ASTEC INDUSTRIES INC

Form 4/A March 29, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Form 5 obligations may continue.

See Instruction

Filed pursu Section 17(a)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and A Bremmer M	ddress of Reporting I ichael A	Symbol	ner Name and Ticker or Trading I CC INDUSTRIES INC [ASTE	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	fiddle) 3. Date	of Earliest Transaction	(Ch	eck all applicable)		
, ,	56, 245 WOODW	(Month	/Day/Year)	Director _X_ Officer (g below) Presid	ive title 10% Owner Other (specify below) dent-CEI Enterprises		
	(Street)	4. If An	nendment, Date Original	6. Individual or	Joint/Group Filing(Check		
		`	Ionth/Day/Year)	Applicable Line)			
ALBUQUE	RQUE, NM 8711		03/29/2016 _X_ Form filed by One Reporting P Form filed by More than One R Person				
(City)	(State)	(Zip) Ta	ble I - Non-Derivative Securities A	cquired, Disposed	of, or Beneficially Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code Disposed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect Indirect Indirect Ownership (Instr. 4) Instr. 4)		
Common Stock			code v Amount (B) The	300 (1)	D		
Common				2,424	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5. Number	6. Date Exer		7. Title and A		8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti		Expiration D		Underlying S		Derivati
Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day,	Year)	(Instr. 3 and	4)	Security (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(2)</u>	03/28/2016		A	9.03 (2)	(2)	(2)	Common Stock	9.03 (2)	\$ 44.4

Reporting Owners

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

Bremmer Michael A PO BOX 9156 245 WOODWARD ROAD SE ALBUQUERQUE, NM 87119

President-CEI Enterprises

Signatures

Robert Taylor, attorney in fact for Michael Bremmer

03/29/2016

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Holdings are restricted stock units (RSUs) that convert to common stock on a one-for-one basis at a later date.
 - Corrected footnote--Transaction represents the automatic reinvestment of a cash dividend paid on phantom stock held in the participants
- (2) SERP account that was used to purchase Astec stock on the open market as approved by the Company's Board of Directors. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

Exhibit Number	Description
1.1	Sales Agreement, dated as of July 29, 2015, between InVivo Therapeutics Holdings Corp. and Cowen and Company, LLC
5.1	Opinion of Greenberg Traurig, LLP
23.1	Consent of Greenberg Traurig, LLP (included in Exhibit 5.1)

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

InVivo Therapeutics Holdings Corp.

By: /s/ Tamara Joseph

Tamara Joseph

SVP, General Counsel & Chief

Compliance Officer

Date: July 29, 2015

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EXHIBIT INDEX

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