Edgar Filing: O'Brien Shelly - Form 4

O'Brien Shel	lly										
Form 4											
May 04, 201	.8										
FORM	14								OMB AF	PROVAL	
	UNIII	ED STATES		RITIES A shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check th if no long									Expires:	January 31,	
subject to		EMENT O	F CHAN	NGES IN BENEFICIAL OWNERS				NERSHIP OF	•	2005 average	
Section 16. SECURITIES								Estimated average burden hours per			
Form 4 c								response 0.5			
Form 5 obligatio		-					-	e Act of 1934,			
may cont				•	•	· ·		1935 or Section	n		
See Instr	uction	30(h)	of the In	vestment	Compan	y Ac	t of 194	-0			
1(b).											
(Print or Type l	Responses)										
(I me or Type I	(teoponses)										
			2. Issuer Name and Ticker or Trading Symbol ENVESTNET, INC. [ENV]				ıg	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First)	(Middle)		f Earliest Tr	ansaction						
25 E A CT M			(Month/D	-				Director X Officer (give		Owner er (specify	
2400	ACKER DRI	IVE, SUITE	05/02/2	018				below)	below)	a (speeny	
2400								Chiet	f Legal Officer		
	(Street)		4. If Ame	ndment, Da	te Origina	1		6. Individual or Jo	int/Group Filin	g(Check	
Filed(M			Filed(Mor	led(Month/Day/Year)				Applicable Line)			
~~~~~								_X_ Form filed by C Form filed by M			
CHICAGO,	, IL 60601							Person		porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction	Date 2A. Deer	med	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year) Execution Date							Securities	Form: Direct		
(Instr. 3)		Code(Instr. 3, 4 and 5)Oay/Year)(Instr. 8)				5)	Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership		
		(Wonth)	Jay/ I cal)	(Insu. 0)				Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported		, ,	
						(A) or		Transaction(s)			
				Code V	Amount		Price	(Instr. 3 and 4)			
Common Stock	05/02/2018			F <u>(1)</u>	611	D	\$ 56.85	39,612	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Addro	Relationships							
	Director	10% Owner	Officer	Other				
O'Brien Shelly 35 EAST WACKER DRIVE SUITE 2400 CHICAGO, IL 60601	E		Chief Legal Officer					
Signatures								
/s/ Shelly O'Brien	05/04/2018							
<u>**</u> Signature of Reporting Person	Date							

## **Explanation of Responses:**

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person is reporting the withholding by Envestnet, Inc. of shares of common stock to satisfy the reporting person's tax withholding obligations in connection with the vesting of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.