#### Edgar Filing: PUBLIX SUPER MARKETS INC - Form 3

#### PUBLIX SUPER MARKETS INC

Form 3 May 10, 2016

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

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January 31, 2005

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| Name and Address of Reporting Person *     MURPHY KEVIN S |                                |   | 2. Date of Event<br>Requiring Statement<br>(Month/Day/Year)              |   | 3. Issuer Name and Ticker or Trading Symbol PUBLIX SUPER MARKETS INC [NONE]   |  |                         |  |  |  |  |
|---|--------------------------------|---|--|---|---|--|-------------------------|--|--|--|--|
| (Last) (  | (First)                        | (Middle)                                  | 05/05/2016   | 5   | 4. Relationship of Reporting Person(s) to Issuer  |  |                         | 5. If Amendment, Date Original Filed(Month/Day/Year)   |  |  |  |
| P.O. BOX 407  | Street)<br>FL 33               | 3802-0407                                 |  |   | (Check all applicable)  Director 10% OwnerX Officer Other (give title below) (specify below)  Senior Vice President |  |                         | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting PersonForm filed by More than One |  |  |  |
|   |                                |   |  |   |   |  |                         | Reporting Person   |  |  |  |
| (City) (  | (State)                        | (Zip)                                     |  | Table I - N                                   | I - Non-Derivative Securities Beneficially Owned  |  |                         |  |  |  |  |
| 1.Title of Security (Instr. 4)                            |                                |   |  | 2. Amount o<br>Beneficially<br>(Instr. 4)     |   | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Na<br>Owne<br>(Instr | •  |  |  |  |
| Common Stock  | ζ                              |   |  | 15,919  |   | D  | Â                       |  |  |  |  |
| Common Stock  | ζ                              |   |  | 150   |   | I  | By S                    | Spouse   |  |  |  |
| Common Stock  | ζ.                             |   |  | 26,586.253                                    | 33  | I  | By E                    | ESOP (1)   |  |  |  |
| Common Stock  |                                |   |  | 1,822.3022                                    |   | I  | By 401(k) (2)           |  |  |  |  |
| Common Stock  | ζ                              |   |  | 6,951.3955                                    | 5   | I  | By S                    | Spouse's ESOP (1)  |  |  |  |
| Reminder: Report of owned directly or is                  | ndirectly.  Person information | ns who resp<br>ation conta<br>ed to respo | ch class of sectoond to the chined in this the dunless the MB control no | collection of<br>form are not<br>e form displ | : :   | SEC 1473 (7-02   | 2)                      |  |  |  |  |
|   | Curren                         | ily vallu Ol                              |  | uiiibei.                                      |   |  |                         |  |  |  |  |

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Exercisable Date |     | 3. Title and A Securities Und Derivative Sec (Instr. 4) | derlying | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|--|--|-----|---|----------|--|--|---|
| SERP Stock                                 | (3)  | (3) | Common<br>Stock   | 139.4525 | \$ <u>(3)</u>  | (Instr. 5)   | SERP  |

# **Reporting Owners**

| Reporting Owner Name / Address                            | Relationships |           |                       |       |  |
|---|---------------|-----------|-----------------------|-------|--|
| • 5   | Director      | 10% Owner | Officer               | Other |  |
| MURPHY KEVIN S<br>P.O. BOX 407<br>LAKELAND, FL 33802-0407 | Â             | Â         | Senior Vice President | Â     |  |

# **Signatures**

/s/ Monica Allman, POA on file for Kevin
Murphy

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired under the Publix Super Markets, Inc. Employee Stock Ownership Plan. Transaction exempt under rule 16b-3(c).
- (2) Acquired under the Publix Super Markets, Inc. 401(k) SMART Plan. Transaction exempt under rule 16b-3(c).
  - Each share of SERP stock is the economic equivalent of one share of common stock. The shares of SERP stock become payable in
- (3) common stock upon the reporting person's termination of employment in accordance with the Publix Super Markets, Inc. Supplemental Executive Retirement Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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