TWO HARBORS INVESTMENT CORP. Form 144 May 27, 2016

								PPROVAL er: 3235-0101
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 144 NOTICE OF PROPOSED SALE OF SECURITIES					Expires: May	31, 2017		
					Estimated average burden hours per response 1.00			
						SEC USE ONLY DOCUMENT SEQUENCE NO.		
ATTEN						xecute sale	CUSIP NUM	IBER
. ,	AME OF ISSUER ( <i>Please type or print</i> ) rbors Investment Corp.	an a market maker.	(b) IRS IDENT 27-0312904	ſ. NO.	( <i>c</i> ) S.E.C. FILE 1 001-34506	NO.	WORK LOC	ATION
1 ( <i>d</i> ) 590 M	ADDRESS OF ISSUER Iadison Avenue, 36th	STREET		CITY	STATE	ZIP CODE	(e) TELEPH	ONE NO.
570 1	Floor			New York	NY	10022	AREA CODE 612	NUMBER 629-2500
2 (a)	NAME OF PERSON FOR WHOSE ACCOUNT THE SECURITIES ARE TO BE SOLD	(b)RELA ISSU	ATIONSHIP TO ER	(c)ADD	RESS STREET	CITY	STATI	E ZIP CODE
Mary K.		Officer		590 Madisor	n Avenue, 36th Fl	oor New	York NY	10022

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3( <i>a</i> )	<i>(b)</i>	SEC USE (c) ONLY		( <i>d</i> )	(e)	( <i>f</i> )	(g)
Title of the Class of Securitie To Be	Through Whom the Securities are to be Offered or Each	Broker-Dealer File Number	Number of Shares or Other Units To Be Sold (See instr.	Aggregate Market	Number of Shares or Other Units Outstanding (See instr.	<b>Approximate</b> <b>Date of Sale</b> (See instr. 3(f))	Name of Each Securities Exchange (See instr.
Sold	Securities		3(c))	$\mathcal{Z}(d)$	3(e))	(MO. DAY YR.)	3(g)
Common Stock	TD Ameritrade, Inc. 200 South 108th Avenue Omaha, NE 68154	2,22:		\$19,023.75 as of 5/26/2016 based on \$8.55 closing price on NYSE.		5/31/2016	NYSE

#### **INSTRUCTIONS:**

- 1.(a) Name of issuer
- (b) Issuer s I.R.S. Identification Number
- (c) Issuer s S.E.C. file number, if any

(d) Issuer s address, including zip code

(e) Issuer s telephone number, including area code

3.(a) Title of the class of securities to be sold

- (b) Name and address of each broker through whom the securities are intended to be sold
- (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
- (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
- (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer

# Edgar Filing: TWO HARBORS INVESTMENT CORP. - Form 144

2.(a) Name of person for whose account the securities are to be sold

(f) Approximate date on which the securities are to be sold(g) Name of each securities exchange, if any, on which the securities are intended to be sold

(b) Such person s relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)

(c) Such person s address, including zip code

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

## Edgar Filing: TWO HARBORS INVESTMENT CORP. - Form 144

#### TABLE ISECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class Common Stock	Date you Acquired 5/29/2013	Nature of Acquisition Transaction Restricted Stock Award, granted pursuant to Issuer's Second Restated 2009 Equity Incentive Plan	Name of Person from Whom Acquired (If gift, also give date donor acquired) Two Harbors Investment Corp.	Amount of Securities Acquired 2,225	Date of Payment n/a	<b>Nature of Payment</b> n/a
INSTRUCTIONS	made i note th consid payme state w	ecurities were purchased and full pa n cash at the time of purchase, expla ereto the nature of the consideratior eration consisted of any note or othe nt was made in installments describ then the note or other obligation was t installment paid.	ain in the table or in a n given. If the er obligation, or if e the arrangement and			

### TABLE IISECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	Gross Proceeds

**REMARKS:**The reporting person anticipates selling the shares for the purpose of satisfying income tax liabilities incurred upon vesting of the restricted stock award.

### **INSTRUCTIONS:**

See the definition of person in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

### **ATTENTION:**

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

5/27/2016 DATE OF NOTICE

5/21/2015

# Edgar Filing: TWO HARBORS INVESTMENT CORP. - Form 144

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION, IF RELYING ON RULE 10B5-1

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001) SEC 1147 (02-08)