#### PUBLIX SUPER MARKETS INC

Form 5

January 30, 2017

Check this box if

no longer subject

to Section 16.

5 obligations

may continue.

Form 4 or Form

# FORM 5

**OMB APPROVAL** 

**OMB** 3235-0362 Number:

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ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4

Transactions Reported

1. Name and Address of Reporting Person * JOHNSON TINA P			2. Issuer Name <b>and</b> Ticker or Trading Symbol PUBLIX SUPER MARKETS INC	5. Relationship of Reporting Person(s) to Issuer			
			[NONE]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2016	DirectorX 10% Owner Officer (give title below) Other (specify below)			
P.O. BOX 40	7						
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting			
			•	(check applicable line)			

### LAKELAND, FLÂ 33802

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit (A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	04/27/2016	Â	J	11,168	D	\$ 45.2	108,047	I	By GRAT		
Common Stock	04/27/2016	Â	J	11,168	A	\$ 45.2	178,811	D	Â		
Common Stock	11/30/2016	Â	G	11	D	\$ 40.15	178,800	D	Â		
Common Stock	Â	Â	Â	Â	Â	Â	1,892.3157	I	By 401(k) (1)		
	Â	Â	Â	Â	Â	Â	59,902.3489	I			

Common By ESOP Stock (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(Α) (Σ	1	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
SERP Stock	Â	03/01/2016	Â	A	297.6192 Â	Â.	(3)	(3)	Common Stock	297.619

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
<b>F</b>	Director	10% Owner	Officer	Other				
JOHNSON TINA P	^	^	^	^				
P.O. BOX 407	A	ÂΧ	A	A				
LAKELAND. FL 33802								

## **Signatures**

/s/ Monica Allman, POA on file for Tina P.
Johnson
01/30/2017

Date

# \*\*Signature of Reporting Person Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired under the Publix Super Markets, Inc. 401(k) SMART Plan. Transaction exempt under rule 16b-3(c).
- (2) Acquired under the Publix Super Markets, Inc. Employee Stock Ownership Plan. Transaction exempt under rule 16b-3(c).
- Each share of SERP stock is the economic equivalent of one share of common stock. The shares of SERP stock become payable in common stock upon the reporting person's termination of employment in accordance with the Publix Super Markets, Inc. Supplement

(3) common stock upon the reporting person's termination of employment in accordance with the Publix Super Markets, Inc. Supplemental Executive Retirement Plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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