

MARCUS CORP
Form 4
December 30, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
GERSHOWITZ DIANE M

(Last) (First) (Middle)

THE MARCUS
CORPORATION, 100 E.
WISCONSIN AVE., SUITE 1900

(Street)

MILWAUKEE, WI 53202

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
MARCUS CORP [MCS]

3. Date of Earliest Transaction
(Month/Day/Year)
12/29/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/29/2016		A	(A) or (D) Amount 1,250 (1) Price \$ 0	13,911	D	
Common Stock				350	I		By DG-LDJ Holdings, LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy) <u>(2)</u>	\$ 23.37							05/31/2007	05/31/2017	Common Stock	500
Stock Option (Right to Buy) <u>(2)</u>	\$ 17.17							05/29/2008	05/29/2018	Common Stock	500
Stock Option (Right to Buy) <u>(2)</u>	\$ 10.78							05/28/2009	05/28/2019	Common Stock	500
Stock Option (Right to Buy) <u>(2)</u>	\$ 11.14							05/27/2010	05/27/2020	Common Stock	500
Stock Option (Right to Buy) <u>(2)</u>	\$ 10.5							05/26/2011	05/26/2021	Common Stock	500
Stock Option (Right to Buy) <u>(2)</u>	\$ 13.33							05/31/2012	05/31/2022	Common Stock	500
Stock Option (Right to Buy) <u>(2)</u>	\$ 13.45							05/30/2013	05/30/2023	Common Stock	1,000
Stock Option (Right to Buy) <u>(2)</u>	\$ 16.84							05/29/2014	05/29/2024	Common Stock	1,000

Stock Option (Right to Buy) ⁽²⁾	\$ 19.65				05/28/2015	05/28/2025	Common Stock	1,000
Stock Option (Right to Buy) ⁽²⁾	\$ 18.97				12/31/2015	12/31/2025	Common Stock	583
Stock Option (Right to Buy) ⁽²⁾	\$ 31.55	12/29/2016	A	1,000	12/29/2016	12/29/2026	Common Stock	1,000
Class B Common Stock	⁽³⁾				⁽⁴⁾	⁽⁵⁾	Common Stock	43,238
Class B Common Stock	⁽³⁾				⁽⁴⁾	⁽⁵⁾	Common Stock	2,832,14
Class B Common Stock	⁽³⁾				⁽⁴⁾	⁽⁵⁾	Common Stock	196,259
Class B Common Stock	⁽³⁾				⁽⁴⁾	⁽⁵⁾	Common Stock	50,845

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GERSHOWITZ DIANE M THE MARCUS CORPORATION 100 E. WISCONSIN AVE., SUITE 1900 MILWAUKEE, WI 53202		X		

Signatures

/s/ Steven R. Barth, Attorney-in-Fact for Diane M. Gershowitz

12/30/2016

⁽¹⁾Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted stock granted December 29, 2016 vests and becomes exercisable as follows: 50% after 3rd anniversary of the date of the grant and 100% after 5th anniversary of the date of the grant or upon death, disability or retirement.

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- (2) Granted pursuant to The Marcus Corporation 2004 Equity and Incentive Awards Plan.
- (3) This security is convertible into common stock on a 1-for-1 basis at no cost.
- (4) This security is immediately exercisable.
- (5) No expiration date.
- (6) As trustee for brother's children.
- (7) By the Ben and Celia Marcus 1992 Revocable Trust F/B/O Diane M. Gershowitz.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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