Campbell Michael Earl Form 4 September 06, 2017

Check this box

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**SECURITIES** 

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

(Middle)

1(b).

(Print or Type Responses)

may continue.

1. Name and Address of Reporting Person \* Campbell Michael Earl

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(First)

**EQUINIX INC [EQIX]** 3. Date of Earliest Transaction

(Month/Day/Year)

(Check all applicable)

EQUINIX, INC., ONE LAGOON

DRIVE

09/01/2017

Director 10% Owner X\_ Officer (give title Other (specify below)

**OMB APPROVAL** 

3235-0287

January 31,

2005

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**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Chief Sales Officer

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### REDWOOD CITY, CA 94065

(City)	(State)	(Zip) Tak	ole I - Non-	Derivativ	e Secı	ırities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	omr Dispo (Instr. 3,	sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/01/2017		M	2,527	A	\$ 0	2,702 (1)	D	
Common Stock	09/01/2017		M	250	A	\$ 0	2,952	D	
Common Stock	09/05/2017		S(2)	200	D	\$ 457.87 (3)	2,752	D	
Common Stock	09/05/2017		S(2)	727	D	\$ 458.9854 (4)	2,025	D	
Common Stock	09/05/2017		S(2)	250	D	\$ 459.818 (5)	1,775	D	

### Edgar Filing: Campbell Michael Earl - Form 4

Common Stock	09/05/2017	S(2)	100	D	\$ 460.96	1,675	D
Common Stock	09/05/2017	S(2)	150	D	\$ 465.0433	1,525	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction of Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0	09/01/2017		M	2,527	<u>(7)</u>	<u>(8)</u>	Common Stock	2,527	\$
Restricted Stock Units	\$ 0	09/01/2017		M	250	<u>(9)</u>	(8)	Common Stock	250	\$

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Campbell Michael Earl EQUINIX, INC. ONE LAGOON DRIVE REDWOOD CITY, CA 94065

Chief Sales Officer

# **Signatures**

Samantha Lagocki, POA 09/06/2017

Reporting Owners 2

\*\*Signature of Reporting
Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 34 shares acquired under the Equinix, Inc. Employee Stock Purchase Plan on August 14, 2017.
- (2) Shares were sold pursuant to a 10b5-1 Trading Plan in order to raise funds to pay the required withholding tax pursuant to the vesting of RSUs.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$457.48 to \$458.26, inclusive. The reporting person undertakes to provide to Equinix, Inc, any security holder of Equinix Inc, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnotes 4 through 6 to this Form 4.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$458.51 to \$459.41 inclusive.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$459.71 to \$459.93 inclusive.
- (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$465.04 to \$465.05 inclusive.
- (7) 1,264 shares scheduled to vest on September 1, 2016 and 2,527 shares scheduled to vest on each of September 1 2017 and September 1, 2018, subject solely to continued service.
- (8) Restricted Stock Unit award expires upon reporting person's termination of services.
- (9) 250 shares (12.5% of the total shares awarded) scheduled to vest on September 1, 2017 and an additional 12.5% each 6 months thereafter until fully vested, subject solely to continued service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3