

Cheung Sylvia
Form 3
April 02, 2013

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Cheung Sylvia
(Last) (First) (Middle)

32 WIGGINS AVENUE

(Street)

BEDFORD,Â MAÂ 01730

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
04/01/2013

3. Issuer Name **and** Ticker or Trading Symbol
ANIK THERAPEUTICS INC [ANIK]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer ___ Other
(give title below) (specify below)
CFO

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
X Form filed by One Reporting
Person
___ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock (ANIK)

7,050

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

Date Expiration
Exercisable Date

Title Amount or
Number of

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| | | | | Shares | | (I) (Instr. 5) | |
|-----------------------------|--------------|------------|--------------|--------|----------|-------------------|---|
| Incentive Stock Options | Â <u>(1)</u> | 08/01/2015 | Common Stock | 10,000 | \$ 12.61 | D | Â |
| Stock Appreciation Rights | Â <u>(2)</u> | 01/31/2018 | Common Stock | 5,000 | \$ 10.99 | D | Â |
| Stock Appreciation Rights | Â <u>(3)</u> | 01/26/2020 | Common Stock | 35,000 | \$ 6.36 | D | Â |
| Incentive Stock Options | Â <u>(4)</u> | 01/18/2021 | Common Stock | 25,000 | \$ 6.98 | D | Â |
| Incentive Stock Options | Â <u>(5)</u> | 06/07/2021 | Common Stock | 24,193 | \$ 6.99 | D | Â |
| Non-Qualified Stock Options | Â <u>(5)</u> | 06/07/2021 | Common Stock | 807 | \$ 6.99 | D | Â |
| Incentive Stock Options | Â <u>(6)</u> | 01/25/2022 | Common Stock | 7,501 | \$ 9.1 | D | Â |
| Non-Qualified Stock Options | Â <u>(7)</u> | 01/25/2022 | Common Stock | 7,499 | \$ 9.1 | D | Â |
| Incentive Stock Options | Â <u>(8)</u> | 01/29/2023 | Common Stock | 17,305 | \$ 10.87 | D | Â |
| Non-Qualified Stock Options | Â <u>(9)</u> | 01/29/2023 | Common Stock | 27,695 | \$ 10.87 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Cheung Sylvia 32 WIGGINS AVENUE BEDFORD, MA 01730 | Â | Â | Â CFO | Â |

Signatures

/s/ Sylvia Cheung 04/01/2013

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock options vest in four equal installments as follows: August 1, 2006, August 1, 2007, August 1, 2008, and August 1, 2009
- (2) The stock appreciation rights vest in three equal installments as follows: January 31, 2009, January 31, 2010 and January 31, 2011
- (3) The stock appreciation rights vest in four equal installments as follows: January 26, 2011, January 26, 2012, January 26, 2013, and January 26, 2014

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- (4) The stock options vest in four equal installments as follows: January 18, 2012, January 18, 2013, January 18, 2014, and January 18, 2015
- (5) The stock options vest in three equal installments as follows: March 1, 2012, January 1, 2013, and January 1, 2014
- (6) The stock options vest as follows: 1 option at January 25, 2013, 3,375 options at January 25, 2014 and 3,375 options at January 25, 2015
- (7) The stock options vest as follows: 3,749 options at January 25, 2013 and 3,750 options at January 25, 2014
- (8) The stock options vest as follows: 2,046 options at January 29, 2015, 6,060 options at January 29, 2016 and 9,199 options at January 29, 2017
- (9) The stock options vest as follows: 11,250 options at January 29, 2014, 9,204 options at January 29, 2015, 5,190 options at January 29, 2016, and 2,051 options at January 29, 2017

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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