



Edgar Filing: NACCO INDUSTRIES INC - Form 4

|                      |        |   |   |
|----------------------|--------|---|---|
| Common Stock         |        |   | A <sup>(5)</sup>                        |
| Class A Common Stock | 753    | I | AMR - RAI <sup>(6)</sup>                |
| Class A Common Stock | 369    | I | AMR - RAIV <sup>(7)</sup>               |
| Class A Common Stock | 1,975  | I | AMR - RMI (Delaware) <sup>(8)</sup>     |
| Class A Common Stock | 18,400 | I | AMR - Trust3 (GC) <sup>(9)</sup>        |
| Class A Common Stock | 6      | I | AMR-RAIV-GP                             |
| Class A Common Stock | 29,379 | I | BTR - RAI <sup>(10)</sup>               |
| Class A Common Stock | 15,705 | I | BTR - RAIV <sup>(11)</sup>              |
| Class A Common Stock | 740    | I | BTR-Class A Trust <sup>(12)</sup>       |
| Class A Common Stock | 27,008 | I | By Spouse/Trust 2 (Sr.) <sup>(13)</sup> |
| Class A Common Stock | 705    | I | CTR - Trust (A) <sup>(14)</sup>         |
| Class A Common Stock | 2,116  | I | VGR - RAI <sup>(15)</sup>               |
| Class A Common Stock | 21,006 | I | VGR - Trust <sup>(16)</sup>             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares |
| Class B Common Stock                       | \$ 0 <sup>(1)</sup>                                    |                                      |  |                                |   | <u>(1)</u>   | <u>(1)</u>  | Class A Common Stock                       | 14,322                     |
| Class B Common Stock                       | \$ 0 <sup>(1)</sup>                                    |                                      |  |                                |   | <u>(1)</u>   | <u>(1)</u>  | Class A Common Stock                       | 1,035                      |
| Class B Common Stock                       | <u>(1)</u>   |                                      |  |                                |   | <u>(1)</u>   | <u>(1)</u>  | Class A Common Stock                       | 40,890                     |
| Class B Common Stock                       | <u>(1)</u>   |                                      |  |                                |   | <u>(1)</u>   | <u>(1)</u>  | Class A Common Stock                       | 22,162                     |
| Class B Common Stock                       | <u>(1)</u>   |                                      |  |                                |   | <u>(1)</u>   | <u>(1)</u>  | Class A Common Stock                       | 19                         |
| Class B Common Stock                       | \$ 0 <sup>(1)</sup>                                    |                                      |  |                                |   | <u>(1)</u>   | <u>(1)</u>  | Class A Common Stock                       | 43,969                     |
| Class B Common Stock                       | \$ 0 <sup>(1)</sup>                                    |                                      |  |                                |   | <u>(1)</u>   | <u>(1)</u>  | Class A Common Stock                       | 61,768                     |
| Class B Common Stock                       | <u>(1)</u>   |                                      |  |                                |   | <u>(1)</u>   | <u>(1)</u>  | Class A Common Stock                       | 5,143                      |
| Class B Common Stock                       | <u>(1)</u>   |                                      |  |                                |   | <u>(1)</u>   | <u>(1)</u>  | Class A Common Stock                       | 5,143                      |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |                   |
|---|---------------|-----------|---------|-------------------|
|   | Director      | 10% Owner | Officer | Other             |
| RANKIN VICTOIRE G<br>NACCO INDUSTRIES, INC.<br>5875 LANDERBROOK DRIVE, STE. 220<br>MAYFIELD HEIGHTS, OH |               |           |         | Member of a Group |

## Signatures

/s/ John D. Neumann,  
attorney-in-fact

08/16/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) N/A
- (2) Reporting Person's spouse serves as Trustee of the Clara T. Rankin Remainder Unitrust#2 u/a/d 1/5/77. Reporting Person disclaims beneficial ownership of all such shares.
- (3) GRAT2012-Reporting Person's spouse serves as Trustee of the Alfred M. Rankin, Jr. 2012 Grantor Retained Annuity Trust.
- (4) Held in an Individual Retirement Account for the benefit of the Reporting Person's spouse. Reporting Person disclaims beneficial ownership of all such shares.
- (5) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Alfred M. Rankin, Jr. Reporting Person disclaims beneficial ownership of all such shares.
- (6) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (7) Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's spouse serves as the Trustee of the Trust which is held for the benefit of Clara LT Rankin. Reporting person disclaims beneficial ownership of all such shares.
- (8) Represents the Reporting Person's spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI"). Reporting Person disclaims beneficial ownership of all such shares.
- (9) Reporting Person's spouse serves as Trustee of Trusts for the benefit of each of grantor's grandchildren. Reporting Person disclaims beneficial ownership of all such shares.
- (10) BTR RA2-Represents the proportionate limited partnership interest in shares held by Rankin Associates II, L.P. Reporting Person's spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial ownership of all such shares.
- (11) BTR RA4-Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial ownership of all such shares.
- (12) Reporting Person's spouse serves as Trustee of Trusts for the benefit of Bruce T Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (13) Reporting Person's spouse serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin, Sr. Reporting Person disclaims beneficial ownership of all such shares.
- (14) Reporting Person's Spouse serves as Trustee of a Trust for the benefit of the Clara L.T. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (15) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates II, L.P.

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- (16) Reporting Person serves as Trustee of a Trust for the benefit of Victoire G. Rankin.
- (17) Represents Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates I, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (18) represents the Reporting Person's spouse's proportionately limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person disclaims any beneficial ownership.
- (19) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Alfred M. Rankin, Jr. Reporting Person disclaims beneficial ownership of all such shares.
- (20) Represents Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates IV. Reporting Person disclaims beneficial ownership of all such shares.
- (21) Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person's spouse serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.

**Remarks:**

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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