#### LSI INDUSTRIES INC

Form 4 April 05, 2016

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

1. Name and Address of Reporting Person \*

(State)

(Zip)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

**MEYER DENNIS B** Issuer Symbol LSI INDUSTRIES INC [LYTS] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) X\_ Director 10% Owner Other (specify Officer (give title 02173 GREEN TIMBER TRAIL 04/01/2016 below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

2. Issuer Name and Ticker or Trading

Filed(Month/Day/Year)

Applicable Line)

5. Relationship of Reporting Person(s) to

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

MINSTER, OH 45865

(City)

(,)	(2)	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or D	ispose	d of (D)	Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	(Instr. 3,	4 and	5)	Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)	
					(A)		Reported			
					(A)		Transaction(s)			
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Shares	04/01/2016		A	806	A	\$ 11.79	25,634	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: LSI INDUSTRIES INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. TransactionNumber Code of (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code V	7	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Buy	\$ 17.6							<u>(1)</u>	08/24/2016	Common Shares	2,500
Option to Buy	\$ 17.55							<u>(1)</u>	11/15/2016	Common Shares	1,500
Option to Buy	\$ 19.76							<u>(1)</u>	08/24/2017	Common Shares	2,500
Option to Buy	\$ 19.68							<u>(1)</u>	11/15/2017	Common Shares	1,500
Option to Buy	\$ 8.98							<u>(1)</u>	08/22/2018	Common Shares	2,500
Option to Buy	\$ 4.6							<u>(1)</u>	11/20/2018	Common Shares	1,500
Option to Buy	\$ 8.4							<u>(1)</u>	08/21/2019	Common Shares	3,500
Option to Buy	\$ 7.2							<u>(1)</u>	11/19/2019	Common Shares	1,500
Option to Buy	\$ 5.21							<u>(1)</u>	08/19/2020	Common Shares	2,500
Option to Buy	\$ 8.92							<u>(1)</u>	11/18/2020	Common Shares	1,500
Option to Buy	\$ 6.68							<u>(1)</u>	11/17/2021	Common Shares	1,500

8. Price Derivat Securit (Instr. 5

(2)					
Option to Buy	\$ 6.58	<u>(1)</u>	08/15/2022	Common Shares	2,500
Option to Buy	\$ 6.28	<u>(1)</u>	11/15/2022	Common Shares	1,500

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
MEYER DENNIS B 02173 GREEN TIMBER TRAIL MINSTER, OH 45865	X					

# **Signatures**

/s/ F. Mark Reuter, Attorney-in-Fact for Dennis B.
Meyer

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Qualified stock options granted pursuant to the Company's 2003 Equity Compensation Plan. The options vest at a rate of 25% at the conclusion of each 90 day period following the grant date.
- (2) These holdings have been previously reported on Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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