Edgar Filing: FIDELITY SOUTHERN CORP - Form 4

Form 4	OUTHERN COF	RP										
November 10,												
FORM	4 UNITED S	STATES			ND EXCH D.C. 20549		E COMI	MISSION	OMB APP OMB Number:	3235-0287		
Check this if no longe subject to	ar .	STATEMENT OF CHANGES IN BENEFICIAL OWNERSH								January 31, 2005 erage		
Section 16.SECURITIESForm 4 orForm 5Form 5Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,obligationsSection 17(a) of the Public Utility Holding Company Act of 1935 or Sectionsee Instruction30(h) of the Investment Company Act of 19401(b).Section 17(a) of the Investment Company Act of 1940						burden hours response	•					
(Print or Type Re	esponses)											
BOCKEL DAVID R Symbol FIDE			Symbol	Issue Issue				elationship of Reporting Person(s) to er (Check all applicable)				
(Last)	(First) (M	(liddle)	3. Date of Earliest TransactionX_ Director				_ Director Officer (give ti	10% O				
3490 PIEDM 1550	ONT ROAD, SI	JITE	11/07/20	-			below)	below)			
	(Street) 4. If Amene Filed(Month				th/Day/Year) Appli _X_F				dividual or Joint/Group Filing(Check cable Line) Form filed by One Reporting Person form filed by More than One Reporting			
ATLANTA,	GA 30305						Persor		ore than One Repo	rting		
(City)	(State)	(Zip)	Table	I - Non-De	erivative Seco	urities	Acquired,	Disposed of,	or Beneficially	Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deem Execution any (Month/Day/Year)			3. 4. Securities Acquire TransactiorDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction (Instr. 3 and	Ownership Form: Direct (D) or Indirect (I) s) (Instr. 4)	Beneficial Ownershij			
Fidelity				Code V	Amount	(D)	Price	(,			
Southern Corporation - Common Stock	11/07/2014			A <u>(1)</u>	227.0366	А	\$ 15.405	39,022.01	77 D			
Fidelity Southern Corporation - Common Stock								4,388	I	By Ira		
STOCK								296	Ι			

1

		- 3	3			-			
Fidelity Southern Corporatio - Commor Stock								By Spouse	2
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.						SEC 1474 (9-02)			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 15.56					01/23/2015(2)	01/23/2019	Fidelity Southern Corporation - Common Stock	6,000
Stock Option (Right to Buy)	\$ 6.15					01/19/2013 <u>(3)</u>	01/19/2017	Fidelity Southern Corporation - Common Stock	3,333

Reporting Owners

Reporting Owner Name / Address	Relationships					
1.0.0	Director	10% Owner	Officer	Other		
BOCKEL DAVID R 3490 PIEDMONT ROAD SUITE 1550 ATLANTA, GA 30305	Х					

Signatures

Barbara McNeill, Attorney in Fact, David R. Bockel

11/10/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded for service as a Director during the previous month.
- (2) Exercisable: 1/3 on 1/23/2015; 1/3 on 1/23/2016; 1/3 on 1/23/2017
- (3) Exercisable: 1/3 on 1/19/13; 1/3 on 1/19/14; 1/3 on 1/19/15

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.