

MARCUS CORP
Form 4
January 08, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
HAASE BRONSON J

(Last) (First) (Middle)

6948 N. WILDWOOD POINT RD.

(Street)

HARTLAND, WI 53029

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

MARCUS CORP [MCS]

3. Date of Earliest Transaction
(Month/Day/Year)

12/31/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/31/2015		A	729 A <u>1</u>	18,547 <u>2</u>	D	
Common Stock					6,204	I	By Trust <u>3</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: MARCUS CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. P Der Sec (Ins
				Code	V	(A)	(D)	Title	Amount or Number of Shares
Stock Option (Right to Buy) ⁽⁴⁾	\$ 18.97	12/31/2015		A		583		12/31/2015 12/31/2025 Common Stock	583
Stock Option (Right to Buy) ⁽⁴⁾	\$ 17.73							05/25/2006 05/25/2016 Common Stock	500
Stock Option (Right to Buy) ⁽⁴⁾	\$ 23.37							05/31/2007 05/31/2017 Common Stock	500
Stock Option (Right to Buy) ⁽⁴⁾	\$ 17.17							05/29/2008 05/29/2018 Common Stock	500
Stock Option (Right to Buy) ⁽⁴⁾	\$ 10.78							05/28/2009 05/28/2019 Common Stock	500
Stock Option (Right to Buy) ⁽⁴⁾	\$ 11.14							05/27/2010 05/27/2020 Common Stock	500
Stock Option (Right to Buy) ⁽⁴⁾	\$ 10.5							05/26/2011 05/26/2021 Common Stock	500
Stock Option (Right to Buy) ⁽⁴⁾	\$ 13.33							05/31/2012 05/31/2022 Common Stock	500
	\$ 13.45							05/30/2013 05/30/2023	1,000

Stock Option (Right to Buy) ⁽⁴⁾				Common Stock	
Stock Option (Right to Buy) ⁽⁴⁾	\$ 16.84	05/29/2014	05/29/2024	Common Stock	1,000
Stock Option (Right to Buy) ⁽⁴⁾	\$ 19.65	05/28/2015	05/28/2025	Common Stock	1,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HAASE BRONSON J 6948 N. WILDWOOD POINT RD. HARTLAND, WI 53029		X		

Signatures

/s/ Steven R. Barth, Attorney-in-Fact for Bronson J.
Haase

01/08/2016

 Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock granted December 31, 2015 vests and becomes exercisable as follows: 50% after 3rd anniversary of the date of grant and 100% after 5th anniversary of the date of grant or upon death, disability or retirement.
- (2) Includes 102 shares of Common Stock acquired through automatic reinvestment of dividends under the Marcus Corporation Dividend Reinvestment and Associate Stock Purchase Plan as of December 31, 2015.
- (3) By the Bronson J. and Jacqueline A. Haase Living Trust dated 8/17/1999.
- (4) Granted pursuant to The Marcus Corporation 2004 Equity and Incentive Awards Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.