Management
1c	Elect Director Gregory Q. Brown	For	For	Management
1d	Elect Director M. Michele Burns	For	For	Management
1e	Elect Director Michael D. Capellas	For	For	Management
1f	Elect Director John T. Chambers	For	For	Management
1g	Elect Director Brian L. Halla	For	For	Management
1h	Elect Director John L. Hennessy	For	Against	Management
1i	Elect Director Kristina M. Johnson	For	For	Management
1j	Elect Director Roderick C. McGeary	For	For	Management
1k	Elect Director Arun Sarin	For	For	Management
1l	Elect Director Steven M. West	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Ratify Auditors	For	For	Management
5	Approve Proxy Advisor Competition	Against	Against	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

-----

CITIGROUP INC.

Ticker: C Security ID: 172967424

Meeting Date: APR 22, 2014 Meeting Type: Annual

Record Date: FEB 24, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Michael L. Corbat	For	For	Management
1b	Elect Director Duncan P. Hennes	For	For	Management
1c	Elect Director Franz B. Humer	For	For	Management
1d	Elect Director Eugene M. McQuade	For	For	Management
1e	Elect Director Michael E. O'Neill	For	For	Management
1f	Elect Director Gary M. Reiner	For	For	Management
1g	Elect Director Judith Rodin	For	Against	Management
1h	Elect Director Robert L. Ryan	For	For	Management
1i	Elect Director Anthony M. Santomero	For	For	Management
1j	Elect Director Joan E. Spero	For	For	Management
1k	Elect Director Diana L. Taylor	For	For	Management
1l	Elect Director William S. Thompson, Jr.	For	For	Management
1m	Elect Director James S. Turley	For	For	Management
1n	Elect Director Ernesto Zedillo Ponce de Leon	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named	For	Against	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

### Executive Officers' Compensation

4	Approve Omnibus Stock Plan	For	For	Management
5	Stock Retention/Holding Period	Against	Against	Shareholder
6	Report on Lobbying Payments and Policy	Against	Against	Shareholder
7	Amend Director Indemnifications	Against	Against	Shareholder
	Provisions			
8	Adopt Proxy Access Right	Against	Against	Shareholder

-----

COLONY FINANCIAL, INC.

Ticker: CLNY Security ID: 19624R106

Meeting Date: MAY 08, 2014 Meeting Type: Annual

Record Date: MAR 25, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Thomas J. Barrack, Jr.	For	For	Management
1.2	Elect Director Richard B. Saltzman	For	For	Management
1.3	Elect Director George G. C. Parker	For	For	Management
1.4	Elect Director John A. Somers	For	For	Management
1.5	Elect Director John L. Steffens	For	For	Management
2	Advisory Vote to Ratify Named	For	For	Management
	Executive Officers' Compensation			
3	Ratify Auditors	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

-----

COMCAST CORPORATION

Ticker: CMCSA Security ID: 20030N101

Meeting Date: MAY 21, 2014 Meeting Type: Annual

Record Date: MAR 21, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Kenneth J. Bacon	For	For	Management
1.2	Elect Director Sheldon M. Bonovitz	For	For	Management
1.3	Elect Director Edward D. Breen	For	For	Management
1.4	Elect Director Joseph J. Collins	For	Withhold	Management
1.5	Elect Director J. Michael Cook	For	For	Management
1.6	Elect Director Gerald L. Hassell	For	Withhold	Management
1.7	Elect Director Jeffrey A. Honickman	For	For	Management
1.8	Elect Director Eduardo G. Mestre	For	For	Management
1.9	Elect Director Brian L. Roberts	For	For	Management
1.10	Elect Director Ralph J. Roberts	For	For	Management
1.11	Elect Director Johnathan A. Rodgers	For	For	Management
1.12	Elect Director Judith Rodin	For	Withhold	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Report on Lobbying Payments and Policy	Against	Against	Shareholder
5	Pro-rata Vesting of Equity Plans	Against	For	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

-----  
COMERICA INCORPORATED

Ticker: CMA Security ID: 200340107

Meeting Date: APR 22, 2014 Meeting Type: Annual

Record Date: FEB 21, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Ralph W. Babb, Jr.	For	For	Management
1.2	Elect Director Roger A. Cregg	For	For	Management
1.3	Elect Director T. Kevin DeNicola	For	For	Management
1.4	Elect Director Jacqueline P. Kane	For	For	Management
1.5	Elect Director Richard G. Lindner	For	For	Management
1.6	Elect Director Alfred A. Piergallini	For	For	Management
1.7	Elect Director Robert S. Taubman	For	For	Management
1.8	Elect Director Reginald M. Turner, Jr.	For	For	Management
1.9	Elect Director Nina G. Vaca	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

-----  
CONOCOPHILLIPS

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: COP Security ID: 20825C104

Meeting Date: MAY 13, 2014 Meeting Type: Annual

Record Date: MAR 14, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Richard L. Armitage	For	For	Management
1b	Elect Director Richard H. Auchinleck	For	For	Management
1c	Elect Director Charles E. Bunch	For	For	Management
1d	Elect Director James E. Copeland, Jr.	For	For	Management
1e	Elect Director Jody L. Freeman	For	For	Management
1f	Elect Director Gay Huey Evans	For	For	Management
1g	Elect Director Ryan M. Lance	For	For	Management
1h	Elect Director Robert A. Niblock	For	For	Management
1i	Elect Director Harald J. Norvik	For	For	Management
1j	Elect Director William E. Wade, Jr.	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Approve Omnibus Stock Plan	For	For	Management
5	Report on Lobbying Payments and Policy	Against	Against	Shareholder
6	Adopt Quantitative GHG Goals for Operations	Against	Abstain	Shareholder

-----  
CSX CORPORATION



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: CSX Security ID: 126408103

Meeting Date: MAY 07, 2014 Meeting Type: Annual

Record Date: MAR 07, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Donna M. Alvarado	For	For	Management
1b	Elect Director John B. Breaux	For	For	Management
1c	Elect Director Pamela L. Carter	For	For	Management
1d	Elect Director Steven T. Halverson	For	For	Management
1e	Elect Director Edward J. Kelly, III	For	For	Management
1f	Elect Director Gilbert H. Lamphere	For	For	Management
1g	Elect Director John D. McPherson	For	For	Management
1h	Elect Director Timothy T. O'Toole	For	For	Management
1i	Elect Director David M. Ratcliffe	For	For	Management
1j	Elect Director Donald J. Shepard	For	For	Management
1k	Elect Director Michael J. Ward	For	For	Management
1l	Elect Director J.C. Watts, Jr.	For	For	Management
1m	Elect Director J. Steven Whisler	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Provide Right to Act by Written Consent Against	For		Shareholder

-----  
 CULLEN/FROST BANKERS, INC.

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: CFR Security ID: 229899109

Meeting Date: APR 24, 2014 Meeting Type: Annual

Record Date: MAR 07, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director R. Denny Alexander	For	For	Management
1.2	Elect Director Carlos Alvarez	For	For	Management
1.3	Elect Director Royce S. Caldwell	For	For	Management
1.4	Elect Director Crawford H. Edwards	For	For	Management
1.5	Elect Director Ruben M. Escobedo	For	For	Management
1.6	Elect Director Richard W. Evans, Jr.	For	For	Management
1.7	Elect Director Patrick B. Frost	For	For	Management
1.8	Elect Director David J. Haemisegger	For	For	Management
1.9	Elect Director Karen E. Jennings	For	For	Management
1.10	Elect Director Richard M. Kleberg, III	For	For	Management
1.11	Elect Director Charles W. Matthews	For	For	Management
1.12	Elect Director Ida Clement Steen	For	For	Management
1.13	Elect Director Horace Wilkins, Jr.	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

-----  
CVS CAREMARK CORPORATION

Ticker: CVS Security ID: 126650100

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Meeting Date: MAY 08, 2014 Meeting Type: Annual

Record Date: MAR 13, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director C. David Brown, II	For	For	Management
1.2	Elect Director Nancy-Ann M. DeParle	For	For	Management
1.3	Elect Director David W. Dorman	For	For	Management
1.4	Elect Director Anne M. Finucane	For	For	Management
1.5	Elect Director Larry J. Merlo	For	For	Management
1.6	Elect Director Jean-Pierre Millon	For	For	Management
1.7	Elect Director Richard J. Swift	For	For	Management
1.8	Elect Director William C. Weldon	For	For	Management
1.9	Elect Director Tony L. White	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

-----  
DANAHER CORPORATION

Ticker: DHR Security ID: 235851102

Meeting Date: MAY 06, 2014 Meeting Type: Annual

Record Date: MAR 07, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director H. Lawrence Culp, Jr.	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.2	Elect Director Donald J. Ehrlich	For	Against	Management
1.3	Elect Director Linda Hefner Filler	For	For	Management
1.4	Elect Director Teri List-Stoll	For	For	Management
1.5	Elect Director Walter G. Lohr, Jr.	For	Against	Management
1.6	Elect Director Mitchell P. Rales	For	For	Management
1.7	Elect Director Steven M. Rales	For	For	Management
1.8	Elect Director John T. Schwieters	For	For	Management
1.9	Elect Director Alan G. Spoon	For	Against	Management
1.10	Elect Director Elias A. Zerhouni	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Report on Political Contributions	Against	For	Shareholder
5	Require Independent Board Chairman	Against	For	Shareholder

-----

DELPHI AUTOMOTIVE PLC

Ticker: DLPH Security ID: G27823106

Meeting Date: APR 03, 2014 Meeting Type: Annual

Record Date: FEB 03, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Gary L. Cowger as a Director	For	For	Management
1.2	Elect Nicholas M. Donofrio as a Director	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.3	Elect Mark P. Frissora as a Director	For	For	Management
1.4	Elect Rajiv L. Gupta as a Director	For	For	Management
1.5	Elect John A. Krol as a Director	For	For	Management
1.6	Elect J. Randall MacDonald as a Director	For	For	Management
1.7	Elect Sean O. Mahoney as a Director	For	For	Management
1.8	Elect Rodney O'Neal as a Director	For	For	Management
1.9	Elect Thomas W. Sidlik as a Director	For	For	Management
1.10	Elect Bernd Wiedemann as a Director	For	For	Management
1.11	Elect Lawrence A. Zimmerman as a Director	For	For	Management
2	Ratify Ernst & Young LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

-----

DIRECTV

Ticker: DTV Security ID: 25490A309

Meeting Date: APR 29, 2014 Meeting Type: Annual

Record Date: MAR 03, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Neil Austrian	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1b	Elect Director Ralph Boyd, Jr.	For	For	Management
1c	Elect Director Abelardo Bru	For	For	Management
1d	Elect Director David Dillon	For	For	Management
1e	Elect Director Samuel DiPiazza, Jr.	For	For	Management
1f	Elect Director Dixon Doll	For	For	Management
1g	Elect Director Charles Lee	For	For	Management
1h	Elect Director Peter Lund	For	For	Management
1i	Elect Director Nancy Newcomb	For	For	Management
1j	Elect Director Lorrie Norrington	For	For	Management
1k	Elect Director Anthony Vinciguerra	For	For	Management
1l	Elect Director Michael White	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Pro-rata Vesting of Equity Awards	Against	Against	Shareholder
5	Stock Retention/Holding Period	Against	Against	Shareholder

---

### DISCOVER FINANCIAL SERVICES

Ticker: DFS Security ID: 254709108

Meeting Date: MAY 07, 2014 Meeting Type: Annual

Record Date: MAR 11, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Jeffrey S. Aronin	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.2	Elect Director Mary K. Bush	For	For	Management
1.3	Elect Director Gregory C. Case	For	For	Management
1.4	Elect Director Candace H. Duncan	For	For	Management
1.5	Elect Director Cynthia A. Glassman	For	For	Management
1.6	Elect Director Richard H. Lenny	For	For	Management
1.7	Elect Director Thomas G. Maheras	For	For	Management
1.8	Elect Director Michael H. Moskow	For	For	Management
1.9	Elect Director David W. Nelms	For	For	Management
1.10	Elect Director Mark A. Thierer	For	For	Management
1.11	Elect Director Lawrence A. Weinbach	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Ratify Auditors	For	For	Management

-----

DOMINION RESOURCES, INC.

Ticker: D Security ID: 25746U109

Meeting Date: MAY 07, 2014 Meeting Type: Annual

Record Date: MAR 21, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director William P. Barr	For	For	Management
1.2	Elect Director Peter W. Brown	For	For	Management
1.3	Elect Director Helen E. Dragas	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.4	Elect Director James O. Ellis, Jr.	For	For	Management
1.5	Elect Director Thomas F. Farrell, II	For	For	Management
1.6	Elect Director John W. Harris	For	For	Management
1.7	Elect Director Mark J. Kington	For	For	Management
1.8	Elect Director Pamela J. Royal	For	For	Management
1.9	Elect Director Robert H. Spilman, Jr.	For	For	Management
1.10	Elect Director Michael E. Szymanczyk	For	For	Management
1.11	Elect Director David A. Wollard	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Approve Omnibus Stock Plan	For	For	Management
5	Stock Retention/Holding Period	Against	Against	Shareholder
6	Report on Financial and Physical Risks of Climate Change	Against	Abstain	Shareholder
7	Report on Methane Emissions Management and Reduction Targets	Against	Abstain	Shareholder
8	Report on Lobbying Payments and Policy	Against	Against	Shareholder
9	Report on Environmental Impacts of Biomass and Assess Risks	Against	Abstain	Shareholder
10	Adopt Quantitative GHG Goals for Products and Operations	Against	Abstain	Shareholder

-----

DUKE ENERGY CORPORATION



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: DUK Security ID: 26441C204

Meeting Date: MAY 01, 2014 Meeting Type: Annual

Record Date: MAR 03, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director G. Alex Bernhardt, Sr.	For	For	Management
1.2	Elect Director Michael G. Browning	For	For	Management
1.3	Elect Director Harris E. DeLoach, Jr.	For	For	Management
1.4	Elect Director Daniel R. DiMicco	For	For	Management
1.5	Elect Director John H. Forsgren	For	For	Management
1.6	Elect Director Lynn J. Good	For	For	Management
1.7	Elect Director Ann Maynard Gray	For	For	Management
1.8	Elect Director James H. Hance, Jr.	For	For	Management
1.9	Elect Director John T. Herron	For	For	Management
1.10	Elect Director James B. Hyler, Jr.	For	For	Management
1.11	Elect Director William E. Kennard	For	For	Management
1.12	Elect Director E. Marie McKee	For	For	Management
1.13	Elect Director E. James Reinsch	For	For	Management
1.14	Elect Director James T. Rhodes	For	For	Management
1.15	Elect Director Carlos A. Saladrigas	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Provide Right to Act by Less than Unanimous Written Consent	For	For	Management
5	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	For	Shareholder
6	Report on Political Contributions	Against	For	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

---

ELI LILLY AND COMPANY

Ticker: LLY Security ID: 532457108

Meeting Date: MAY 05, 2014 Meeting Type: Annual

Record Date: FEB 28, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Michael L. Eskew	For	For	Management
1b	Elect Director Karen N. Horn	For	For	Management
1c	Elect Director William G. Kaelin, Jr.	For	For	Management
1d	Elect Director John C. Lechleiter	For	For	Management
1e	Elect Director Marschall S. Runge	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

---

EMERSON ELECTRIC CO.

Ticker: EMR Security ID: 291011104

Meeting Date: FEB 04, 2014 Meeting Type: Annual

Record Date: NOV 26, 2013

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director D. N. Farr	For	For	Management
1.2	Elect Director H. Green	For	For	Management
1.3	Elect Director C. A. Peters	For	For	Management
1.4	Elect Director J. W. Prueher	For	For	Management
1.5	Elect Director A.A. Busch, III	For	For	Management
1.6	Elect Director J. S. Turley	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management
4	Report on Sustainability	Against	Abstain	Shareholder
5	Report on Political Contributions	Against	For	Shareholder
6	Report on Lobbying Payments and Policy	Against	For	Shareholder

-----

ENSCO PLC

Ticker:           ESV                   Security ID: G3157S106

Meeting Date: MAY 19, 2014   Meeting Type: Annual

Record Date:   MAR 28, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Re-elect J. Roderick Clark as Director	For	For	Management
1b	Re-elect Roxanne J. Decyk as Director	For	For	Management
1c	Re-elect Mary E. Francis CBE as	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

	Director			
1d	Re-elect C. Christopher Gaut as	For	For	Management
	Director			
1e	Re-elect Gerald W. Haddock as Director	For	For	Management
1f	Re-elect Francis S. Kalman as Director	For	For	Management
1g	Re-elect Daniel W. Rabun as Director	For	For	Management
1h	Re-elect Keith O. Rattie as Director	For	For	Management
1i	Re-elect Paul E. Rowsey, III as	For	For	Management
	Director			
2	Issue of Equity or Equity-Linked	For	For	Management
	Securities with Pre-emptive Rights			
3	Reappoint KPMG LLP as Auditors of the	For	For	Management
	Company			
4	Reappoint KPMG Audit Plc as Auditors	For	For	Management
	of the Company			
5	Authorize Board to Fix Remuneration of	For	For	Management
	Auditors			
6	Approve Remuneration Policy	For	For	Management
7	Approve Remuneration Report	For	For	Management
8	Advisory Vote to Ratify Named	For	For	Management
	Executive Officers' Compensation			
9	Accept Financial Statements and	For	For	Management
	Statutory Reports			
10	Approve Capital Reorganisation	For	For	Management
	Converting Undistributable Reserves to			
	Distributable Reserves			
11	Issue of Equity or Equity-Linked	For	For	Management
	Securities without Pre-emptive Rights			

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

-----

EXXON MOBIL CORPORATION

Ticker: XOM Security ID: 30231G102

Meeting Date: MAY 28, 2014 Meeting Type: Annual

Record Date: APR 04, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Michael J. Boskin	For	For	Management
1.2	Elect Director Peter Brabeck-Letmathe	For	For	Management
1.3	Elect Director Ursula M. Burns	For	For	Management
1.4	Elect Director Larry R. Faulkner	For	For	Management
1.5	Elect Director Jay S. Fishman	For	For	Management
1.6	Elect Director Henrietta H. Fore	For	For	Management
1.7	Elect Director Kenneth C. Frazier	For	For	Management
1.8	Elect Director William W. George	For	For	Management
1.9	Elect Director Samuel J. Palmisano	For	For	Management
1.10	Elect Director Steven S Reinemund	For	For	Management
1.11	Elect Director Rex W. Tillerson	For	For	Management
1.12	Elect Director William C. Weldon	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Require a Majority Vote for the Election of Directors	Against	For	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

5	Limit Directors to a Maximum of Three Board Memberships in Companies with Sales over \$500 Million Annually	Against	Against	Shareholder
6	Amend EEO Policy to Prohibit Discrimination Based on Sexual Orientation and Gender Identity	Against	Abstain	Shareholder
7	Report on Lobbying Payments and Policy	Against	Against	Shareholder
8	Adopt Quantitative GHG Goals for Products and Operations	Against	Abstain	Shareholder

-----

FIFTH THIRD BANCORP

Ticker: FITB Security ID: 316773100

Meeting Date: APR 15, 2014 Meeting Type: Annual

Record Date: FEB 28, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Nicholas K. Akins	For	For	Management
1.2	Elect Director B. Evan Bayh, III	For	For	Management
1.3	Elect Director Ulysses L. Bridgeman, Jr.	For	For	Management
1.4	Elect Director Emerson L. Brumback	For	For	Management
1.5	Elect Director James P. Hackett	For	For	Management
1.6	Elect Director Gary R. Heminger	For	Against	Management
1.7	Elect Director Jewell D. Hoover	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.8	Elect Director Kevin T. Kabat	For	For	Management
1.9	Elect Director Mitchel D. Livingston, Ph.D.	For	For	Management
1.10	Elect Director Michael B. McCallister	For	For	Management
1.11	Elect Director Hendrik G. Meijer	For	For	Management
1.12	Elect Director Marsha C. Williams	For	For	Management
2	Ratify Auditors	For	For	Management
3	Approve Omnibus Stock Plan	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

-----

FIRST SOLAR, INC.

Ticker: FSLR Security ID: 336433107

Meeting Date: MAY 21, 2014 Meeting Type: Annual

Record Date: APR 01, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Michael J. Ahearn	For	For	Management
1.2	Elect Director Sharon L. Allen	For	For	Management
1.3	Elect Director Richard D. Chapman	For	For	Management
1.4	Elect Director George A. ('Chip') Hambro	For	For	Management
1.5	Elect Director James A. Hughes	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.6	Elect Director Craig Kennedy	For	For	Management
1.7	Elect Director James F. Nolan	For	For	Management
1.8	Elect Director William J. Post	For	For	Management
1.9	Elect Director J. Thomas Presby	For	For	Management
1.10	Elect Director Paul H. Stebbins	For	For	Management
1.11	Elect Director Michael Sweeney	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Pro-rata Vesting of Equity Awards	Against	Against	Shareholder
5	Require a Majority Vote for the Election of Directors	Against	For	Shareholder

-----

FREEPORT-MCMORAN COPPER & GOLD INC.

Ticker: FCX Security ID: 35671D857

Meeting Date: JUN 17, 2014 Meeting Type: Annual

Record Date: APR 21, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard C. Adkerson	For	For	Management
1.2	Elect Director Robert J. Allison, Jr.	For	For	Management
1.3	Elect Director Alan R. Buckwalter, III	For	For	Management
1.4	Elect Director Robert A. Day	For	For	Management
1.5	Elect Director James C. Flores	For	For	Management



Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.6	Elect Director Gerald J. Ford	For	For	Management
1.7	Elect Director Thomas A. Fry, III	For	For	Management
1.8	Elect Director H. Devon Graham, Jr.	For	For	Management
1.9	Elect Director Lydia H. Kennard	For	For	Management
1.10	Elect Director Charles C. Krulak	For	For	Management
1.11	Elect Director Bobby Lee Lackey	For	For	Management
1.12	Elect Director Jon C. Madonna	For	For	Management
1.13	Elect Director Dustan E. McCoy	For	For	Management
1.14	Elect Director James R. Moffett	For	For	Management
1.15	Elect Director Stephen H. Siegele	For	For	Management
1.16	Elect Director Frances Fragos Townsend	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management
4	Approve Executive Incentive Bonus Plan	For	For	Management
5	Require Director Nominee with Environmental Experience	Against	Abstain	Shareholder

-----

GAMESTOP CORP.

Ticker: GME Security ID: 36467W109

Meeting Date: JUN 24, 2014 Meeting Type: Annual

Record Date: MAY 02, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
---	----------	---------	-----------	---------

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.1	Elect Director Thomas N. Kelly, Jr.	For	For	Management
1.2	Elect Director Gerald R. Szczepanski	For	For	Management
1.3	Elect Director Lawrence S. Zilavy	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management

-----

GENERAL ELECTRIC COMPANY

Ticker:           GE                   Security ID: 369604103

Meeting Date: APR 23, 2014   Meeting Type: Annual

Record Date: FEB 24, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director W. Geoffrey Beattie	For	For	Management
2	Elect Director John J. Brennan	For	For	Management
3	Elect Director James I. Cash, Jr.	For	For	Management
4	Elect Director Francisco D'Souza	For	For	Management
5	Elect Director Marijn E. Dekkers	For	For	Management
6	Elect Director Ann M. Fudge	For	For	Management
7	Elect Director Susan J. Hockfield	For	For	Management
8	Elect Director Jeffrey R. Immelt	For	For	Management
9	Elect Director Andrea Jung	For	For	Management
10	Elect Director Robert W. Lane	For	For	Management
11	Elect Director Rochelle B. Lazarus	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

12	Elect Director James J. Mulva	For	For	Management
13	Elect Director James E. Rohr	For	For	Management
14	Elect Director Mary L. Schapiro	For	For	Management
15	Elect Director Robert J. Swieringa	For	For	Management
16	Elect Director James S. Tisch	For	For	Management
17	Elect Director Douglas A. Warner, III	For	For	Management
18	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
19	Ratify Auditors	For	For	Management
20	Provide for Cumulative Voting	Against	Against	Shareholder
21	Stock Retention/Holding Period	Against	Against	Shareholder
22	Require More Director Nominations Than Open Seats	Against	Against	Shareholder
23	Provide Right to Act by Written Consent	Against	For	Shareholder
24	Cessation of All Stock Options and Bonuses	Against	Against	Shareholder
25	Seek Sale of Company	Against	Against	Shareholder

-----

GENERAL MILLS, INC.

Ticker: GIS Security ID: 370334104

Meeting Date: SEP 24, 2013 Meeting Type: Annual

Record Date: JUL 26, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
---	----------	---------	-----------	---------

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1a	Elect Director Bradbury H. Anderson	For	For	Management
1b	Elect Director R. Kerry Clark	For	For	Management
1c	Elect Director Paul Danos	For	For	Management
1d	Elect Director William T. Esrey	For	For	Management
1e	Elect Director Raymond V. Gilmartin	For	For	Management
1f	Elect Director Judith Richards Hope	For	For	Management
1g	Elect Director Heidi G. Miller	For	For	Management
1h	Elect Director Hilda Ochoa-Brillembourg	For	For	Management
1i	Elect Director Steve Odland	For	For	Management
1j	Elect Director Kendall J. Powell	For	For	Management
1k	Elect Director Michael D. Rose	For	For	Management
1l	Elect Director Robert L. Ryan	For	For	Management
1m	Elect Director Dorothy A. Terrell	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management
4	Report on Responsibility for Post-Consumer Product Packaging	Against	Abstain	Shareholder

-----

GENERAL MOTORS COMPANY

Ticker: GM Security ID: 37045V100

Meeting Date: JUN 10, 2014 Meeting Type: Annual

Record Date: APR 11, 2014

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Joseph J. Ashton	For	For	Management
1b	Elect Director Mary T. Barra	For	For	Management
1c	Elect Director Erroll B. Davis, Jr.	For	Against	Management
1d	Elect Director Stephen J. Girskey	For	Against	Management
1e	Elect Director E. Neville Isdell	For	Against	Management
1f	Elect Director Kathryn V. Marinello	For	Against	Management
1g	Elect Director Michael G. Mullen	For	For	Management
1h	Elect Director James J. Mulva	For	Against	Management
1i	Elect Director Patricia F. Russo	For	Against	Management
1j	Elect Director Thomas M. Schoewe	For	For	Management
1k	Elect Director Theodore M. Solso	For	For	Management
1l	Elect Director Carol M. Stephenson	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Approve Executive Incentive Bonus Plan	For	For	Management
6	Approve Omnibus Stock Plan	For	For	Management
7	Provide for Cumulative Voting	Against	Against	Shareholder
8	Require Independent Board Chairman	Against	For	Shareholder

-----

GILEAD SCIENCES, INC.

Ticker: GILD Security ID: 375558103

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Meeting Date: MAY 07, 2014 Meeting Type: Annual

Record Date: MAR 12, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director John F. Cogan	For	For	Management
1b	Elect Director Etienne F. Davignon	For	For	Management
1c	Elect Director Carla A. Hills	For	For	Management
1d	Elect Director Kevin E. Lofton	For	For	Management
1e	Elect Director John W. Madigan	For	For	Management
1f	Elect Director John C. Martin	For	For	Management
1g	Elect Director Nicholas G. Moore	For	For	Management
1h	Elect Director Richard J. Whitley	For	For	Management
1i	Elect Director Gayle E. Wilson	For	For	Management
1j	Elect Director Per Wold-Olsen	For	For	Management
2	Ratify Auditors	For	For	Management
3	Approve Amendment to Certificate of Incorporation to Adopt Delaware as the Exclusive Forum for Certain Legal Actions	For	Against	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Require Independent Board Chairman	Against	For	Shareholder
6	Provide Right to Act by Written Consent	Against	For	Shareholder
7	Link CEO Compensation to Patient Access to the Company's Medicine	Against	Abstain	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

GLAXOSMITHKLINE PLC

Ticker: GSK Security ID: 37733W105

Meeting Date: MAY 07, 2014 Meeting Type: Annual

Record Date: MAR 17, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Accept Financial Statements and Statutory Reports	For	For	Management
2	Approve Remuneration Report	For	For	Management
3	Approve Remuneration Policy	For	For	Management
4	Re-elect Sir Christopher Gent as Director	For	For	Management
5	Re-elect Sir Andrew Witty as Director	For	For	Management
6	Re-elect Sir Roy Anderson as Director	For	For	Management
7	Re-elect Dr Stephanie Burns as Director	For	For	Management
8	Re-elect Stacey Cartwright as Director	For	For	Management
9	Re-elect Simon Dingemans as Director	For	For	Management
10	Re-elect Lynn Elsenhans as Director	For	For	Management
11	Re-elect Judy Lewent as Director	For	For	Management
12	Re-elect Sir Deryck Maughan as Director	For	For	Management
13	Re-elect Dr Daniel Podolsky as Director	For	For	Management
14	Re-elect Dr Moncef Slaoui as Director	For	For	Management
15	Re-elect Tom de Swaan as Director	For	For	Management
16	Re-elect Jing Ulrich as Director	For	For	Management
17	Re-elect Hans Wijers as Director	For	For	Management
18	Reappoint PricewaterhouseCoopers LLP	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

as Auditors

19	Authorise the Audit & Risk Committee to Fix Remuneration of Auditors	For	For	Management
20	Authorise EU Political Donations and Expenditure	For	For	Management
21	Authorise Issue of Equity with Pre-emptive Rights	For	For	Management
22	Authorise Issue of Equity without Pre-emptive Rights	For	For	Management
23	Authorise Market Purchase of Ordinary Shares	For	For	Management
24	Approve the Exemption from Statement of the Name of the Senior Statutory Auditor in Published Copies of the Auditors' Reports	For	For	Management
25	Authorise the Company to Call EGM with Two Weeks' Notice	For	Against	Management

-----  
GOOGLE INC.

Ticker: GOOG Security ID: 38259P508

Meeting Date: MAY 14, 2014 Meeting Type: Annual

Record Date: MAR 17, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
---	----------	---------	-----------	---------



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.1	Elect Director Larry Page	For	For	Management
1.2	Elect Director Sergey Brin	For	For	Management
1.3	Elect Director Eric E. Schmidt	For	For	Management
1.4	Elect Director L. John Doerr	For	Withhold	Management
1.5	Elect Director Diane B. Greene	For	For	Management
1.6	Elect Director John L. Hennessy	For	Withhold	Management
1.7	Elect Director Ann Mather	For	For	Management
1.8	Elect Director Paul S. Otellini	For	For	Management
1.9	Elect Director K. Ram Shriram	For	For	Management
1.10	Elect Director Shirley M. Tilghman	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Approve Recapitalization Plan for all Stock to Have One-vote per Share	Against	For	Shareholder
5	Report on Lobbying Payments and Policy	Against	For	Shareholder
6	Require a Majority Vote for the Election of Directors	Against	For	Shareholder
7	Adopt Policy and Report on Impact of Tax Strategy	Against	Against	Shareholder
8	Require Independent Board Chairman	Against	For	Shareholder

---

ILLINOIS TOOL WORKS INC.

Ticker: ITW Security ID: 452308109

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Meeting Date: MAY 02, 2014 Meeting Type: Annual

Record Date: MAR 04, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Daniel J. Brutto	For	For	Management
1b	Elect Director Susan Crown	For	For	Management
1c	Elect Director Don H. Davis, Jr.	For	For	Management
1d	Elect Director James W. Griffith	For	For	Management
1e	Elect Director Robert C. McCormack	For	For	Management
1f	Elect Director Robert S. Morrison	For	For	Management
1g	Elect Director E. Scott Santi	For	For	Management
1h	Elect Director James A. Skinner	For	For	Management
1i	Elect Director David B. Smith, Jr.	For	For	Management
1j	Elect Director Pamela B. Strobel	For	For	Management
1k	Elect Director Kevin M. Warren	For	For	Management
1l	Elect Director Anre D. Williams	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Eliminate Supermajority Vote Requirement	For	For	Management
5	Amend Certificate of Incorporation to Eliminate Provisions Regarding Board Size	For	For	Management

---

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

INTEL CORPORATION

Ticker: INTC Security ID: 458140100

Meeting Date: MAY 22, 2014 Meeting Type: Annual

Record Date: MAR 24, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Charlene Barshefsky	For	For	Management
1b	Elect Director Andy D. Bryant	For	For	Management
1c	Elect Director Susan L. Decker	For	For	Management
1d	Elect Director John J. Donahoe	For	For	Management
1e	Elect Director Reed E. Hundt	For	For	Management
1f	Elect Director Brian M. Krzanich	For	For	Management
1g	Elect Director James D. Plummer	For	For	Management
1h	Elect Director David S. Pottruck	For	For	Management
1i	Elect Director Frank D. Yeary	For	For	Management
1j	Elect Director David B. Yoffie	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

---

INTERNATIONAL PAPER COMPANY

Ticker: IP Security ID: 460146103

Meeting Date: MAY 12, 2014 Meeting Type: Annual

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Record Date: MAR 18, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director David J. Bronczek	For	For	Management
1b	Elect Director Ahmet C. Dorduncu	For	For	Management
1c	Elect Director John V. Faraci	For	For	Management
1d	Elect Director Ilene S. Gordon	For	For	Management
1e	Elect Director Jay L. Johnson	For	For	Management
1f	Elect Director Stacey J. Mobley	For	For	Management
1g	Elect Director Joan E. Spero	For	For	Management
1h	Elect Director John L. Townsend, III	For	For	Management
1i	Elect Director John F. Turner	For	For	Management
1j	Elect Director William G. Walter	For	For	Management
1k	Elect Director J. Steven Whisler	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Require Independent Board Chairman	Against	For	Shareholder

-----

JAGUAR MINING INC.

Ticker: JAG Security ID: 47009MAG8

Meeting Date: JAN 30, 2014 Meeting Type: Bondholder

Record Date: DEC 19, 2013

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approval Of The Plan	For	For	Management

-----

JAGUAR MINING INC.

Ticker: JAG Security ID: 47009M400

Meeting Date: JUN 25, 2014 Meeting Type: Annual/Special

Record Date: MAY 26, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director George Bee	For	For	Management
1.2	Elect Director Richard D. Falconer	For	For	Management
1.3	Elect Director Edward V. Reeser	For	For	Management
1.4	Elect Director Luis Ricardo Miraglia	For	Withhold	Management
1.5	Elect Director Stephen Hope	For	Withhold	Management
1.6	Elect Director Jared Hardner	For	For	Management
1.7	Elect Director Robert J. Chadwick	For	For	Management
2	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For	Management
3	Approve Stock Option Plan	For	For	Management
4	Approve Deferred Share Unit Plan	For	For	Management
5	Approve Stock Option and Deferred Share Unit Plan Grants	For	Against	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

-----

JOHNSON & JOHNSON

Ticker: JNJ Security ID: 478160104

Meeting Date: APR 24, 2014 Meeting Type: Annual

Record Date: FEB 25, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Mary Sue Coleman	For	For	Management
1b	Elect Director James G. Cullen	For	For	Management
1c	Elect Director Ian E. L. Davis	For	For	Management
1d	Elect Director Alex Gorsky	For	For	Management
1e	Elect Director Susan L. Lindquist	For	For	Management
1f	Elect Director Mark B. McClellan	For	For	Management
1g	Elect Director Anne M. Mulcahy	For	For	Management
1h	Elect Director Leo F. Mullin	For	For	Management
1i	Elect Director William D. Perez	For	For	Management
1j	Elect Director Charles Prince	For	For	Management
1k	Elect Director A. Eugene Washington	For	For	Management
1l	Elect Director Ronald A. Williams	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management
4	Stock Retention/Holding Period	Against	Against	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

---

JPMORGAN CHASE & CO.

Ticker: JPM Security ID: 46625H100

Meeting Date: MAY 20, 2014 Meeting Type: Annual

Record Date: MAR 21, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Linda B. Bammann	For	For	Management
1b	Elect Director James A. Bell	For	For	Management
1c	Elect Director Crandall C. Bowles	For	For	Management
1d	Elect Director Stephen B. Burke	For	For	Management
1e	Elect Director James S. Crown	For	For	Management
1f	Elect Director James Dimon	For	For	Management
1g	Elect Director Timothy P. Flynn	For	For	Management
1h	Elect Director Laban P. Jackson, Jr.	For	For	Management
1i	Elect Director Michael A. Neal	For	For	Management
1j	Elect Director Lee R. Raymond	For	For	Management
1k	Elect Director William C. Weldon	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Ratify Auditors	For	For	Management
4	Report on Lobbying Payments and Policy	Against	Against	Shareholder
5	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	Against	Shareholder
6	Provide for Cumulative Voting	Against	Against	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

-----

KEYCORP

Ticker: KEY Security ID: 493267108

Meeting Date: MAY 22, 2014 Meeting Type: Annual

Record Date: MAR 24, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Joseph A. Carrabba	For	For	Management
1.2	Elect Director Charles P. Cooley	For	For	Management
1.3	Elect Director Alexander M. Cutler	For	For	Management
1.4	Elect Director H. James Dallas	For	For	Management
1.5	Elect Director Elizabeth R. Gile	For	For	Management
1.6	Elect Director Ruth Ann M. Gillis	For	For	Management
1.7	Elect Director William G. Gisell, Jr.	For	For	Management
1.8	Elect Director Richard J. Hipple	For	For	Management
1.9	Elect Director Kristen L. Manos	For	For	Management
1.10	Elect Director Beth E. Mooney	For	For	Management
1.11	Elect Director Demos Parneros	For	For	Management
1.12	Elect Director Barbara R. Snyder	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder



Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

KIMBERLY-CLARK CORPORATION

Ticker: KMB Security ID: 494368103

Meeting Date: MAY 01, 2014 Meeting Type: Annual

Record Date: MAR 03, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director John R. Alm	For	For	Management
1.2	Elect Director John F. Bergstrom	For	For	Management
1.3	Elect Director Abelardo E. Bru	For	For	Management
1.4	Elect Director Robert W. Decherd	For	For	Management
1.5	Elect Director Thomas J. Falk	For	For	Management
1.6	Elect Director Fabian T. Garcia	For	For	Management
1.7	Elect Director Mae C. Jemison	For	For	Management
1.8	Elect Director James M. Jenness	For	For	Management
1.9	Elect Director Nancy J. Karch	For	For	Management
1.10	Elect Director Ian C. Read	For	For	Management
1.11	Elect Director Linda Johnson Rice	For	For	Management
1.12	Elect Director Marc J. Shapiro	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Provide Right to Act by Written Consent Against	For		Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

---

KLA-TENCOR CORPORATION

Ticker: KLAC Security ID: 482480100

Meeting Date: NOV 06, 2013 Meeting Type: Annual

Record Date: SEP 17, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Edward W. Barnholt	For	For	Management
1.2	Elect Director Emiko Higashi	For	For	Management
1.3	Elect Director Stephen P. Kaufman	For	For	Management
1.4	Elect Director Richard P. Wallace	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Amend Executive Incentive Bonus Plan	For	For	Management

---

LINCOLN NATIONAL CORPORATION

Ticker: LNC Security ID: 534187109

Meeting Date: MAY 22, 2014 Meeting Type: Annual

Record Date: MAR 17, 2014

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Dennis R. Glass	For	For	Management
1.2	Elect Director Gary C. Kelly	For	For	Management
1.3	Elect Director Michael F. Mee	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Approve Omnibus Stock Plan	For	For	Management

-----

LOCKHEED MARTIN CORPORATION

Ticker: LMT Security ID: 539830109

Meeting Date: APR 24, 2014 Meeting Type: Annual

Record Date: FEB 21, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Daniel F. Akerson	For	For	Management
1.2	Elect Director Nolan D. Archibald	For	For	Management
1.3	Elect Director Rosalind G. Brewer	For	For	Management
1.4	Elect Director David B. Burritt	For	For	Management
1.5	Elect Director James O. Ellis, Jr.	For	For	Management
1.6	Elect Director Thomas J. Falk	For	For	Management
1.7	Elect Director Marillyn A. Hewson	For	For	Management
1.8	Elect Director Gwendolyn S. King	For	For	Management
1.9	Elect Director James M. Loy	For	Against	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.10	Elect Director Douglas H. McCorkindale	For	For	Management
1.11	Elect Director Joseph W. Ralston	For	Against	Management
1.12	Elect Director Anne Stevens	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Provide Right to Act by Written Consent	Against	For	Shareholder
6	Stock Retention/Holding Period	Against	Against	Shareholder
7	Claw-back of Payments under Restatements	Against	Against	Shareholder

-----

LORILLARD, INC.

Ticker: LO Security ID: 544147101

Meeting Date: MAY 15, 2014 Meeting Type: Annual

Record Date: MAR 24, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Dianne Neal Blixt	For	For	Management
1.2	Elect Director Andrew H. Card, Jr.	For	For	Management
1.3	Elect Director Virgis W. Colbert	For	For	Management
1.4	Elect Director David E.R. Dangoor	For	For	Management
1.5	Elect Director Murray S. Kessler	For	For	Management
1.6	Elect Director Jerry W. Levin	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.7	Elect Director Richard W. Roedel	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Ratify Auditors	For	For	Management
5	Report on Lobbying Payments and Policy	Against	For	Shareholder
6	Inform Poor and Less Educated on Health Consequences of Tobacco	Against	Abstain	Shareholder

-----

LOWE'S COMPANIES, INC.

Ticker:           LOW                   Security ID: 548661107

Meeting Date: MAY 30, 2014   Meeting Type: Annual

Record Date:   MAR 28, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Raul Alvarez	For	For	Management
1.2	Elect Director David W. Bernauer	For	For	Management
1.3	Elect Director Leonard L. Berry	For	For	Management
1.4	Elect Director Angela F. Braly	For	For	Management
1.5	Elect Director Richard W. Dreiling	For	For	Management
1.6	Elect Director Dawn E. Hudson	For	For	Management
1.7	Elect Director Robert L. Johnson	For	For	Management
1.8	Elect Director Marshall O. Larsen	For	For	Management
1.9	Elect Director Richard K. Lochridge	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.10	Elect Director Robert A. Niblock	For	For	Management
1.11	Elect Director Eric C. Wiseman	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Ratify Auditors	For	For	Management
5	Report on Data Used to Make Environmental Goals and Costs and Benefits of Sustainability Program	Against	Abstain	Shareholder

-----

LYONDELLBASELL INDUSTRIES NV

Ticker: LYB Security ID: N53745100

Meeting Date: APR 16, 2014 Meeting Type: Annual

Record Date: MAR 19, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Jagjeet S. Bindra to Supervisory Board	For	For	Management
1b	Elect Milton Carroll to Supervisory Board	For	For	Management
1c	Elect Claire S. Farley to Supervisory Board	For	For	Management
1d	Elect Rudy van der Meer to Supervisory Board	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1e	Elect Isabella D. Goren to Supervisory Board	For	For	Management
1f	Elect Nance K. Dicciani to Supervisory Board	For	For	Management
2a	Elect Karyn F. Ovelmen to Management Board	For	For	Management
2b	Elect Craig B. Glidden to Management Board	For	For	Management
2c	Elect Bhavesh V. Patel to Management Board	For	For	Management
2d	Elect Patrick D. Quarles to Management Board	For	For	Management
2e	Elect Timothy D. Roberts to Management Board	For	For	Management
3	Adopt Financial Statements and Statutory Reports	For	For	Management
4	Approve Discharge of Management Board	For	For	Management
5	Approve Discharge of Supervisory Board	For	For	Management
6	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
7	Ratify PricewaterhouseCoopers Accountants N.V. as Auditors	For	For	Management
8	Approve Dividends of USD 2.20 Per Share	For	For	Management
9	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
10	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	For	For	Management
11	Approve Cancellation of up to 10	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Percent of Issued Share Capital in

Treasury Account

-----

MASTERCARD INCORPORATED

Ticker: MA Security ID: 57636Q104

Meeting Date: JUN 03, 2014 Meeting Type: Annual

Record Date: APR 09, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Richard Haythornthwaite	For	For	Management
1b	Elect Director Ajay Banga	For	For	Management
1c	Elect Director Silvio Barzi	For	For	Management
1d	Elect Director David R. Carlucci	For	For	Management
1e	Elect Director Steven J. Freiberg	For	For	Management
1f	Elect Director Julius Genachowski	For	For	Management
1g	Elect Director Merit E. Janow	For	For	Management
1h	Elect Director Nancy J. Karch	For	For	Management
1i	Elect Director Marc Olivie	For	For	Management
1j	Elect Director Rima Qureshi	For	For	Management
1k	Elect Director Jose Octavio Reyes Lagunes	For	For	Management
1l	Elect Director Jackson P. Tai	For	For	Management
1m	Elect Director Edward Suning Tian	For	For	Management
2	Advisory Vote to Ratify Named	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

### Executive Officers' Compensation

3	Ratify Auditors	For	For	Management
---	-----------------	-----	-----	------------

-----

MCKESSON CORPORATION

Ticker: MCK Security ID: 58155Q103

Meeting Date: JUL 31, 2013 Meeting Type: Annual

Record Date: JUN 03, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Andy D. Bryant	For	For	Management
1b	Elect Director Wayne A. Budd	For	For	Management
1c	Elect Director John H. Hammergren	For	For	Management
1d	Elect Director Alton F. Irby, III	For	Against	Management
1e	Elect Director M. Christine Jacobs	For	Against	Management
1f	Elect Director Marie L. Knowles	For	For	Management
1g	Elect Director David M. Lawrence	For	Against	Management
1h	Elect Director Edward A. Mueller	For	Against	Management
1i	Elect Director Jane E. Shaw	For	Against	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named	For	Against	Management
	Executive Officers' Compensation			
4	Approve Omnibus Stock Plan	For	For	Management
5	Amend Qualified Employee Stock	For	For	Management
	Purchase Plan			

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

6	Provide Right to Call Special Meeting	For	For	Management
7	Provide Right to Act by Written Consent	Against	For	Shareholder
8	Report on Political Contributions	Against	For	Shareholder
9	Stock Retention/Holding Period	Against	Against	Shareholder
10	Clawback Policy and Disclosure of Clawback Activity	Against	For	Shareholder

-----

MEDTRONIC, INC.

Ticker: MDT Security ID: 585055106

Meeting Date: AUG 22, 2013 Meeting Type: Annual

Record Date: JUL 01, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard H. Anderson	For	For	Management
1.2	Elect Director Scott C. Donnelly	For	For	Management
1.3	Elect Director Victor J. Dzau	For	Withhold	Management
1.4	Elect Director Omar Ishrak	For	For	Management
1.5	Elect Director Shirley Ann Jackson	For	For	Management
1.6	Elect Director Michael O. Leavitt	For	For	Management
1.7	Elect Director James T. Lenehan	For	For	Management
1.8	Elect Director Denise M. O'Leary	For	For	Management
1.9	Elect Director Kendall J. Powell	For	For	Management
1.10	Elect Director Robert C. Pozen	For	For	Management
1.11	Elect Director Preetha Reddy	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Adopt Majority Voting for Uncontested Election of Directors	For	For	Management
6	Reduce Supermajority Vote Requirement for Establishing Range For Board Size	For	For	Management
7	Reduce Supermajority Vote Requirement for Removal of Directors	For	For	Management
8	Reduce Supermajority Vote Requirement for Amendment of Articles	For	For	Management
9	Rescind Fair Price Provision	For	Against	Management

-----

MERCK & CO., INC.

Ticker: MRK Security ID: 58933Y105

Meeting Date: MAY 27, 2014 Meeting Type: Annual

Record Date: MAR 31, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Leslie A. Brun	For	For	Management
1b	Elect Director Thomas R. Cech	For	For	Management
1c	Elect Director Kenneth C. Frazier	For	For	Management
1d	Elect Director Thomas H. Glocer	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1e	Elect Director William B. Harrison, Jr.	For	For	Management
1f	Elect Director C. Robert Kidder	For	For	Management
1g	Elect Director Rochelle B. Lazarus	For	For	Management
1h	Elect Director Carlos E. Represas	For	For	Management
1i	Elect Director Patricia F. Russo	For	For	Management
1j	Elect Director Craig B. Thompson	For	For	Management
1k	Elect Director Wendell P. Weeks	For	For	Management
1l	Elect Director Peter C. Wendell	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management
4	Provide Right to Act by Written Consent	Against	For	Shareholder
5	Amend Articles/Bylaws/Charter -- Call Special Meetings	Against	Against	Shareholder

-----

MICROCHIP TECHNOLOGY INCORPORATED

Ticker: MCHP Security ID: 595017104

Meeting Date: AUG 16, 2013 Meeting Type: Annual

Record Date: JUN 20, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Steve Sanghi	For	For	Management
1.2	Elect Director Matthew W. Chapman	For	For	Management
1.3	Elect Director L.B. Day	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.4	Elect Director Albert J. Hugo-Martinez	For	For	Management
1.5	Elect Director Wade F. Meyercord	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

-----

MICROSOFT CORPORATION

Ticker: MSFT Security ID: 594918104

Meeting Date: NOV 19, 2013 Meeting Type: Annual

Record Date: SEP 13, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Steven A. Ballmer	For	For	Management
2	Elect Director Dina Dublon	For	For	Management
3	Elect Director William H. Gates	For	For	Management
4	Elect Director Maria M. Klawe	For	For	Management
5	Elect Director Stephen J. Luczo	For	For	Management
6	Elect Director David F. Marquardt	For	For	Management
7	Elect Director Charles H. Noski	For	For	Management
8	Elect Director Helmut Panke	For	For	Management
9	Elect Director John W. Thompson	For	Against	Management
10	Amend Executive Incentive Bonus Plan	For	For	Management
11	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

12	Ratify Auditors	For	For	Management
----	-----------------	-----	-----	------------

-----

MURPHY OIL CORPORATION

Ticker: MUR Security ID: 626717102

Meeting Date: MAY 14, 2014 Meeting Type: Annual

Record Date: MAR 10, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Frank W. Blue	For	For	Management
1b	Elect Director T. Jay Collins	For	For	Management
1c	Elect Director Steven A. Cosse	For	For	Management
1d	Elect Director Claiborne P. Deming	For	For	Management
1e	Elect Director Roger W. Jenkins	For	For	Management
1f	Elect Director James V. Kelley	For	For	Management
1g	Elect Director Walentin Mirosh	For	For	Management
1h	Elect Director R. Madison Murphy	For	For	Management
1i	Elect Director Jeffrey W. Nolan	For	For	Management
1j	Elect Director Neal E. Schmale	For	For	Management
1k	Elect Director Caroline G. Theus	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

---

NATIONAL OILWELL VARCO, INC.

Ticker: NOV Security ID: 637071101

Meeting Date: MAY 14, 2014 Meeting Type: Annual

Record Date: APR 01, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1A	Elect Director Merrill A. Miller, Jr.	For	For	Management
1B	Elect Director Clay C. Williams	For	For	Management
1C	Elect Director Greg L. Armstrong	For	For	Management
1D	Elect Director Robert E. Beauchamp	For	For	Management
1E	Elect Director Marcela E. Donadio	For	For	Management
1F	Elect Director Ben A. Guill	For	For	Management
1G	Elect Director David D. Harrison	For	For	Management
1H	Elect Director Roger L. Jarvis	For	For	Management
1I	Elect Director Eric L. Mattson	For	For	Management
1K	Elect Director Jeffery A. Smisek	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

---

NORTHROP GRUMMAN CORPORATION

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: NOC Security ID: 666807102

Meeting Date: MAY 21, 2014 Meeting Type: Annual

Record Date: MAR 18, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Wesley G. Bush	For	For	Management
1.2	Elect Director Victor H. Fazio	For	For	Management
1.3	Elect Director Donald E. Felsing	For	For	Management
1.4	Elect Director Bruce S. Gordon	For	For	Management
1.5	Elect Director William H. Hernandez	For	For	Management
1.6	Elect Director Madeleine A. Kleiner	For	For	Management
1.7	Elect Director Karl J. Krapek	For	For	Management
1.8	Elect Director Richard B. Myers	For	For	Management
1.9	Elect Director Gary Roughead	For	For	Management
1.10	Elect Director Thomas M. Schoewe	For	For	Management
1.11	Elect Director Kevin W. Sharer	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder

-----  
ORACLE CORPORATION

Ticker: ORCL Security ID: 68389X105

Meeting Date: OCT 31, 2013 Meeting Type: Annual



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Record Date: SEP 03, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Jeffrey S. Berg	For	For	Management
1.2	Elect Director H. Raymond Bingham	For	Withhold	Management
1.3	Elect Director Michael J. Boskin	For	For	Management
1.4	Elect Director Safra A. Catz	For	For	Management
1.5	Elect Director Bruce R. Chizen	For	Withhold	Management
1.6	Elect Director George H. Conrades	For	Withhold	Management
1.7	Elect Director Lawrence J. Ellison	For	For	Management
1.8	Elect Director Hector Garcia-Molina	For	For	Management
1.9	Elect Director Jeffrey O. Henley	For	For	Management
1.10	Elect Director Mark V. Hurd	For	For	Management
1.11	Elect Director Naomi O. Seligman	For	Withhold	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Ratify Auditors	For	For	Management
5	Establish Human Rights Committee	Against	Abstain	Shareholder
6	Require Independent Board Chairman	Against	For	Shareholder
7	Provide Vote Counting to Exclude Abstentions	Against	Against	Shareholder
8	Adopt Multiple Performance Metrics Under Executive Incentive Plans	Against	For	Shareholder
9	Require Shareholder Approval of Quantifiable Performance Metrics	Against	Against	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

---

PENTAIR LTD.

Ticker: PNR Security ID: H6169Q108

Meeting Date: MAY 20, 2014 Meeting Type: Annual

Record Date: APR 30, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Glynis A. Bryan	For	For	Management
1b	Elect Director Jerry W. Burris	For	For	Management
1c	Elect Director Carol Anthony (John) Davidson	For	For	Management
1d	Elect Director T. Michael Glenn	For	For	Management
1e	Elect Director David H.Y. Ho	For	For	Management
1f	Elect Director Randall J. Hogan	For	For	Management
1g	Elect Director David A. Jones	For	For	Management
1h	Elect Director Ronald L. Merriman	For	For	Management
1i	Elect Director William T. Monahan	For	For	Management
1j	Elect Director Billie Ida Williamson	For	For	Management
2	Elect Randall J. Hogan as Board Chairman	For	For	Management
3a	Appoint David A. Jones as Member of the Compensation Committee	For	For	Management
3b	Appoint Glynis A. Bryan as Member of the Compensation Committee	For	For	Management
3c	Appoint T. Michael Glenn as Member of the Compensation Committee	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

3d	Appoint William T. Monahan as Member of the Compensation Committee	For	For	Management
4	Designate Proxy Voting Services GmbH as Independent Proxy	For	For	Management
5	Accept Financial Statements and Statutory Reports	For	For	Management
6	Approve Discharge of Board and Senior Management	For	For	Management
7a	Ratify Deloitte AG as Statutory Auditors	For	For	Management
7b	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
7c	Ratify PricewaterhouseCoopers as Special Auditors	For	For	Management
8a	Approve the Appropriation of results for the year ended December 31, 2013	For	For	Management
8b	Approve Dividends	For	For	Management
9	Advisory Vote to Ratify Names Executive Officers' Compensation	For	For	Management
10	Approve Renewal of the Authorized Share Capital of Pentair Ltd	For	For	Management

---

PENTAIR LTD.

Ticker: PNR Security ID: H6169Q111

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Meeting Date: MAY 20, 2014 Meeting Type: Special

Record Date: APR 30, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Change State of Incorporation [from Switzerland to Ireland]	For	For	Management
2	Approve Proposal to Eliminate the 20% Voting Cap in Pentair-Ireland's Articles of Association	For	For	Management
3	Approval to Create Distributable Reserves of Pentair-Ireland	For	For	Management

-----  
PFIZER INC.

Ticker: PFE Security ID: 717081103

Meeting Date: APR 24, 2014 Meeting Type: Annual

Record Date: FEB 26, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Dennis A. Ausiello	For	For	Management
1.2	Elect Director W. Don Cornwell	For	For	Management
1.3	Elect Director Frances D. Fergusson	For	For	Management
1.4	Elect Director Helen H. Hobbs	For	For	Management
1.5	Elect Director Constance J. Horner	For	For	Management
1.6	Elect Director James M. Kiltz	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.7	Elect Director George A. Lorch	For	For	Management
1.8	Elect Director Shantanu Narayen	For	For	Management
1.9	Elect Director Suzanne Nora Johnson	For	For	Management
1.10	Elect Director Ian C. Read	For	For	Management
1.11	Elect Director Stephen W. Sanger	For	For	Management
1.12	Elect Director Marc Tessier-Lavigne	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Approve Omnibus Stock Plan	For	For	Management
5	Require Shareholder Vote to Approve Political Contributions Policy	Against	Against	Shareholder
6	Review and Assess Membership of Lobbying Organizations	Against	Against	Shareholder
7	Provide Right to Act by Written Consent	Against	For	Shareholder

-----

PHARMACYCLICS, INC.

Ticker: PCYC Security ID: 716933106

Meeting Date: MAY 08, 2014 Meeting Type: Annual

Record Date: MAR 31, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Robert F. Booth	For	For	Management
1.2	Elect Director Kenneth A. Clark	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.3	Elect Director Robert W. Duggan	For	For	Management
1.4	Elect Director Eric H. Halvorson	For	For	Management
1.5	Elect Director Minesh P. Mehta	For	For	Management
1.6	Elect Director David D. Smith	For	For	Management
1.7	Elect Director Richard A. van den Broek	For	For	Management
2	Approve Omnibus Stock Plan	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Ratify Auditors	For	For	Management

-----

PHILIP MORRIS INTERNATIONAL INC.

Ticker: PM Security ID: 718172109

Meeting Date: MAY 07, 2014 Meeting Type: Annual

Record Date: MAR 14, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Harold Brown	For	For	Management
1.2	Elect Director Andre Calantzopoulos	For	For	Management
1.3	Elect Director Louis C. Camilleri	For	For	Management
1.4	Elect Director Jennifer Li	For	For	Management
1.5	Elect Director Sergio Marchionne	For	For	Management
1.6	Elect Director Kalpana Morparia	For	For	Management
1.7	Elect Director Lucio A. Noto	For	For	Management
1.8	Elect Director Robert B. Polet	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.9	Elect Director Carlos Slim Helu	For	For	Management
1.10	Elect Director Stephen M. Wolf	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Report on Lobbying Payments and Policy	Against	For	Shareholder
5	Adopt Policy to Restrict Non-Required Animal Testing	Against	Abstain	Shareholder

-----

PHILLIPS 66

Ticker: PSX Security ID: 718546104

Meeting Date: MAY 07, 2014 Meeting Type: Annual

Record Date: MAR 14, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director William R. Loomis, Jr.	For	For	Management
1b	Elect Director Glenn F. Tilton	For	For	Management
1c	Elect Director Marna C. Whittington	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Adopt Quantitative GHG Goals for Operations	Against	Abstain	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

---

PPG INDUSTRIES, INC.

Ticker: PPG Security ID: 693506107

Meeting Date: APR 17, 2014 Meeting Type: Annual

Record Date: FEB 21, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Stephen F. Angel	For	For	Management
1.2	Elect Director Hugh Grant	For	For	Management
1.3	Elect Director Michele J. Hooper	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Reduce Supermajority Vote Requirement in the Articles of Incorporation	For	For	Management
4	Ratify Auditors	For	For	Management
5	Require Independent Board Chairman	Against	For	Shareholder

---

PRUDENTIAL FINANCIAL, INC.

Ticker: PRU Security ID: 744320102

Meeting Date: MAY 13, 2014 Meeting Type: Annual

Record Date: MAR 14, 2014



Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Thomas J. Baltimore, Jr.	For	For	Management
1.2	Elect Director Gordon M. Bethune	For	Against	Management
1.3	Elect Director Gilbert F. Casellas	For	For	Management
1.4	Elect Director James G. Cullen	For	Against	Management
1.5	Elect Director Mark B. Grier	For	For	Management
1.6	Elect Director Constance J. Horner	For	Against	Management
1.7	Elect Director Martina Hund-Mejean	For	For	Management
1.8	Elect Director Karl J. Krapek	For	For	Management
1.9	Elect Director Christine A. Poon	For	For	Management
1.10	Elect Director Douglas A. Scovanner	For	For	Management
1.11	Elect Director John R. Strangfeld	For	For	Management
1.12	Elect Director James A. Unruh	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Stock Retention/Holding Period	Against	Against	Shareholder

-----

PUBLIC SERVICE ENTERPRISE GROUP INCORPORATED

Ticker: PEG Security ID: 744573106

Meeting Date: APR 15, 2014 Meeting Type: Annual

Record Date: FEB 14, 2014

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Albert R. Gamper, Jr.	For	For	Management
1.2	Elect Director William V. Hickey	For	For	Management
1.3	Elect Director Ralph Izzo	For	For	Management
1.4	Elect Director Shirley Ann Jackson	For	For	Management
1.5	Elect Director David Lilley	For	For	Management
1.6	Elect Director Thomas A. Renyi	For	For	Management
1.7	Elect Director Hak Cheol Shin	For	For	Management
1.8	Elect Director Richard J. Swift	For	For	Management
1.9	Elect Director Susan Tomasky	For	For	Management
1.10	Elect Director Alfred W. Zollar	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3a	Reduce Supermajority Vote Requirement for Certain Business Combinations	For	For	Management
3b	Reduce Supermajority Vote Requirement to Remove a Director Without Cause	For	For	Management
3c	Reduce Supermajority Vote Requirement to Amend Bylaws	For	For	Management
4	Ratify Auditors	For	For	Management

-----

PUBLIC STORAGE

Ticker: PSA Security ID: 74460D109

Meeting Date: MAY 01, 2014 Meeting Type: Annual

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Record Date: MAR 06, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Ronald L. Havner, Jr.	For	For	Management
1.2	Elect Director Tamara Hughes Gustavson	For	For	Management
1.3	Elect Director Uri P. Harkham	For	For	Management
1.4	Elect Director B. Wayne Hughes, Jr.	For	Against	Management
1.5	Elect Director Avedick B. Poladian	For	For	Management
1.6	Elect Director Gary E. Pruitt	For	For	Management
1.7	Elect Director Ronald P. Spogli	For	For	Management
1.8	Elect Director Daniel C. Staton	For	For	Management
2	Ratify Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

-----  
QUALCOMM INCORPORATED

Ticker: QCOM Security ID: 747525103

Meeting Date: MAR 04, 2014 Meeting Type: Annual

Record Date: JAN 06, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Barbara T. Alexander	For	For	Management
1b	Elect Director Donald G. Cruickshank	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1c	Elect Director Raymond V. Dittamore	For	For	Management
1d	Elect Director Susan Hockfield	For	For	Management
1e	Elect Director Thomas W. Horton	For	For	Management
1f	Elect Director Paul E. Jacobs	For	For	Management
1g	Elect Director Sherry Lansing	For	For	Management
1h	Elect Director Steven M. Mollenkopf	For	For	Management
1i	Elect Director Duane A. Nelles	For	For	Management
1j	Elect Director Clark T. 'Sandy' Randt, Jr.	For	For	Management
1k	Elect Director Francisco Ros	For	For	Management
1l	Elect Director Jonathan J. Rubinstein	For	For	Management
1m	Elect Director Brent Scowcroft	For	For	Management
1n	Elect Director Marc I. Stern	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

-----

RAYONIER INC.

Ticker: RYN Security ID: 754907103

Meeting Date: MAY 15, 2014 Meeting Type: Annual

Record Date: MAR 17, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
---	----------	---------	-----------	---------

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.1	Elect Director Paul G. Boynton	For	For	Management
1.2	Elect Director C. David Brown, II	For	For	Management
1.3	Elect Director John E. Bush	For	For	Management
1.4	Elect Director Mark E. Gaumond	For	For	Management
1.5	Elect Director Thomas I. Morgan	For	For	Management
1.6	Elect Director David W. Oskin	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder
5	Report on Risk Management of Effluent Discharges at Fiber Mill	Against	Abstain	Shareholder

---

RAYTHEON COMPANY

Ticker: RTN Security ID: 755111507

Meeting Date: MAY 29, 2014 Meeting Type: Annual

Record Date: APR 08, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director James E. Cartwright	For	For	Management
1b	Elect Director Vernon E. Clark	For	For	Management
1c	Elect Director Stephen J. Hadley	For	For	Management
1d	Elect Director Thomas A. Kennedy	For	For	Management
1e	Elect Director George R. Oliver	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1f	Elect Director Michael C. Ruettggers	For	For	Management
1g	Elect Director Ronald L. Skates	For	For	Management
1h	Elect Director William R. Spivey	For	For	Management
1i	Elect Director Linda G. Stuntz	For	For	Management
1j	Elect Director William H. Swanson	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management
4	Provide Right to Act by Written Consent	For	For	Management
5	Report on Political Contributions	Against	Against	Shareholder
6	Report on Lobbying Payments and Policy	Against	Against	Shareholder

-----

ROCKWELL AUTOMATION, INC.

Ticker: ROK Security ID: 773903109

Meeting Date: FEB 04, 2014 Meeting Type: Annual

Record Date: DEC 09, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
A1	Elect Director Steven R. Kalmanson	For	Withhold	Management
A2	Elect Director James P. Keane	For	For	Management
A3	Elect Director Donald R. Parfet	For	For	Management
B	Ratify Auditors	For	For	Management
C	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

D      Require a Majority Vote for the                      None              For                      Shareholder  
Election of Directors

---

ROPER INDUSTRIES, INC.

Ticker:              ROP                      Security ID: 776696106

Meeting Date: MAY 21, 2014      Meeting Type: Annual

Record Date: MAR 31, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard F. Wallman	For	For	Management
1.2	Elect Director Christopher Wright	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Ratify Auditors	For	For	Management

---

SIMON PROPERTY GROUP, INC.

Ticker:              SPG                      Security ID: 828806109

Meeting Date: MAY 15, 2014      Meeting Type: Annual

Record Date: MAR 14, 2014

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Melvyn E. Bergstein	For	For	Management
1.2	Elect Director Larry C. Glasscock	For	For	Management
1.3	Elect Director Karen N. Horn	For	For	Management
1.4	Elect Director Allan Hubbard	For	For	Management
1.5	Elect Director Reuben S. Leibowitz	For	For	Management
1.6	Elect Director Daniel C. Smith	For	For	Management
1.7	Elect Director J. Albert Smith, Jr.	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Ratify Auditors	For	For	Management

-----

SLM CORPORATION

Ticker: SLM Security ID: 78442P106

Meeting Date: JUN 25, 2014 Meeting Type: Annual

Record Date: APR 30, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Paul G. Child	For	For	Management
1b	Elect Director Joseph A. DePaulo	For	For	Management
1c	Elect Director Carter Warren Franke	For	For	Management
1d	Elect Director Earl A. Goode	For	For	Management
1e	Elect Director Ronald F. Hunt	For	For	Management



Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1f	Elect Director Marianne Keler	For	For	Management
1g	Elect Director Jed H. Pitcher	For	For	Management
1h	Elect Director Frank C. Puleo	For	For	Management
1i	Elect Director Raymond J. Quinlan	For	For	Management
1j	Elect Director William N. Shiebler	For	For	Management
1k	Elect Director Robert S. Strong	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management
4	Approve an Amendment to the LLC Agreement of Navient, LLC	For	For	Management
5	Eliminate Cumulative Voting	For	For	Management
6	Adopt Proxy Access Right	None	For	Shareholder
7	Report on Lobbying Payments and Policy	Against	For	Shareholder

-----

SOUTHWEST AIRLINES CO.

Ticker: LUV Security ID: 844741108

Meeting Date: MAY 14, 2014 Meeting Type: Annual

Record Date: MAR 18, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director David W. Biegler	For	For	Management
1b	Elect Director J. Veronica Biggins	For	For	Management
1c	Elect Director Douglas H. Brooks	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1d	Elect Director William H. Cunningham	For	For	Management
1e	Elect Director John G. Denison	For	For	Management
1f	Elect Director Gary C. Kelly	For	For	Management
1g	Elect Director Nancy B. Loeffler	For	For	Management
1h	Elect Director John T. Montford	For	For	Management
1i	Elect Director Thomas M. Nealon	For	For	Management
1j	Elect Director Daniel D. Villanueva	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management

-----

STARWOOD HOTELS & RESORTS WORLDWIDE, INC.

Ticker:           HOT                   Security ID: 85590A401

Meeting Date: MAY 01, 2014   Meeting Type: Annual

Record Date:   MAR 06, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Frits van Paasschen	For	For	Management
1b	Elect Director Bruce W. Duncan	For	For	Management
1c	Elect Director Adam M. Aron	For	For	Management
1d	Elect Director Charlene Barshefsky	For	For	Management
1e	Elect Director Thomas E. Clarke	For	For	Management
1f	Elect Director Clayton C. Daley, Jr.	For	For	Management
1g	Elect Director Lizanne Galbreath	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1h	Elect Director Eric Hippeau	For	For	Management
1i	Elect Director Aylwin B. Lewis	For	For	Management
1j	Elect Director Stephen R. Quazzo	For	For	Management
1k	Elect Director Thomas O. Ryder	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management

-----

STARWOOD PROPERTY TRUST, INC.

Ticker: STWD Security ID: 85571B105

Meeting Date: APR 30, 2014 Meeting Type: Annual

Record Date: MAR 10, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard D. Bronson	For	For	Management
1.2	Elect Director Jeffrey F. DiModica	For	For	Management
1.3	Elect Director Jeffrey G. Dishner	For	For	Management
1.4	Elect Director Camille J. Douglas	For	For	Management
1.5	Elect Director Boyd W. Fellows	For	For	Management
1.6	Elect Director Barry S. Sternlicht	For	Withhold	Management
1.7	Elect Director Strauss Zelnick	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

4      Require Independent Board Chairman      Against      For      Shareholder

-----  
STATE STREET CORPORATION

Ticker:            STT                      Security ID: 857477103

Meeting Date: MAY 14, 2014      Meeting Type: Annual

Record Date:    MAR 07, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Jose E. Almeida	For	For	Management
1b	Elect Director Kennett F. Burnes	For	For	Management
1c	Elect Director Peter Coym	For	For	Management
1d	Elect Director Patrick de Saint-Aignan	For	For	Management
1e	Elect Director Amelia C. Fawcett	For	For	Management
1f	Elect Director Linda A. Hill	For	For	Management
1g	Elect Director Joseph L. Hooley	For	For	Management
1h	Elect Director Robert S. Kaplan	For	For	Management
1i	Elect Director Richard P. Sergel	For	For	Management
1j	Elect Director Ronald L. Skates	For	For	Management
1k	Elect Director Gregory L. Summe	For	For	Management
1l	Elect Director Thomas J. Wilson	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

---

THE AES CORPORATION

Ticker: AES Security ID: 00130H105

Meeting Date: APR 17, 2014 Meeting Type: Annual

Record Date: FEB 21, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Andres R. Gluski	For	For	Management
1.2	Elect Director Zhang Guo Bao	For	For	Management
1.3	Elect Director Charles L. Harrington	For	For	Management
1.4	Elect Director Kristina M. Johnson	For	For	Management
1.5	Elect Director Tarun Khanna	For	For	Management
1.6	Elect Director Philip Lader	For	For	Management
1.7	Elect Director James H. Miller	For	For	Management
1.8	Elect Director Sandra O. Moose	For	For	Management
1.9	Elect Director John B. Morse, Jr.	For	For	Management
1.10	Elect Director Moises Naim	For	For	Management
1.11	Elect Director Charles O. Rossotti	For	Against	Management
1.12	Elect Director Sven Sandstrom	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

---

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

THE BOEING COMPANY

Ticker: BA Security ID: 097023105

Meeting Date: APR 28, 2014 Meeting Type: Annual

Record Date: FEB 27, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director David L. Calhoun	For	For	Management
1b	Elect Director Arthur D. Collins, Jr.	For	For	Management
1c	Elect Director Linda Z. Cook	For	For	Management
1d	Elect Director Kenneth M. Duberstein	For	For	Management
1e	Elect Director Edmund P. Giambastiani, Jr.	For	For	Management
1f	Elect Director Lawrence W. Kellner	For	For	Management
1g	Elect Director Edward M. Liddy	For	For	Management
1h	Elect Director W. James McNerney, Jr.	For	For	Management
1i	Elect Director Susan C. Schwab	For	For	Management
1j	Elect Director Ronald A. Williams	For	For	Management
1k	Elect Director Mike S. Zafirovski	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Ratify Auditors	For	For	Management
5	Report on Lobbying Payments and Policy	Against	Against	Shareholder
6	Provide Right to Act by Written Consent	Against	For	Shareholder
7	Require Independent Board Chairman	Against	For	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

---

THE DOW CHEMICAL COMPANY

Ticker: DOW Security ID: 260543103

Meeting Date: MAY 15, 2014 Meeting Type: Annual

Record Date: MAR 17, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Arnold A. Allemang	For	For	Management
1b	Elect Director Ajay Banga	For	For	Management
1c	Elect Director Jacqueline K. Barton	For	For	Management
1d	Elect Director James A. Bell	For	For	Management
1e	Elect Director Jeff M. Fettig	For	For	Management
1f	Elect Director Andrew N. Liveris	For	For	Management
1g	Elect Director Paul Polman	For	For	Management
1h	Elect Director Dennis H. Reilley	For	For	Management
1i	Elect Director James M. Ringler	For	For	Management
1j	Elect Director Ruth G. Shaw	For	Against	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Provide Right to Act by Written Consent	Against	For	Shareholder
6	Stock Retention/Holding Period	Against	Against	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

---

THE DUN & BRADSTREET CORPORATION

Ticker: DNB Security ID: 26483E100

Meeting Date: MAY 07, 2014 Meeting Type: Annual

Record Date: MAR 12, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Austin A. Adams	For	For	Management
1b	Elect Director Robert P. Carrigan	For	For	Management
1c	Elect Director Christopher J. Coughlin	For	For	Management
1d	Elect Director James N. Fernandez	For	For	Management
1e	Elect Director Paul R. Garcia	For	For	Management
1f	Elect Director Anastassia Lauterbach	For	For	Management
1g	Elect Director Thomas J. Manning	For	For	Management
1h	Elect Director Sandra E. Peterson	For	For	Management
1i	Elect Director Judith A. Reinsdorf	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder

---

THE GOLDMAN SACHS GROUP, INC.



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: GS Security ID: 38141G104

Meeting Date: MAY 16, 2014 Meeting Type: Annual

Record Date: MAR 17, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Lloyd C. Blankfein	For	For	Management
1b	Elect Director M. Michele Burns	For	For	Management
1c	Elect Director Gary D. Cohn	For	For	Management
1d	Elect Director Claes Dahlback	For	For	Management
1e	Elect Director William W. George	For	For	Management
1f	Elect Director James A. Johnson	For	Against	Management
1g	Elect Director Lakshmi N. Mittal	For	For	Management
1h	Elect Director Adebayo O. Ogunesi	For	For	Management
1i	Elect Director Peter Oppenheimer	For	For	Management
1j	Elect Director James J. Schiro	For	For	Management
1k	Elect Director Debora L. Spar	For	For	Management
1l	Elect Director Mark E. Tucker	For	For	Management
1m	Elect Director David A. Viniar	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management
4	Adopt Proxy Access Right	Against	Against	Shareholder

-----

THE HOME DEPOT, INC.

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: HD Security ID: 437076102

Meeting Date: MAY 22, 2014 Meeting Type: Annual

Record Date: MAR 24, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director F. Duane Ackerman	For	For	Management
1b	Elect Director Francis S. Blake	For	For	Management
1c	Elect Director Ari Bousbib	For	For	Management
1d	Elect Director Gregory D. Brenneman	For	For	Management
1e	Elect Director J. Frank Brown	For	For	Management
1f	Elect Director Albert P. Carey	For	For	Management
1g	Elect Director Armando Codina	For	For	Management
1h	Elect Director Helena B. Foulkes	For	For	Management
1i	Elect Director Wayne M. Hewett	For	For	Management
1j	Elect Director Karen L. Katen	For	For	Management
1k	Elect Director Mark Vadon	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Bylaws -- Call Special Meetings	Against	For	Shareholder
5	Prepare Employment Diversity Report	Against	Abstain	Shareholder

-----  
THE KROGER CO.

Ticker: KR Security ID: 501044101

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Meeting Date: JUN 26, 2014 Meeting Type: Annual

Record Date: APR 29, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Reuben V. Anderson	For	For	Management
1b	Elect Director Robert D. Beyer	For	For	Management
1c	Elect Director David B. Dillon	For	For	Management
1d	Elect Director Susan J. Kropf	For	For	Management
1e	Elect Director David B. Lewis	For	For	Management
1f	Elect Director W. Rodney McMullen	For	For	Management
1g	Elect Director Jorge P. Montoya	For	For	Management
1h	Elect Director Clyde R. Moore	For	For	Management
1i	Elect Director Susan M. Phillips	For	For	Management
1j	Elect Director Steven R. Rogel	For	For	Management
1k	Elect Director James A. Runde	For	For	Management
1l	Elect Director Ronald L. Sargent	For	For	Management
1m	Elect Director Bobby S. Shackouls	For	For	Management
2	Approve Omnibus Stock Plan	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Ratify Auditors	For	For	Management
5	Report on Human Rights Risk Assessment Process	Against	Abstain	Shareholder
6	Report on Extended Producer Responsibility Policy Position and Assess Alternatives	Against	Abstain	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

---

THE PRICELINE GROUP INC.

Ticker: PCLN Security ID: 741503403

Meeting Date: JUN 05, 2014 Meeting Type: Annual

Record Date: APR 10, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Timothy M. Armstrong	For	For	Management
1.2	Elect Director Howard W. Barker, Jr.	For	For	Management
1.3	Elect Director Jeffery H. Boyd	For	For	Management
1.4	Elect Director Jan L. Docter	For	For	Management
1.5	Elect Director Jeffrey E. Epstein	For	For	Management
1.6	Elect Director James M. Guyette	For	For	Management
1.7	Elect Director Darren R. Huston	For	For	Management
1.8	Elect Director Nancy B. Peretsman	For	For	Management
1.9	Elect Director Thomas E. Rothman	For	For	Management
1.10	Elect Director Craig W. Rydin	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Provide Right to Act by Written Consent Against		For	Shareholder

---

THE PROCTER & GAMBLE COMPANY

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: PG Security ID: 742718109

Meeting Date: OCT 08, 2013 Meeting Type: Annual

Record Date: AUG 09, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Angela F. Braly	For	For	Management
1b	Elect Director Kenneth I. Chenault	For	For	Management
1c	Elect Director Scott D. Cook	For	For	Management
1d	Elect Director Susan Desmond-Hellmann	For	For	Management
1e	Elect Director A.G. Lafley	For	For	Management
1f	Elect Director Terry J. Lundgren	For	For	Management
1g	Elect Director W. James McNerney, Jr.	For	For	Management
1h	Elect Director Margaret C. Whitman	For	For	Management
1i	Elect Director Mary Agnes Wilderotter	For	For	Management
1j	Elect Director Patricia A. Woertz	For	For	Management
1k	Elect Director Ernesto Zedillo	For	For	Management
2	Ratify Auditors	For	For	Management
3	Reduce Supermajority Vote Requirement	For	For	Management
4	Approve Non-Employee Director Omnibus Stock Plan	For	For	Management
5	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

-----

THE TRAVELERS COMPANIES, INC.

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: TRV                      Security ID: 89417E109

Meeting Date: MAY 27, 2014    Meeting Type: Annual

Record Date: MAR 31, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Alan L. Beller	For	For	Management
1b	Elect Director John H. Dasburg	For	For	Management
1c	Elect Director Janet M. Dolan	For	For	Management
1d	Elect Director Kenneth M. Duberstein	For	For	Management
1e	Elect Director Jay S. Fishman	For	For	Management
1f	Elect Director Patricia L. Higgins	For	For	Management
1g	Elect Director Thomas R. Hodgson	For	For	Management
1h	Elect Director William J. Kane	For	For	Management
1i	Elect Director Cleve L. Killingsworth, Jr.	For	For	Management
1j	Elect Director Philip T. (Pete) Ruegger, III	For	For	Management
1k	Elect Director Donald J. Shepard	For	For	Management
1l	Elect Director Laurie J. Thomsen	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Approve Omnibus Stock Plan	For	For	Management
5	Report on Political Contributions	Against	Against	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

TIME WARNER CABLE INC.

Ticker: TWC Security ID: 88732J207

Meeting Date: JUN 05, 2014 Meeting Type: Annual

Record Date: APR 11, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Carole Black	For	For	Management
1b	Elect Director Glenn A. Britt	For	For	Management
1c	Elect Director Thomas H. Castro	For	For	Management
1d	Elect Director David C. Chang	For	For	Management
1e	Elect Director James E. Copeland, Jr.	For	For	Management
1f	Elect Director Peter R. Haje	For	For	Management
1g	Elect Director Donna A. James	For	For	Management
1h	Elect Director Don Logan	For	For	Management
1i	Elect Director Robert D. Marcus	For	For	Management
1j	Elect Director N.J. Nicholas, Jr.	For	For	Management
1k	Elect Director Wayne H. Pace	For	For	Management
1l	Elect Director Edward D. Shirley	For	For	Management
1m	Elect Director John E. Sununu	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Report on Lobbying Payments and Policy	Against	For	Shareholder
5	Pro-rata Vesting of Equity Awards	Against	Against	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

---

TRI-CONTINENTAL CORPORATION

Ticker: TY Security ID: 895436103

Meeting Date: APR 11, 2014 Meeting Type: Annual

Record Date: FEB 14, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director William P. Carmichael	For	For	Management
1.2	Elect Director Patricia M. Flynn	For	For	Management
1.3	Elect Director Stephen R. Lewis, Jr.	For	For	Management
1.4	Elect Director Catherine James Paglia	For	For	Management
2	Ratify Auditors	For	For	Management

---

TYSON FOODS, INC.

Ticker: TSN Security ID: 902494103

Meeting Date: JAN 31, 2014 Meeting Type: Annual

Record Date: DEC 02, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director John Tyson	For	For	Management
1b	Elect Director Kathleen M. Bader	For	For	Management
1c	Elect Director Gaurdie E. Banister, Jr.	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1d	Elect Director Jim Kever	For	For	Management
1e	Elect Director Kevin M. McNamara	For	For	Management
1f	Elect Director Brad T. Sauer	For	For	Management
1g	Elect Director Robert Thurber	For	Against	Management
1h	Elect Director Barbara A. Tyson	For	For	Management
1i	Elect Director Albert C. Zapanta	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management
4	Report on the Risks Associated with Use of Gestation Crates in Supply	Against	Abstain	Shareholder

-----

U.S. BANCORP

Ticker:           USB                   Security ID: 902973304

Meeting Date: APR 15, 2014   Meeting Type: Annual

Record Date: FEB 18, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Douglas M. Baker, Jr.	For	For	Management
1b	Elect Director Y. Marc Belton	For	For	Management
1c	Elect Director Victoria Buyniski Gluckman	For	For	Management
1d	Elect Director Arthur D. Collins, Jr.	For	For	Management
1e	Elect Director Richard K. Davis	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1f	Elect Director Roland A. Hernandez	For	For	Management
1g	Elect Director Doreen Woo Ho	For	For	Management
1h	Elect Director Joel W. Johnson	For	For	Management
1i	Elect Director Olivia F. Kirtley	For	For	Management
1j	Elect Director Jerry W. Levin	For	For	Management
1k	Elect Director David B. O'Maley	For	For	Management
1l	Elect Director O'dell M. Owens	For	For	Management
1m	Elect Director Craig D. Schnuck	For	For	Management
1n	Elect Director Patrick T. Stokes	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder

-----

UNION PACIFIC CORPORATION

Ticker: UNP Security ID: 907818108

Meeting Date: MAY 15, 2014 Meeting Type: Annual

Record Date: MAR 24, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Andrew H. Card, Jr.	For	For	Management
1.2	Elect Director Erroll B. Davis, Jr.	For	For	Management
1.3	Elect Director David B. Dillon	For	For	Management
1.4	Elect Director Judith Richards Hope	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.5	Elect Director John J. Koraleski	For	For	Management
1.6	Elect Director Charles C. Krulak	For	For	Management
1.7	Elect Director Michael R. McCarthy	For	For	Management
1.8	Elect Director Michael W. McConnell	For	For	Management
1.9	Elect Director Thomas F. McLarty, III	For	For	Management
1.10	Elect Director Steven R. Rogel	For	For	Management
1.11	Elect Director Jose H. Villarreal	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Increase Authorized Common Stock	For	For	Management
5	Stock Retention/Holding Period	Against	Against	Shareholder

-----

UNITED PARCEL SERVICE, INC.

Ticker: UPS Security ID: 911312106

Meeting Date: MAY 08, 2014 Meeting Type: Annual

Record Date: MAR 10, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director F. Duane Ackerman	For	For	Management
1b	Elect Director Rodney C. Adkins	For	For	Management
1c	Elect Director Michael J. Burns	For	For	Management
1d	Elect Director D. Scott Davis	For	For	Management
1e	Elect Director Stuart E. Eizenstat	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1f	Elect Director Michael L. Eskew	For	For	Management
1g	Elect Director William R. Johnson	For	For	Management
1h	Elect Director Candace Kendle	For	For	Management
1i	Elect Director Ann M. Livermore	For	For	Management
1j	Elect Director Rudy H.P. Markham	For	For	Management
1k	Elect Director Clark T. "Sandy" Randt, Jr.	For	For	Management
1l	Elect Director Carol B. Tome	For	For	Management
1m	Elect Director Kevin M. Warsh	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management
4	Report on Lobbying Payments and Policy	Against	Against	Shareholder
5	Approve Recapitalization Plan for all Stock to Have One-vote per Share	Against	For	Shareholder

-----

VALERO ENERGY CORPORATION

Ticker: VLO Security ID: 91913Y100

Meeting Date: MAY 01, 2014 Meeting Type: Annual

Record Date: MAR 04, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Jerry D. Choate	For	For	Management
1b	Elect Director Joseph W. Gorder	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1c	Elect Director William R. Klesse	For	For	Management
1d	Elect Director Deborah P. Majoras	For	For	Management
1e	Elect Director Donald L. Nickles	For	For	Management
1f	Elect Director Philip J. Pfeiffer	For	For	Management
1g	Elect Director Robert A. Profusek	For	For	Management
1h	Elect Director Susan Kaufman Purcell	For	For	Management
1i	Elect Director Stephen M. Waters	For	For	Management
1j	Elect Director Randall J. Weisenburger	For	For	Management
1k	Elect Director Rayford Wilkins, Jr.	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Pro-rata Vesting of Equity Awards	Against	For	Shareholder
5	Adopt Quantitative GHG Goals for Products and Operations	Against	Abstain	Shareholder
6	Report on Lobbying Payments and Policy	Against	For	Shareholder

-----

VERISIGN, INC.

Ticker: VRSN Security ID: 92343E102

Meeting Date: MAY 22, 2014 Meeting Type: Annual

Record Date: MAR 28, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director D. James Bidzos	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.2	Elect Director William L. Chenevich	For	For	Management
1.3	Elect Director Kathleen A. Cote	For	For	Management
1.4	Elect Director Roger H. Moore	For	For	Management
1.5	Elect Director John D. Roach	For	For	Management
1.6	Elect Director Louis A. Simpson	For	For	Management
1.7	Elect Director Timothy Tomlinson	For	For	Management
2	Provide Right to Call Special Meeting	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Ratify Auditors	For	For	Management

-----

VERIZON COMMUNICATIONS INC.

Ticker: VZ Security ID: 92343V104

Meeting Date: JAN 28, 2014 Meeting Type: Special

Record Date: DEC 09, 2013

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Issue Shares in Connection with Acquisition	For	For	Management
2	Increase Authorized Common Stock	For	For	Management
3	Adjourn Meeting	For	For	Management

-----

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

VERIZON COMMUNICATIONS INC.

Ticker: VZ Security ID: 92343V104

Meeting Date: MAY 01, 2014 Meeting Type: Annual

Record Date: MAR 03, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Shellye L. Archambeau	For	For	Management
1.2	Elect Director Richard L. Carrion	For	For	Management
1.3	Elect Director Melanie L. Healey	For	For	Management
1.4	Elect Director M. Frances Keeth	For	For	Management
1.5	Elect Director Robert W. Lane	For	For	Management
1.6	Elect Director Lowell C. McAdam	For	For	Management
1.7	Elect Director Donald T. Nicolaisen	For	For	Management
1.8	Elect Director Clarence Otis, Jr.	For	For	Management
1.9	Elect Director Rodney E. Slater	For	For	Management
1.10	Elect Director Kathryn A. Tesija	For	For	Management
1.11	Elect Director Gregory D. Wasson	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Provide Proxy Access Right	For	For	Management
5	Report on Net Neutrality	Against	Abstain	Shareholder
6	Report on Lobbying Payments and Policy	Against	Against	Shareholder
7	Submit Severance Agreement (Change-in-Control) to Shareholder Vote	Against	Against	Shareholder
8	Amend Articles/Bylaws/Charter -- Call	Against	For	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Special Meetings

9	Provide Right to Act by Written Consent Against	For	Shareholder
10	Approve Proxy Voting Authority	Against Against	Shareholder

---

VERTEX PHARMACEUTICALS INCORPORATED

Ticker: VRTX Security ID: 92532F100

Meeting Date: MAY 07, 2014 Meeting Type: Annual

Record Date: MAR 10, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Margaret G. McGlynn	For	Withhold	Management
1.2	Elect Director Wayne J. Riley	For	For	Management
1.3	Elect Director William D. Young	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Ratify Auditors	For	Against	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management

---

VF CORPORATION

Ticker: VFC Security ID: 918204108



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Meeting Date: APR 22, 2014 Meeting Type: Annual

Record Date: MAR 03, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard T. Carucci	For	For	Management
1.2	Elect Director Juliana L. Chugg	For	For	Management
1.3	Elect Director Juan Ernesto de Bedout	For	For	Management
1.4	Elect Director Ursula O. Fairbairn	For	For	Management
1.5	Elect Director George Fellows	For	For	Management
1.6	Elect Director Clarence Otis, Jr.	For	For	Management
1.7	Elect Director Matthew J. Shattock	For	For	Management
1.8	Elect Director Eric C. Wiseman	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management

-----  
VMWARE, INC.

Ticker: VMW Security ID: 928563402

Meeting Date: MAY 28, 2014 Meeting Type: Annual

Record Date: MAR 31, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director Pamela J. Craig	For	For	Management
2	Advisory Vote to Ratify Named	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

### Executive Officers' Compensation

3	Ratify Auditors	For	For	Management
---	-----------------	-----	-----	------------

-----

WAL-MART STORES, INC.

Ticker: WMT Security ID: 931142103

Meeting Date: JUN 06, 2014 Meeting Type: Annual

Record Date: APR 11, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Aida M. Alvarez	For	Against	Management
1b	Elect Director James I. Cash, Jr.	For	Against	Management
1c	Elect Director Roger C. Corbett	For	For	Management
1d	Elect Director Pamela J. Craig	For	For	Management
1e	Elect Director Douglas N. Daft	For	For	Management
1f	Elect Director Michael T. Duke	For	Against	Management
1g	Elect Director Timothy P. Flynn	For	For	Management
1h	Elect Director Marissa A. Mayer	For	For	Management
1i	Elect Director C. Douglas McMillon	For	For	Management
1j	Elect Director Gregory B. Penner	For	For	Management
1k	Elect Director Steven S Reinemund	For	For	Management
1l	Elect Director Jim C. Walton	For	For	Management
1m	Elect Director S. Robson Walton	For	For	Management
1n	Elect Director Linda S. Wolf	For	For	Management
2	Ratify Auditors	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder
5	Disclosure of Recoupment Activity from Senior Officers	Against	For	Shareholder
6	Report on Lobbying Payments and Policy	Against	For	Shareholder

-----

WELLPOINT, INC.

Ticker: WLP Security ID: 94973V107

Meeting Date: MAY 14, 2014 Meeting Type: Annual

Record Date: MAR 14, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director R. Kerry Clark	For	For	Management
1.2	Elect Director Robert L. Dixon, Jr.	For	For	Management
1.3	Elect Director Lewis Hay, III	For	For	Management
1.4	Elect Director William J. Ryan	For	For	Management
2	Ratify Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Prohibit Political Spending	Against	Against	Shareholder

-----

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

WHIRLPOOL CORPORATION

Ticker: WHR Security ID: 963320106

Meeting Date: APR 15, 2014 Meeting Type: Annual

Record Date: FEB 18, 2014

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Samuel R. Allen	For	For	Management
1b	Elect Director Gary T. DiCamillo	For	For	Management
1c	Elect Director Diane M. Dietz	For	For	Management
1d	Elect Director Geraldine T. Elliott	For	For	Management
1e	Elect Director Jeff M. Fettig	For	For	Management
1f	Elect Director Michael F. Johnston	For	For	Management
1g	Elect Director William T. Kerr	For	For	Management
1h	Elect Director John D. Liu	For	For	Management
1i	Elect Director Harish Manwani	For	For	Management
1j	Elect Director William D. Perez	For	For	Management
1k	Elect Director Michael A. Todman	For	For	Management
1l	Elect Director Michael D. White	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Auditors	For	For	Management
4	Approve Executive Incentive Bonus Plan	For	For	Management

=====

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Any ballot marked 'Abstain' is considered to have been voted. Ballots marked 'Abstain' are considered to have been voted against management's recommendation if management's recommendation is 'For' or 'Against,' and for management's recommendation if management's recommendation is 'Abstain.' Where management has recommended that shareholders 'Abstain' from voting on a ballot item, a ballot marked 'For' or 'Against' is considered to have been voted against management's recommendation to 'Abstain.'

Where management has made no recommendation on a ballot item, 'None' is used to indicate that there is no management recommendation that a shareholder may vote 'For' or 'Against.'

Any ballot marked as 'Take No Action' is neither a vote cast for or against management and 'None' is used to represent this.

=====

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant)                      Tri-Continental Corporation

By (Signature and Title)\*      /s/ J. Kevin Connaughton  
   J. Kevin Connaughton  
   President

Date:                                      August 20, 2014

---

\*Print the name and title of each signing officer under his or her signature.

---