CORNING INC /NY

Form 3 May 09, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *

Pambianchi Christine M

(Last)

ONE RIVERFRONT PLAZA

(First)

(Street)

(Middle)

Statement

(Month/Day/Year)

05/01/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

CORNING INC /NY [GLW]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director 10% Owner _X__ Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

(Instr. 5)

Form filed by More than One Senior VP, Human Resources Reporting Person

CORNING, NYÂ 14831

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned (Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect

(I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative 2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Security **Expiration Date** Securities Underlying Conversion Ownership Indirect Beneficial (Month/Day/Year) (Instr. 4) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security: Date Exercisable Expiration Security Direct (D) Date Amount or or Indirect Title Number of (I) Shares

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| Restricted Stock Unit | (1) | (1) | Common Stock | 1,381 | \$ <u>(2)</u> | I | Held by Spous |
|------------------------------|----------------|------------|-----------------|-------|---------------|---|--------------------|
| Stock Options (Right to Buy) | 04/28/2006(4) | 04/27/2015 | Common Stock | 3,300 | \$ 13.68 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 04/27/2007(5) | 04/26/2016 | Common Stock | 500 | \$ 28.2 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 04/26/2008(6) | 04/25/2017 | Common Stock | 1,000 | \$ 24.91 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 04/24/2009(7) | 04/23/2018 | Common Stock | 1,000 | \$ 25.44 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 12/03/2009(8) | 12/02/2018 | Common Stock | 333 | \$ 8.67 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 01/02/2010(9) | 01/01/2019 | Common Stock | 333 | \$ 10.05 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 02/02/2010(10) | 02/01/2019 | Common Stock | 334 | \$ 10.25 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 12/02/2010(11) | 12/01/2019 | Common Stock | 333 | \$ 17.82 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 01/04/2011(12) | 01/03/2020 | Common Stock | 333 | \$ 19.56 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 02/01/2011(13) | 01/31/2020 | Common Stock | 334 | \$ 18.16 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 01/03/2012(14) | 01/02/2021 | Common Stock | 333 | \$ 19.19 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 02/01/2012(15) | 01/31/2021 | Common Stock | 333 | \$ 22.69 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 03/01/2012(16) | 02/28/2021 | Common Stock | 334 | \$ 22.03 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 01/03/2015 | 01/02/2022 | Common Stock | 366 | \$ 13.04 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 02/01/2015 | 01/31/2022 | Common Stock | 367 | \$ 12.9 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 03/01/2015 | 02/28/2022 | Common Stock | 367 | \$ 12.97 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 03/31/2017 | 02/29/2024 | Common Stock | 690 | \$ 20.82 | I | Held by Spouse (3) |
| Stock Options (Right to Buy) | 04/30/2017 | 04/29/2024 | Common Stock | 687 | \$ 20.91 | I | Held by Spouse (3) |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Pambianchi Christine M ONE RIVERFRONT PLAZA CORNING, NYÂ 14831

Senior VP, Human Resources

Signatures

Linda E. Jolly, Power of Attorney

05/09/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Restricted Stock Units vest 100% on April 17, 2017. Vested shares will be delivered to the reporting person within thirty (30) days after April 17, 2017. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to
- (1) after April 17, 2017. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 17, 2017.
- (2) Each restricted stock unit represents a contingent right to receive one share of Corning Incorporated Common Stock.
- (3) The reporting person disclaims beneficial ownership of all securities held by spouse.
- (4) The options vest in three equal annual installments beginning on April 28, 2006.
- (5) The options vest in three equal annual installments beginning on April 27, 2007.
- (6) The options vest in three equal annual installments beginning on April 26, 2008.
- (7) The options vest in three equal annual installments beginning on April 24, 2009.
- (8) The options vest in three equal annual installments beginning on December 3, 2009.
- (9) The options vest in three equal annual installments beginning on January 2, 2010.
- (10) The options vest in three equal annual installments beginning on February 2, 2010.
- (11) The options vest in three equal annual installments beginning on December 2, 2010.
- (12) The options vest in three equal annual installments beginning on January 4, 2011.
- (13) The options vest in three equal annual installments beginning on February 1, 2011.
- (14) The options vest in three equal annual installments beginning on January 3, 2012.
- (15) The options vest in three equal annual installments beginning on February 1, 2012.
- (16) The options vest in three equal annual installments beginning on March 1, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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