

PRUDENTIAL FINANCIAL INC
Form 4
February 16, 2007

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BANTA VIVIAN L

2. Issuer Name and Ticker or Trading Symbol
PRUDENTIAL FINANCIAL INC
[[PRU]]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
02/14/2007

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
Vice Chairman

C/O PRUDENTIAL FINANCIAL, INC., 751 BROAD STREET, 4TH FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

NEWARK, NJ 071023777

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
			Code	V	Amount		
Common Stock	02/14/2007		S		4,000	D	\$ 92.58 78,345 D
Common Stock	02/14/2007		S		6,000	D	\$ 92.57 72,345 D
Common Stock	02/15/2007		S		500	D	\$ 91.78 129,867 D
Common Stock	02/14/2007		S		5,000	D	\$ 92.56 67,345 D
	02/14/2007		S		3,000	D	64,345 D

Edgar Filing: PRUDENTIAL FINANCIAL INC - Form 4

Common Stock					\$ 92.55		
Common Stock	02/14/2007	S	2,788	D	\$ 92.54	61,557	D
Common Stock	02/15/2007	M	111,810	A	\$ 45	173,367	D
Common Stock	02/15/2007	S	500	D	\$ 92.07	172,867	D
Common Stock	02/15/2007	S	500	D	\$ 92.05	172,367	D
Common Stock	02/15/2007	S	1,000	D	\$ 92.04	171,367	D
Common Stock	02/15/2007	S	1,000	D	\$ 92.02	170,367	D
Common Stock	02/15/2007	S	1,000	D	\$ 92.01	169,367	D
Common Stock	02/15/2007	S	10,000	D	\$ 92	159,367	D
Common Stock	02/15/2007	S	2,000	D	\$ 91.95	157,367	D
Common Stock	02/15/2007	S	1,000	D	\$ 91.93	156,367	D
Common Stock	02/15/2007	S	1,500	D	\$ 91.92	154,867	D
Common Stock	02/15/2007	U	500	D	\$ 91.91	154,367	D
Common Stock	02/15/2007	S	1,000	D	\$ 91.9	153,367	D
Common Stock	02/15/2007	S	1,000	D	\$ 91.88	152,367	D
Common Stock	02/15/2007	S	3,000	D	\$ 91.87	149,367	D
Common Stock	02/15/2007	S	1,000	D	\$ 91.86	148,367	D
Common Stock	02/15/2007	S	3,000	D	\$ 91.85	145,367	D
Common Stock	02/15/2007	S	4,000	D	\$ 91.82	141,367	D
Common Stock	02/15/2007	S	2,000	D	\$ 91.81	139,367	D
	02/15/2007	S	8,000	D	\$ 91.8	131,367	D

Edgar Filing: PRUDENTIAL FINANCIAL INC - Form 4

Common
Stock

Common Stock	02/15/2007	S	1,000	D	\$ 91.79	130,367	D
-----------------	------------	---	-------	---	-------------	---------	---

Common Stock						515 ⁽¹⁾	I	By 401(k)
-----------------	--	--	--	--	--	--------------------	---	-----------

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Option (right to buy)	\$ 45	02/15/2007		M	111,810	⁽²⁾	02/10/2014	Common Stock	111,810

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BANTA VIVIAN L C/O PRUDENTIAL FINANCIAL, INC. 751 BROAD STREET, 4TH FLOOR NEWARK, NJ 071023777			Vice Chairman	

Signatures

By: /s/ Kathleen M. Gibson,
Attorney-in-fact
02/16/2007

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Beneficial ownership includes shares acquired under The Prudential Employee Savings Plan which are exempt transactions pursuant to Rules 16b-3(c) and 16a-3(f)(1)(i)(B).
- (2) The option vested in three equal annual installments beginning on February 10, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.