Edgar Filing: Arora Anil - Form 4

Arora Anil

Form 4										
Check this box if no longer subject to Section 16. SECURITIES Number: Value of the sector of the sec								3235-0287 January 31, 2005 average irs per		
(Print or Type Respon	onses)									
Arora Anil Symbol			suer Name and Ticker or Trading ol 'ESTNET, INC. [ENV]				5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 3. Date of 1 (Month/Da 35 EAST WACKER DRIVE, SUITE 06/28/20 2400			-				(Check all applicable) X Director 10% Owner X Officer (give title Other (specify below) below) Envestnet Yodlee *			
			mendment, Date Original Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
CHICAGO, IL 6	50601						Person	lore than One Re	eporting	
(City) ((State) (Z	^{Cip)} Tab	le I - Non-D	erivative S	Securi	ities Aco	quired, Disposed of	f, or Beneficial	lly Owned	
		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8) Code V		spose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common 06/ Stock	/28/2018		F <u>(1)</u>	827	D	\$ 56.2	96,563	D		
Common Stock							136	I	By Trust for child (2)	
Common Stock							136	Ι	By Trust for child (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

Edgar Filing: Arora Anil - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Arora Anil 35 EAST WACKER DRIVE SUITE 2400 CHICAGO, IL 60601	Х		Envestnet Yodlee *				
Signatures							
/s/ Shelly O'Brien, by power of a	ttorney for	Anil					
Arora			07/02/2018				
<u>**</u> Signature of Reporting P		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person is reporting the withholding by Envestnet, Inc. of shares of common stock to satisfy the reporting person's tax withholding obligations in connection with the vesting of restricted stock units.
- (2) These shares are held in trust for the benefit of a child of the reporting person for which the reporting person serves as trustee. The reporting person shares voting and investment control over the shares but disclaims beneficial ownership of the shares.

Remarks:

*Chief Executive of Envestnet / Yodlee

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.