Arora Anil Form 4 January 16, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

(Last)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Arora Anil

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

ENVESTNET, INC. [ENV]

3. Date of Earliest Transaction

(Month/Day/Year)

_X__ Director 10% Owner Other (specify X_ Officer (give title

(Check all applicable)

35 EAST WACKER DRIVE, SUITE 01/11/2018

(Middle)

2400

(Street)

(First)

4. If Amendment, Date Original

Envestnet | Yodlee * 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

CHICAGO, IL 60601

| criterico, | 12 00001 | | Person | | | | | | | |
|--------------------------------------|---|---|--|--------|---|---------------------|---|---|------------------------|--|
| (City) | (State) | (Zip) Table | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | cution Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) | | 5. Amount of Securities Form: Direct Beneficially (D) or Owned Indirect (I) Following (Instr. 4) Reported | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock | 01/11/2018 | | S <u>(1)</u> | 2,764 | D | \$ 53.1 (2) | 110,731 | D | | |
| Common Stock | 01/11/2018 | | S <u>(1)</u> | 7,236 | D | \$ 54.18 <u>(3)</u> | 103,495 | D | | |
| Common Stock | | | | | | | 136 | I | By Trust for child (4) | |
| Common Stock | | | | | | | 136 | I | By Trust for child (4) | |

Edgar Filing: Arora Anil - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (Instr. | | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | ınt of rlying | 8. Price of Derivative Security (Instr. 5) |
|---|---|---|---|---------------------------------|---|---|---------------------|--------------------|-------|--|---|
| | | | | Code | V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|-------------------------|-------|--|--|--|--|
| • 6 | Director | 10% Owner | Officer | Other | | | | |
| Arora Anil 35 EAST WACKER DRIVE SUITE 2400 CHICAGO, IL 60601 | X | | Envestnet Yodlee * | | | | | |
| Cianaturas | | | | | | | | |

Signatures

/s/ Shelly O'Brien, by power of attorney for Anil 01/16/2018 Arora Date

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale pursuant to 10b5-1 Plan.
- The Common Shares reported herein as being sold were sold at a range of between \$52.650 and \$53.600 per share. The sale price **(2)** reported above represents the weighted average sale price for the reported transaction and has been rounded to the nearest cent.
- The Common Shares reported herein as being sold were sold at a range of between \$53.750 and \$54.650 per share. The sale price reported above represents the weighted average sale price for the reported transaction and has been rounded to the nearest cent.
- These shares are held in trust for the benefit of a child of the reporting person for which the reporting person serves as trustee. The reporting person shares voting and investment control over the shares but disclaims beneficial ownership of the shares.

Reporting Owners 2

Edgar Filing: Arora Anil - Form 4

Remarks:

*Chief Executive of Envestnet / Yodlee

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.