## Edgar Filing: PNM RESOURCES INC - Form 4

PNM RESOU	RCES INC								
Form 4									
May 10, 2013									
FORM	Λ							PPROVAL	
	UNITED S		ITIES AN hington, I			COMMISSION	OMB Number:	3235-0287	
Check this if no longe subject to Section 16 Form 4 or Form 5 obligations may contin See Instruc	Filed purs Filed purs Section 17(a)	ENT OF CHAN uant to Section 16 ) of the Public Uti 30(h) of the Inv	GES IN B SECURI 6(a) of the ility Holdi	ENEFI TIES Securition ng Comj	CIAL OW es Exchang pany Act c	ge Act of 1934, of 1935 or Sectio	Expires: Estimated a burden hou response	irs per	
1(b).									
(Print or Type Re	esponses)								
1. Name and Ad DOBSON JU	Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol PNM RESOURCES INC [PNM]			5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (M		Earliest Tra	-		(Chec	ck all applicable	e)	
. ,	NBRIAR ROAD	(Month/Da	ay/Year)	ilsaction		X_ Director Officer (give below)		6 Owner er (specify	
	(Street)		ndment, Date th/Day/Year)	e Original		COMMISSION       OMB Number:         NERSHIP OF       Expires:         Estimated burden horesponse       Estimated burden horesponse         e Act of 1934,       1935 or Section         10       5. Relationship of Reporting Parson         (Check all applicat		-	
POTOMAC,	MD 20854					Form filed by M			
(City)	(State) (Z	Zip) Table	e I - Non-De	rivative S	ecurities Ac	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securit nAcquired Disposed (Instr. 3, 4	ies (A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I)	-	
Common Stock						19,473	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exert Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and -	Securities	8. Pr Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options	<u>(1)</u>					(2)	(3)	Common Stock	13,700	
Restricted Stock Rights	<u>(4)</u>	05/09/2013		А	2,850	(5)	(5)	Common Stock	2,850	9

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DOBSON JULIE A 12617 GREENBRIAR ROAD POTOMAC, MD 20854	Х			
Signatures				
Jim S. Acosta, POA for Julie A Dobson		05/10/	2013	
**Signature of Reporting Person		Date	;	

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Based on the closing price on the date of the grant.
- (2) The options vest in three equal annual installments from grant date of issuance.
- (3) The options expire 10 years from grant date.
- (4) Each restricted stock right represents a contingent right to receive one share of PNM Resources, Inc. common stock.
- The restricted stock units vest in three equal annual installments beginning May 9, 2014. Vested shares will be delivered to the reporting(5) person on the applicable vesting dates (or, if the company is in a blackout period under its insider trading policy on any vesting date, at a later date after such blackout period ends).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.