#### CVS CAREMARK CORP

Form 4 April 03, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287

**OMB APPROVAL** 

Number:

Expires:

January 31, 2005

0.5

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Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Brennan Troyen A

2. Issuer Name and Ticker or Trading Symbol

CVS CAREMARK CORP [CVS]

5. Relationship of Reporting Person(s) to

Issuer

(First) (Last)

ONE CVS DRIVE

(City)

(Middle)

(7:m)

3. Date of Earliest Transaction

(Month/Day/Year)

04/01/2014

Director 10% Owner

(Check all applicable)

Other (specify \_X\_\_ Officer (give title below) EVP, Chief Medical Officer

(Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### WOONSOCKET, RI 02895

(City)	(State) (Z	Table	I - Non-De	erivative S	ecuri	ties Acqu	ired, Disposed of	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or Do (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/01/2014		F	7,166 (1)	D	\$ 74.29	41,247.059	D	
Common Stock (restricted)	04/01/2014		A	8,749 (2)	A	\$ 74.29	49,991	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

De Se	Title of erivative curity astr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
	ock ption	\$ 74.29	04/01/2014		A	54,550	04/01/2015(3)	04/01/2021	Common Stock	54,550
	ock ption	\$ 34.96					04/01/2012(4)	04/01/2018	Common Stock	66,98
	ock ption	\$ 45.07					04/02/2013(5)	04/02/2019	Common Stock	66,548
	ock ption	\$ 54.53					04/01/2014(6)	04/01/2020	Common Stock	47,20

# **Reporting Owners**

Director 10% Owner Officer Other

Brennan Troyen A ONE CVS DRIVE WOONSOCKET, RI 02895

EVP, Chief Medical Officer

## **Signatures**

/s/ Troyen
Brennan

\*\*Signature of Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Surrender of shares in payment of withholding taxes due.
- (2) Consists of Restricted Stock Units awarded pursuant to Issuer's 2010 Incentive Compensation Plan. Restrictions lapse 50% on 4/1/2017 and 50% on 4/1/2019.
- (3) Option becomes exercisable in four equal annual installments, commencing 4/1/2015.
- (4) Option became exercisable in four equal annual installments, commencing 4/1/2012.
- (5) Option became exercisable in four equal annual installments, commencing 4/2/2013.

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(6) Option became exercisable in four equal annual installments, commencing 4/1/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.