

RANKIN VICTOIRE G
Form 4
February 15, 2007

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN VICTOIRE G

(Last) (First) (Middle)

**NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, STE.
300**

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

3. Date of Earliest Transaction
(Month/Day/Year)
02/15/2007

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

 Director 10% Owner
 Officer (give title below) Other (specify below)
Member of a Group

(Street)

MAYFIELD HEIGHTS, OH

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (D) | Price |
| Class A Common Stock | | | | | 1,975 | I | By Spouse/RMI (Delaware) ⁽³⁾ |
| Class A Common Stock | | | | | 20,361 | I | By Trust ⁽⁴⁾ |
| Class A Common Stock | | | | | 28,864 | I | By Assoc II/Spouse ⁽⁵⁾ |
| Class A Common Stock | | | | | 5,654 | I | By Assoc II/Daughter 1 |

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| | | | | | | | | | | |
|----------------------|------------|------------|--------------|--------|---|------|---------|---|--|--|
| Common Stock | | | | | | | | | | <u>(6)</u> |
| Class A Common Stock | | | | | | | 30,000 | I | | By Spouse/Trust (Unitrust) <u>(7)</u> |
| Class A Common Stock | | | | | | | 38,517 | I | | By Spouse/Trust/Daughter 1 <u>(8)</u> |
| Class A Common Stock | 02/15/2007 | 02/15/2007 | A <u>(2)</u> | 21,343 | A | \$ 0 | 135,445 | I | | By Spouse/Trust <u>(1)</u> |
| Class A Common Stock | | | | | | | 2,000 | I | | By Spouse/Trust 4 (Charities) <u>(9)</u> |
| Class A Common Stock | | | | | | | 2,116 | I | | By Assoc II <u>(10)</u> |
| Class A Common Stock | | | | | | | 5,654 | I | | By Assoc II/Daughter 2 <u>(6)</u> |
| Class A Common Stock | | | | | | | 38,517 | I | | By Spouse/Trust/Daughter 2 <u>(8)</u> |
| Class A Common Stock | | | | | | | 26,608 | I | | By Spouse/Trust 2 (Sr.) <u>(11)</u> |
| Class A Common Stock | | | | | | | 14,000 | I | | By Spouse/IRA <u>(12)</u> |
| Class A Common Stock | | | | | | | 28,000 | I | | By Spouse/Trust 3 (GC) <u>(13)</u> |
| Class A Common Stock | | | | | | | 0 | I | | By Spouse/Trust7 (Self Decl 2) <u>(14)</u> |
| Class A Common Stock | | | | | | | 4,817 | I | | By Spouse/Trust (AssocII-BTR) <u>(15)</u> |
| Class A Common Stock | | | | | | | 2,229 | I | | By Spouse/CLTR <u>(16)</u> |
| Class A Common Stock | | | | | | | 6 | I | | By Spouse (GP) <u>(17)</u> |

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| | | | |
|----------------------------|--------|---|---|
| Class A Common Stock | 15,665 | I | By Spouse (BTR RA4) <u>(18)</u> |
| Class A Common Stock | 2,657 | I | By Spouse (RA4) <u>(19)</u> |
| Class A Common Stock | 0 | I | By Spouse (CLT RA4) <u>(19)</u> |
| Class A Common Stock | 60,000 | I | By Trust (Assoc II-BTR) GRAT <u>(20)</u> |
| Class A Common Stock | 78,952 | I | By RA4 (CLT) GRAT <u>(21)</u> |
| Class A Common Stock | 10,000 | I | By Trust (CLT) GRAT <u>(22)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Class B Common Stock | \$ 0 <u>(23)</u> | | | | | <u>(23)</u> | <u>(23)</u> | Class A Common Stock | 0 |
| Class B Common Stock | \$ 0 <u>(23)</u> | | | | | <u>(23)</u> | <u>(23)</u> | Class A Common Stock | 126,507 |

| | | | | | |
|--|------------------|-------------|-------------|----------------------------|---------|
| Class B Common Stock | \$ 0 <u>(23)</u> | <u>(23)</u> | <u>(23)</u> | Class A Common Stock | 113,198 |
| Class B Common Stock <u>(27)</u> | \$ 0 <u>(23)</u> | <u>(23)</u> | <u>(23)</u> | Class A Common Stock | 46,052 |
| Class B Common Stock | \$ 0 <u>(23)</u> | <u>(23)</u> | <u>(23)</u> | Class A Common Stock | 0 |
| Class B Common Stock | \$ 0 <u>(23)</u> | <u>(23)</u> | <u>(23)</u> | Class A Common Stock | 43,862 |
| Class B Common Stock <u>(17)</u> | \$ 0 <u>(23)</u> | <u>(23)</u> | <u>(23)</u> | Class A Common Stock | 19 |
| Class B Common Stock | \$ 0 <u>(23)</u> | <u>(23)</u> | <u>(23)</u> | Class A Common Stock | 7,438 |
| Class B Common Stock | \$ 0 <u>(23)</u> | <u>(23)</u> | <u>(23)</u> | Class A Common Stock | 443 |
| Class B Common Stock | \$ 0 <u>(23)</u> | <u>(23)</u> | <u>(23)</u> | Class A Common Stock | 221,040 |
| Class B Common Stock | \$ 0 <u>(23)</u> | <u>(23)</u> | <u>(23)</u> | Class A Common Stock | 106,923 |
| Class B Common Stock | \$ 0 <u>(23)</u> | <u>(23)</u> | <u>(23)</u> | Class A Common Stock | 7,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------------------|
| | Director | 10% Owner | Officer | Other |
| RANKIN VICTOIRE G NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 MAYFIELD HEIGHTS, OH | | | | Member of a Group |

Signatures

/s/Constantine E. Tsipis, attorney-in-fact for Victoire G.
Rankin

02/15/2007

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Alfred M. Rankin, Jr. Reporting Person disclaims beneficial ownership of all such shares.
- (2) Shares of Class A Common Stock awarded to the Reporting Person's spouse under the Company's Executive Long-Term Incentive Compensation Plan.
- (3) Represents the Reporting Person's spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI"). Reporting Person disclaims beneficial ownership of all such shares.
- (4) Reporting Person serves as Trustee of a Trust for the benefit of Victoire G. Rankin.
- (5) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (6) Represents the Reporting Person's daughter's proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of the daughter. Reporting Person's spouse is the co-trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (7) Reporting Person's spouse serves as Trustee of the Clara T. Rankin Remainder Unitrust#2 u/a/d 1/5/77. Reporting Person disclaims beneficial ownership of all such shares.
- (8) Reporting Person's spouse serves as Trustee for a Trust held for the Benefit of Reporting Person's daughter. Reporting Person disclaims beneficial ownership of all such shares.
- (9) Reporting Person's spouse serves as Trustee of Irrevocable Trust u/a/d 9/22/88, for the Benefit of Charities for a term of 20 years and then to grantor's grandchildren. Reporting Person disclaims beneficial ownership of all such shares.
- (10) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates II, L.P.
- (11) Reporting Person's spouse serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin, Sr. Reporting Person disclaims beneficial ownership of all such shares.
- (12) Held in an Individual Retirement Account for the benefit of the Reporting Person's spouse. Reporting Person disclaims beneficial ownership of all such shares.
- (13) Reporting Person's spouse serves as Trustee of Trusts for the benefit of each of grantor's grandchildren. Each trust owns 4,800 shares. Reporting Person disclaims beneficial ownership of all such shares.
- (14) Reporting Person's spouse serves as the Trustee of the Alfred M. Rankin, Jr., Self-Declaration II Irrevocable Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (15) Represents the proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person's spouse serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (16) Reporting Person's Spouse serves as Trustee of a Trust for the benefit of the Clara L.T. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (17) Represents Reporting Person's spouse's proportionate limited partnership interest in shares of Rankin Associates IV, L.P. held by a Trust for the benefit of the Reporting Person's spouse, as general partner.
- (18) BTR RA4-Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial ownership of all such shares.
- (19) Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's spouse serves as the Trustee of the Trust which is held for the benefit of Clara LT Rankin. Reporting person disclaims beneficial ownership of all such shares.

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- (20) Represents the proportionate limited partnership interest in RAII shares, which is held in a qualified annuity interest trust for the benefit of Bruce T. Rankin.
- (21) Represents the proportionate limited partnership interest in RA4 shares, which is held in a qualified annuity interest trust for the benefit of Clara LT Rankin.
- (22) Represents shares which are held in a qualified annuity interest trust for the benefit of Clara LT Rankin.
- (23) N/A
- (24) Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held in a trust for the benefit of Clara L.T. Rankin. Reporting Person's spouse serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (25) Represents Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates I, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (26) Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person's spouse serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (27) As a member of a "group" deemed to own more than 10% of an equity security as a result of being a party to a Stockholders' Agreement, dated as of March 15, 1990, beneficially owned by each of the signatories to such agreement (the "Agreement"), the Reporting Person disclaims beneficial ownership of any such shares of Stock owned by any other signatory to the Agreement.
- (28) represents the Reporting Person's spouse's proportionately limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person disclaims any beneficial ownership.
- (29) Represents the proportionate limited partnership interest in Rankin Associates I, LP. shares, which is held in a qualified annuity interest trust for the benefit of Clara LT Rankin.

Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.