TWO HARBORS INVESTMENT CORP.

Form 144

1(d)

February 12, 2018

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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NO.

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

CUSIP NUMBER

ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale

or executing a sale directly with a market maker.

1 (a) NAME OF ISSUER (Please type or print) (b) IRS IDENT. NO. (c) S.E.C. FILE NO. WORK LOCATION

Two Harbors Investment Corp.

27-0312904 001-34506

ADDRESS OF

STREET **ISSUER**

STATE ZIP CODE CITY

(e) TELEPHONE NO.

575 Lexington Ave., Suite **AREA**

New York 10022 NUMBER NY CODE

> 612 629-2500

NAME OF PERSON FOR WHOSE (b)RELATIONSHIP TO (c)ADDRESS STREET CITY STATE ZIP CODE 2(a)

ACCOUNT THE SECURITIES ARE **ISSUER**

TO BE SOLD

Officer Mary K. Riskey 575 Lexington Ave., Suite 2930 10022 New York NY

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

SEC USE (c) (e) *(f)* (b) (d) 3(a)(g) ONLY Name and Address Number of Number of of Each Broker Broker-Dealer Shares Shares Name of Title of Through Whom File Number or Other or Other Each Aggregate the Securities are to the **Approximate** Units Market Units Securities Class of be Offered or Each **Date of Sale** To Be Sold Value Outstanding Exchange Securities Market Maker who (See instr. 3(f)) (See instr. (See instr. (See instr. (See instr. To Be is Acquiring the (MO. DAY YR.) Sold Securities 3(d)) 3(c)) 3(e)) *3(g))* Common Stock TD Ameritrade, Inc. 660 \$9,609.60 as of 174,496,587 at 12/13/2018 NYSE 2/8/2018 based 12/31/2017

on \$14.56 closing price on NYSE

Omaha, NE 68154

200 South 108th Avenue

INSTRUCTIONS:

(e)

- 1.(a) Name of issuer
- (b) Issuer s I.R.S. Identification Number
- (c) Issuer s S.E.C. file number, if any
- (d) Issuer s address, including zip code
- 3.(a) Title of the class of securities to be sold
- (b) Name and address of each broker through whom the securities are intended to be sold
- (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
- (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice

(e)

Issuer s telephone number, including area code

- 2.(a) Name of person for whose account the securities are to be sold
 - (b) Such person s relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
- (c) Such person s address, including zip code

Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer

- (f) Approximate date on which the securities are to be sold
- (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

TABLE I SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

	Name of Person from Whom							
			Acquired	Amount of				
Title of the	Date you	Nature of Acquisition	(If gift, also give date donor	Securities	Date of			
Class	Acquired	Transaction	acquired)	Acquired	Payment	Nature of Payment		
Common Stock	2/12/2015	Restricted Stock Award, granted pursuant to Issuer's Second Restated 2009 Equity Incentive Plan	Two Harbors Investment Corn	660	n/a	n/a		

INSTRUCTIONS:

If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

		Amount of			
Name and Address of Seller Mary K. Riskey	Title of Securities Sold	Date of Sale	Securities Sold	Gross Proceeds	
575 Lexington Ave., Suite 2930	Two Harbors Investment Corp.				
New York, NY 10022	Common Stock	1/29/2018	3,186	\$48,310.99	

REMARKS: The reporting person anticipates selling the shares for the purpose of satisfying income tax liabilities incurred upon vesting of the restricted stock award.

INSTRUCTIONS:

See the definition of person in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

2/12/2018 DATE OF NOTICE /s/ Mary K. Riskey (SIGNATURE)

\$5/21/2015\$ DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION, IF RELYING ON RULE 10B5-1

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (02-08)