### Edgar Filing: BALL Corp - Form 4/A

| BALL Corj<br>Form 4/A   | )   |  |   |   |  |  |  |   |  |
|---|---|--|---|---|--|--|--|---|--|
| March 17, 2   | 2017  |  |   |   |  |  |  |   |  |
|   | ЛЛ  |  |   |   |  |  |  | PPROVAL   |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549                      |   |  |   |   |  |  |  | 3235-0287   |  |
| Check this box<br>if no longer<br>subject to<br>Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNI |   |  |   |   |  |  | Expires:<br>Estimated<br>burden hou                                  | •   |  |
| Form 4<br>Form 5<br>obligati<br>may co<br><i>See</i> Inst<br>1(b).                                  | Filed put<br>ons<br>ntinue. Section 17(                           |  | Utility Ho  | lding Cor   | npany Act  | nge Act of 1934,<br>t of 1935 or Secti<br>1940   | response   | •   |  |
| (Print or Type  | Responses)  |  |   |   |  |  |  |   |  |
| 1. Name and<br>Knobel Jef   | Address of Reporting<br>f A                                       | Symbo  | uer Name <b>an</b><br>1<br>2 Corp [BI                             |   | Trading  | 5. Relationship<br>Issuer  | of Reporting Per<br>eck all applicabl                                |   |  |
| (Last)  | (First) (   | (Month   | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>03/15/2017 |   |  | Director 10% Owner<br>XOfficer (give title Other (specify<br>below) below)<br>Vice President & Treasurer           |  |   |  |
| PPOOME  | ed(Month/Day/Year) Applicable Line)<br>.//17/2017X_ Form filed by |  |   | y One Reporting P                                     | oint/Group Filing(Check<br>One Reporting Person<br>More than One Reporting |  |  |   |  |
|   | IELD, CO 80005  |  |   |   |  | Person   |  |   |  |
| (City)  | (State)   | (Zip) Ta   | able I - Non-   | Derivative  | Securities A   | Acquired, Disposed   | of, or Beneficia   | lly Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year)                           | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year | Code<br>(Instr. 8)  | 4. Securit<br>onAcquired<br>Disposed<br>(Instr. 3, 4) | (A) or<br>of (D)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |  |   |   |  |  |  |   |  |
| Reminder: Re  | port on a separate line   | e for each class of so                                     | curities bene   | •   | •  | or indirectly.   | ection of  | SEC 1474  |  |

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.        | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|-------------|---------------------|--------------------|-----------|--------------|-------------------------|------------------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti | orDerivative | Expiration Date         | Underlying Securities  |
| Security    | or Exercise |                     | any                | Code      | Securities   | (Month/Day/Year)        | (Instr. 3 and 4)       |

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| (Instr. 3)  | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. 8)   | Acquired (A<br>Disposed of<br>(Instr. 3, 4,<br>5) | f (D) |                     |                    |                 |                          |
|---|------------------------------------|------------|------------------|--------------|---|-------|---------------------|--------------------|-----------------|--------------------------|
|   |                                    |            |                  | Code V       | (A)   | (D)   | Date<br>Exercisable | Expiration<br>Date | Title           | Amoun<br>Numbe<br>Shares |
| Restricted<br>Stock Units                         | <u>(1)</u>                         | 03/15/2017 |                  | A <u>(2)</u> | 1,431   |       | (2)                 | (2)                | Common<br>Stock | 1,43                     |
| Deferred<br>Compensation<br>Company<br>Stock Plan | <u>(3)</u>                         | 03/15/2017 |                  | J <u>(4)</u> | 30.7627   |       | (5)                 | (5)                | Common<br>Stock | 30.76                    |

# **Reporting Owners**

| Reporting Owner Name / Address                               | Relationships |           |                            |       |  |  |  |  |
|--|---------------|-----------|----------------------------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer                    | Other |  |  |  |  |
| Knobel Jeff A<br>10 LONGS PEAK DRIVE<br>BROOMFIELD, CO 80005 |               |           | Vice President & Treasurer |       |  |  |  |  |
| Signatures   |               |           |                            |       |  |  |  |  |
| /s/ Robert W. McClelland, Atto<br>Knobel                     | 03/17/2017    |           |                            |       |  |  |  |  |
| **Signature of Report  | ing Person    |           | Date                       |       |  |  |  |  |

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.
- (2) Restricted Stock Units granted in conjunction with the Deposit Share Program.
- (3) Each unit may be settled for a single share of stock or the equivalent amount of cash pursuant to the Ball Corporation Deferred Compensation Company Stock Plan.
- (4) Dividend reinvestment in Ball Corporation Deferred Compensation Company Stock Plan.
- (5) Stock units in Ball Corporation's Deferred Compensation Company Stock Plan are distributed upon the separation of service in accordance with the Plan.

#### **Remarks:**

Amended to add missing footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.