Aliabadi Paymon Form 4 February 06, 2019

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

	•								
1. Name and Address of Reporting Person * Aliabadi Paymon			Symbol	Name and N Corp [	Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First) (I	Middle)	3. Date of	Earliest Tr	ansaction	(Chee	ж ин иррнеиоте	,	
10 S. DEA FLOOR	RBORN STREET		(Month/D 02/04/20	•		DirectorX Officer (give below) EVP, Chie	e title 10% below) of Enterprise Ris	er (specify	
	(Street)	4	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
CHICAGO	), IL 60603	]	Filed(Mor	nth/Day/Year	)	Applicable Line) _X_ Form filed by 0 Form filed by N Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	Derivative Securities Acq	quired, Disposed of	f, or Beneficial	ly Owne	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	Date, if	Code	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially	6. Ownership Form: Direct		
		(Month/Da	W/Year)	(Instr X)		Owned	(D) or	Owners	

(- 3)	(******)	1 abi	e I - Non-D	erivative s	securi	ties Acqu	irea, Disposea oi	, or Beneficiali	y Ownea
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4	sposed 4 and 5 (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/04/2019		Code V M	Amount 94,102	(D)	Price (1)	144,641	D	
Common Stock	02/04/2019		F	40,116	D	\$ 47.34	104,525	D	
Common Stock	02/04/2019		D	19,477	D	\$ 47.34	85,048	D	
Common Stock (ESPP shares)							365	D	

#### Edgar Filing: Aliabadi Paymon - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of Transactio Derivative Code Securities Acquired (Instr. 8) (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securit (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amor or Numb of Sh
Restricted Stock Units 2016	\$ 0	02/04/2019		M		8,024	(2)	(2)	Common Stock	8,0
Restricted Stock Units 2017	\$ 0	02/04/2019		M		5,995	(2)	(2)	Common Stock	5,9
Restricted Stock Units 2018	\$ 0	02/04/2019		M		5,296	(2)	(2)	Common Stock	5,2
Restricted Stock Units 2019	\$ 0	02/04/2019		A	12,451		(2)	(2)	Common Stock	12,4
Performance Shares 2016-2018 (stock units)	\$ 0	02/04/2019		A	74,787		(3)	(3)	Common Stock	74,7
Performance Shares 2016-2018 (stock units)	\$ 0	02/04/2019		M		74,787	(3)	(3)	Common Stock	74,7

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1 6	Director	10% Owner	Officer	Other				
Aliabadi Paymon 10 S. DEARBORN STREET			EVP, Chief Enterprise Risk Ofr					
54TH FLOOR								

Reporting Owners 2

CHICAGO, IL 60603

### **Signatures**

Katherine A. Smith, Attorney-in-Fact for Paymon Aliabadi

02/06/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common shares acquired through conversion of previously awarded and vested restricted stock units (RSUs) and performance share (stock units) under the Exelon Long Term Incentive Plan.
  - Restricted stock unit (RSUs) award granted pursuant to the Exelon Long Term Incentive Plan. RSUs are granted annually at the Compensation and Leadership Development Committee's first meeting in January or February and vest in 1/3 increments on the dates of
- (2) the Committee's January or February meetings held in the first, second, and third years after the grant date. Each RSU represents the right to receive one share of Exelon common stock. Awards accrue additional RSUs acquired through quarterly dividend reinvestment that vest on the same schedule as the underlying award.
- Performance share (stock unit) award granted pursuant to the Exelon Long Term Incentive Plan for the three-year performance period of January 1, 2016 to December 31, 2018, based on the Compensation and Leadership Development Committee's determination of performance achieved for the period. Each performance share (stock unit) represents the right to receive one share or the economic equivalent value of one share of Exelon common stock. Performance share (stock units) awards vest immediately upon grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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