#### SIGA TECHNOLOGIES INC

Form 4 June 01, 2007

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

burden hours per response...

**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5

Check this box

if no longer

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

**CONSTANCE THOMAS E** 

Symbol

SIGA TECHNOLOGIES INC

(Check all applicable)

[SIGA]

05/30/2007

(Last)

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Director Officer (give title

10% Owner Other (specify

C/O SIGA TECHNOLOGIES. INC., 420 LEXINGTON AVENUE,

(Street)

(First)

**SUITE 408** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10170

(City) (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

(A)

Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: SIGA TECHNOLOGIES INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to buy)	\$ 3.73	05/30/2007		A	10,000	05/30/2007	05/30/2017	Common Stock, par value \$.0001 per share	10,000

#### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

CONSTANCE THOMAS E
C/O SIGA TECHNOLOGIES, INC.
420 LEXINGTON AVENUE, SUITE 408
NEW YORK, NY 10170

# **Signatures**

/s/ Thomas E.
Constance

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 12,200 shares of common stock issuable upon exercise of warrants and 255,000 shares of common stock issuable upon exercise of options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. nter" > 53,010.924 D Option  $\frac{(4)}{3}$  \$ 73.19 02/10/200702/10/2014 Common Stock 18,000 18,000 D Option (6) \$ 73.14 02/08/200802/08/2015 Common Stock 18,000 18,000 D Option (6) \$ 02/14/200902/14/2016 Common Stock 32,000 32,000 D Option (6) \$ 85.06 02/13/201002/13/2017 Common Stock 35,000 35,000 D Option (6) \$ 64.58 02/12/201102/12/2018 Common Stock 88,800 88,800 D Option (6) \$ 29.54 12/31/201112/31/2018 Common Stock 100,000 100,000 D Option \$ 29.2 04/01/201204/01/2021 Common Stock 84,439 84,439 D Option (7) \$ 21.67 (7)02/14/2022 Common Stock 136,200 136,200 D Option (7) \$ 27.4102/26/2013 A 36,707 02/26/201402/26/2023 Common Stock 36,707 (7) 36,707 D Option (7) \$ 27.4102/26/2013 A 36,707 02/26/201502/26/2023 Common Stock 36,707 Option (7) \$ 27.4102/26/2013 A 36,707 02/26/201602/26/2023 Common Stock 36,707  $\frac{(7)}{2}$  36,707 D

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ROGERS WILLIAM H JR 303 PEACHTREE STREET, N.E. ATLANTA, GA 30308

Chairman and CEO

#### **Signatures**

David A. Wisniewski, Attorney-in-Fact for William H. Rogers, Jr.

02/28/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes restricted stock granted under SunTrust Banks, Inc. 2004 Stock Plan and the 2009 Stock Plan. Restricted stock agreements contain tax withholding features allowing stock to be withheld to satisfy tax withholding obligations. This plan is exempt under Rule 16(3)-3. Also includes the following shares which are subject to forfeiture: 2,000 which vest on 3/6/2013; and 2,000 which vest on 7/14/2013.
- (2) Because the stock fund component of the 401(k) Plan is accounted for in unit accounting, the number of share equivalents varies based on the closing price of SunTrust stock on the applicable measurement date.
- (3) The phantom stock units were acquired under the SunTrust Banks, Inc. Deferred Compensation Plan and convert to common stock on a one-to-one basis.
- (4) Granted pursuant to the SunTrust Banks, Inc. 2000 Stock Plan.
- (5) Represents restricted stock units, half of which vest on 2/14/2014 and half on 2/15/2015.
- (6) Granted pursuant to the SunTrust Banks, Inc. 2004 Stock Plan.
- (7) Granted pursuant to the SunTrust Banks, inc. 2009 Stock Plan. One third of the award vests each year for three years.

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