**ERGEN CANTEY** Form 3 July 23, 2018

# FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Ad Person * ERGEN (	•	orting	2. Date of Event Requiring Statement (Month/Day/Year) 07/19/2018		3. Issuer Name and Ticker or Trading Symbol EchoStar CORP [SATS]				
(Last)	(First)	(Middle)			4. Relationship of Reporting Person(s) to Issuer		g	5. If Amendment, Date Original Filed(Month/Day/Year)	
9601 S. MERIDIAN BLVD.									
ENGLEWO	(Street) OD, COÂ	X 80112			Director Officer (give title below	Othe	Owner r	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)		Table I - N	Non-Derivat	ive Securit	ies Be	neficially Owned	
1.Title of Secur (Instr. 4)	ity			2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr	•	
Class A Com	mon Stock	ζ		47		D	Â		
Class A Com	nmon Stock	Σ		6,122		I	Вус	hild <u>(1)</u>	
Class A Com	nmon Stock	3		700,678		I	By s	pouse	
Class A Com	mon Stock	ξ		201		I	By 4	01(k)	
Class A Com	mon Stock	ζ		3,705		I	By s	pouse's 401(k)	
Class A Com	mon Stock	ζ		5,400		I	Вус	haritable foundation (2)	
Class A Com	nmon Stock	<b>S</b>		824		I	I (3)		
Reminder: Repo	_	ate line for ea	ch class of secu	rities benefici	ially S	EC 1473 (7-02	2)		

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Class B Common Stock	(4)	(4)	Class A Common Stock	12,808,205	\$ <u>(4)</u>	I	I (5)
Class B Common Stock	(4)	(4)	Class A Common Stock	4,890,958	\$ <u>(4)</u>	I	I (6)
Class B Common Stock	(4)	(4)	Class A Common Stock	8,000,000	\$ <u>(4)</u>	I	I (7)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other		
ERGEN CANTEY 9601 S. MERIDIAN BLVD. ENGLEWOOD, CO 80112	Â	ÂX	Â	Â		

## **Signatures**

/s/ Joseph Turitz, her attorney-in-fact

07/23/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person disclaims beneficial ownership of the shares, except to the extent of her pecuniary interest therein and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.
- The shares are held by a charitable foundation. The reporting person is an officer of the charitable foundation and shares voting and dispositive power for the foundation with her spouse, Charles W. Ergen. The reporting person disclaims beneficial ownership of the shares, except to the extent of her pecuniary interest therein and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.
- These shares are held by a trust for which the reporting person has durable power of attorney for the beneficiary of the trust. The reporting person disclaims beneficial ownership of the shares, except to the extent of her pecuniary interest therein and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

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The holder of the shares of Class B Common Stock may elect to convert any or all of their shares of Class B Common Stock into an equal number of shares of Class A Common Stock at any time for no additional consideration.

- On July 19, 2018, Mrs. Ergen's spouse, Charles W. Ergen, for estate planning purposes, contributed 8,000,000 shares of Class B Common Stock to Telluray Holdings, LLC ("Telluray") in exchange for membership units in Telluray. Also on July 19, 2018, certain trusts established by Mr. Ergen for the benefit of his family contributed 4,808,205 shares of Class B Common Stock to Telluray in exchange for membership units in Telluray. Mr. Ergen and Mrs. Ergen are the managers of Telluray. Mrs. Ergen has sole voting power over the shares of Class B Common Stock held by Telluray and Mr. Ergen and Mrs. Ergen share dispositive power over the shares of Class B Common Stock held by Telluray. The reporting person disclaims beneficial ownership of the shares, except to the extent of her pecuniary interest therein and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.
- On May 30, 2017, Mrs. Ergen's spouse, Mr. Ergen, established the Ergen Three-Year 2017 SATS GRAT (the "2017 May GRAT") and contributed a total of 7,600,000 Class B shares to such trust. The 2017 May GRAT currently holds 4,890,958 Class B shares and is scheduled to expire in accordance with its terms on May 30, 2020. Mrs. Ergen serves as the trustee of the 2017 May GRAT.
- On November 30, 2017, Mrs. Ergen's spouse, Mr. Ergen, established the Ergen Two-Year 2017 SATS GRAT (the "2017 November (7) GRAT") and contributed a total of 8,000,000 Class B shares to such trust. The 2017 November GRAT is scheduled to expire in accordance with its terms on November 30, 2019. Mrs. Ergen serves as the trustee of the 2017 November GRAT.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.