Edgar Filing: MFS CHARTER INCOME TRUST - Form N-23C-1

MFS CHARTER INCOME TRUST

Form N-23C-1 July 07, 2004

To: Securities and Exchange Commission, Washington, D.C.

Statement of registered closed-end investment company with respect to purchases of its own securities pursuant to Rule N23C-1 during the last calendar month.

MFS Charter Income Trust - MCR

Report of the calendar month ending June 30, 2004, :

Date Identification of Securi	ity Shares Repur	chased	Repurchase	e Price	NAV	Broker	
Total Shares Repurchased: (none)	No repurchases	S					
Remarks? (none)							
Stephanie DeSisto, Assistant Treas MFS Investment Management ign="top" style="border:none">	3235-0104 anuary 31, 2005						
(Print or Type Responses)							
1. Name and Address of Reporting Person * Farrow Robert Henry Alban	2. Date of Event Requiring Statement (Month/Day/Year)		ame and Ticker or Trading Symbol [NC /WA/ [ITRI]				
(Last) (First) (Middle)	03/28/2017	4. Relationship of Reporting Person(s) to Issuer			If Amendment, I ed(Month/Day/Ye	_	
2111 NORTH MOLTER ROAD (Street)		(Check	ck all applicable)		Individual or Joi	nt/Group	
LIBERTY LAKE, WA 99019				ow) Per	ing(Check Applica _ Form filed by Or rson _ Form filed by Mo porting Person	ne Reporting	
(City) (State) (Zip)	Table I - N	Non-Deriva	tive Securiti	es Benef	icially Owne	d	
1.Title of Security (Instr. 4)	2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature Ownershi (Instr. 5)	of Indirect Bene p	ficial	
Common Stock	2,080		D	Â			

Edgar Filing: MFS CHARTER INCOME TRUST - Form N-23C-1

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(Instr. 4) Expirat (Month/Da	2. Date Exerc Expiration D (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security Direct (or Indirect) (I)	Security: Direct (D) or Indirect (I) (Instr. 5)		
	Stock Option (Right to Buy)	(1)	05/07/2025	Common Stock	2,048	\$ 35.99	D	Â	
	Stock Option (Right to Buy)	(2)	02/24/2026	Common Stock	2,846	\$ 40.05	D	Â	
	Stock Option (Right to Buy)	(3)	02/23/2027	Common Stock	1,589	\$ 65.55	D	Â	

Reporting Owners

Reporting Owner Name / Address	Relationships					
F	Director	10% Owner	Officer	Other		
Farrow Robert Henry Alban 2111 NORTH MOLTER ROAD LIBERTY LAKE, WA 99019	Â	Â	Interim CFO	Â		

Signatures

/s/ Carol C. Cameron, attorney-in-fact for Mr. Farrow

03/29/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option vested in one-third annual increments beginning on the first anniversary of the date of grant. Currently, 1,024 shares of the remaining option become exercisable on May 7, 2017, and 1,024 shares become exercisable on May 7, 2018.
- (2) One-third of the option becomes exercisable on each of February 24, 2017, February 24, 2018 and February 24, 2019.
- (3) One-third of the option becomes exercisable on each of February 23, 2018, February 23, 2019, and February 23, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. rcisableExpiration DateTitleAmount or Number of Shares Restricted Stock Units (2)07/25/2017 M 1,457 (3) (3) Common Stock 1,457 \$ 0 2,921 D Restricted Stock Units (2)07/25/2017 M 7,290 (4) (4) Common

Reporting Owners 2

Stock 7,290 \$ 0 14,602 D

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Brown Stuart B C/O IRON MOUNTAIN INCORPORATED ONE FEDERAL STREET BOSTON, MA 02110

EVP & Chief Financial Officer

Signatures

/s/ Nina Andersson-Willard, under Power of Attorney dated August 10, 2016, from Stuart Brown

07/27/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This acquisition is reported to reflect the partial vesting of restricted stock units ("RSUs") previously granted to the Reporting Person on July 25, 2016.
- (2) Each RSU represents a contingent right to receive one share of Iron Mountain Incorporated common stock ("Common Stock").
- (3) The RSUs, representing a contingent right to receive a total of 4,378 shares of Common Stock, were granted to the Reporting Person on July 25, 2016 and vest in three substantially equal annual installments beginning on the first anniversary of the grant date.
- The RSUs, representing a contingent right to receive a total of 21,892 shares of Common Stock, were granted to the Reporting Person on July 25, 2016 and vest in three substantially equal annual installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Consent of Ernst & Young LLP

24.1 Power of Attorney