## Edgar Filing: Neos Therapeutics, Inc. - Form 4

| Neos Therape<br>Form 4<br>July 05, 2017  | utics, Inc.                           |  |   |  |  |   |  |  |   |  |  |
|--|---------------------------------------|--|---|--|--|---|--|--|---|--|--|
| FORM   | <b>4</b> UNITED                       | STATES                                   |   |  |  |   | E COMMISSION   |  | PPROVAL<br>3235-0287  |  |  |
| Washington, D.C. 20549Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.STATEMENT OF CHANGES IN BENEFICIAL OWNERSHI<br>SECURITIESForm 4 or<br>Form 5<br> |                                       |  |   |  |  |   |  | Expires:<br>Estimated<br>burden hou<br>response                      | Expires:January 31,<br>2005Estimated average<br>burden hours per<br>response0.5 |  |  |
| (Print or Type Re  | esponses)                             |  |   |  |  |   |  |  |   |  |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Hecht Beth   |                                       |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>Neos Therapeutics, Inc. [NEOS] |  |  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable) |  |  |   |  |  |
| (Last) (First) (Middle) 3. Date of Earliest Trans<br>(Month/Day/Year)<br>C/O NEOS THERAPEUTICS, 07/03/2017<br>INC., 2940 N. HWY 360  |                                       |  | ransaction  |  | X Director<br>Officer (giv<br>below)   | 109   | % Owner<br>her (specify  |  |   |  |  |
| (Street) 4. If Amendment, Date Original<br>Filed(Month/Day/Year)   |                                       |  |   | ıl                                     | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting |   |  |  |   |  |  |
| (City)   | (State)                               | (Zip)                                    | Tal   | ole I - Non-                           | Derivative   | Securities A  | Person<br>Acquired, Disposed (   | of. or Beneficia   | llv Owned   |  |  |
|  | . Transaction Date<br>Month/Day/Year) | 2A. Deem<br>Execution<br>any<br>(Month/D | ed<br>Date, if  | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securit<br>onAcquired<br>Disposed<br>(Instr. 3, 4)  | ies<br>(A) or<br>of (D)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect  |  |  |
| Reminder: Repo   | rt on a separate line                 | e for each cl                            | ass of sec  | urities bene                           | Perso<br>inform<br>requir  | ons who res<br>nation con<br>red to resp<br>ays a curre                       | or indirectly.<br>spond to the collect<br>tained in this form<br>ond unless the for<br>ntly valid OMB con          | are not<br>m   | SEC 1474<br>(9-02)  |  |  |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of | 8 |
|-------------|-------------|---------------------|--------------------|------------|--------------|-------------------------|------------------------|---|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | orDerivative | Expiration Date         | Underlying Securities  | D |
| Security    | or Exercise |                     | any                | Code       | Securities   | (Month/Day/Year)        | (Instr. 3 and 4)       | S |

| (Instr. 3)                           | Price of<br>Derivative<br>Security | (Month/Day/Year | ) (Instr. 8) | Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | ſ                     |                    |                 | (                                   |
|--------------------------------------|------------------------------------|-----------------|--------------|--|-----------------------|--------------------|-----------------|-------------------------------------|
|                                      |                                    |                 | Code V       | (A) (D)  | ) Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 7.65                            | 07/03/2017      | А            | 18,322   | <u>(1)</u>            | 07/03/2027         | Common<br>Stock | 18,322                              |

## **Reporting Owners**

| Reporting Owner Name / Address  |          | Relationsh |         |       |
|---|----------|------------|---------|-------|
|   | Director | 10% Owner  | Officer | Other |
| Hecht Beth<br>C/O NEOS THERAPEUTICS, INC.<br>2940 N. HWY 360<br>GRAND PRAIRIE, TX 75050 | Х        |            |         |       |
| Signatures  |          |            |         |       |
| /s/ Benjamin Piper, attorney<br>in fact   | 07/05/   | 2017       |         |       |
| **Signature of Reporting Person   | Date     | e          |         |       |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This stock option vests in equal quarterly installments over a one-year period which begins on June 15, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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